

# CONGRESSIONAL BUDGET JUSTIFICATION



## Appendix 3:

### U.S. Department of State and U.S. Agency for International Development Status of Open Government Accountability Office (GAO) and Office of the Inspector General (OIG) Recommendations

Fiscal Year 2023

## Overview

The Department of State and USAID continue to implement oversight recommendations from the Government Accountability Office (GAO) and Office of Inspector General (OIG). Pursuant to the *Good Accounting Obligation in Government Act* (P.L. 115-414), this supplement to the Department and USAID's FY 2023 Congressional Budget Justifications (CBJ) provides the status of all recommendations open for more than one year, anticipated timelines for implementation, and other corrective actions.

Since the last report, the Department closed and/or resolved a total of 109 open recommendations (7 GAO and 102 OIG). USAID closed/resolved 33 open recommendations (0 GAO and 33 OIG).

The appendix is presented in four categories, each sorted by the public release date of the report, from most recent to oldest:

1. **GAO** open recommendations: **U.S Department of State**;
2. **OIG** open recommendations: **U.S. Department of State**;
3. **GAO** open recommendations: **USAID**;
4. **OIG** open recommendations: **USAID**.

**Department of State**  
**Status of Open Government Accountability (GAO) Recommendations**  
**Fiscal Year 2023**

	GAO Report Title	GAO Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
1	Cyber Diplomacy: State Has Not Involved Relevant Federal Agencies in the Development of Its Plan to Establish the Cyberspace Security and Emerging Technologies Bureau	GAO-20-607R	Sep 22, 2020	<b>(Priority)</b> The Secretary of State should ensure that State involves federal agencies that contribute to cyber diplomacy to obtain their views and identify any risks, such as unnecessary fragmentation, overlap, and duplication of these efforts, as it implements its plan to establish the Bureau of Cyberspace Security and Emerging Technologies.	D	On October 27, 2021, Secretary Blinken announced that after an intensive review, he intends, working with Congress, to establish a new bureau of cyberspace and digital policy headed by an ambassador-at-large, and to name a new special envoy for critical and emerging technology. Both will report to the Deputy Secretary of State for at least the first year. The new Cyberspace and Digital Policy (CDP) was officially established on 04/04/2022. This was the result of a multi-month review that included numerous consultations with interagency colleagues. That interagency consultation continues in the implementation phase.
2	Conflict Minerals: Actions Needed to Assess Progress Addressing Armed Groups' Exploitation of Minerals	GAO-20-595	Sep 14, 2020	The Secretary of State, in consultation with the Administrator of USAID, should develop performance indicators that would enable State to assess progress toward the strategic objectives and goal of the U.S. conflict minerals strategy going forward.	EB	The Department will seek to develop performance indicators, with support from the U.S. Agency for International Development (USAID), as part of any review and update to the strategy. Both agencies remain committed to robust monitoring and evaluation of our activities.
3	Export Controls: State and Commerce Should Improve Guidance and Outreach to Address University-Specific Compliance Issues	GAO-20-394	May 12, 2020	The Secretary of State should ensure that the Deputy Assistant Secretary for Defense Trade Controls, in consultation with university representatives, provides additional or revises existing guidance and outreach to address university-specific export control issues to further	PM	The Office of Defense Trade Controls Compliance (DTCC) is currently drafting updated compliance program guidelines and a risk assessment matrix to help U.S. universities comply with the ITAR more effectively. DTCC intends to publish these documents in the first quarter of CY 2022.

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				support universities' understanding and compliance with the International Traffic in Arms Regulations.		
4	Export Controls: State and Commerce Should Improve Guidance and Outreach to Address University-Specific Compliance Issues	GAO-20-394	May 12, 2020	The Secretary of State should ensure that the Deputy Assistant Secretary for Defense Trade Controls revises existing export compliance guidelines to include information concerning periodic risk assessments to remind exporters that it is beneficial to periodically identify, analyze, and respond to new risks as part of an effective International Traffic in Arms Regulations compliance program.	PM	DTCC is currently drafting updated compliance program guidelines and a risk assessment matrix to help U.S. universities comply with the ITAR more effectively. DTCC intends to publish these documents in the first quarter of CY 2022.
5	Telecommunications: Agencies Should Fully Implement Established Transition Planning Practices to Help Reduce Risk of Costly Delays	GAO-20-155	Apr 7, 2020	The Secretary of State should ensure that the agency's Chief Information Officer updates the telecommunications inventory to include all telecommunications assets and services in use at the agency, and updates State's process for ongoing maintenance of the inventory to include the complete inventory.	IRM	The ongoing telecommunications asset inventory, as of 10 Dec 2021, is:  Overseas: 625 Domestic: 153,453
6	Telecommunications: Agencies Should Fully Implement Established Transition Planning	GAO-20-155	Apr 7, 2020	The Secretary of State should ensure that the agency's Chief Information	IRM	The Department's network modernization effort led by the Bureau of Information Resource Management (IRM) capitalizes on

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	Practices to Help Reduce Risk of Costly Delays			Officer completes efforts to identify the agency's future telecommunications needs using a complete inventory of existing telecommunications services; conducts and documents a strategic analysis to justify the sharing of telecommunications resources; and aligns State's telecommunications needs with its long-term IT plans and enterprise architecture.		Enterprise Infrastructure Solutions (EIS) as a significant resource where applicable. Strategically, the availability of and requirement to utilize EIS will influence the objective to bring in disparate sets of managed assets into an enterprise level view.
7	Telecommunications: Agencies Should Fully Implement Established Transition Planning Practices to Help Reduce Risk of Costly Delays	GAO-20-155	Apr 7, 2020	The Secretary of State should ensure that the agency's Chief Information Officer identifies telecommunications transition roles and responsibilities related to (1) managing assets during the planning and execution phases of the transition and (2) providing legal expertise during the execution phase of the transition, and finalizes the responsibilities related to the information security management role for the transition; includes in State's transition communications plan the frequency with which transition status updates	IRM	<p>Transition Roles – EIS transition roles were set at the start of the EIS preparation work. IRM is in the last year of the transition by transferring current inventories or decommissioning services not useful.</p> <p>Legal Expertise – The Office of the Legal Adviser is involved and approved the current Fair Opportunities and Task Order Awards.</p> <p>Communication Plan, Status Updates, Change Process and Notification – Status updates about EIS are presented weekly at the Information Technology Scorecard Working Group (ITSWG) meeting, which is a component of the FITARA briefing. The Department uses the existing enterprise change control and the enterprise outage notification processes.</p> <p>Facilitating Transition Activities – EIS vendors are not involved in configuration</p>

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				and meetings will occur throughout the transition, a description of how changes and disruptions related to the transition will be communicated to end-users, and the key local and regional agency transition officials responsible for disseminating information about the transition to employees and working with the vendor to facilitate transition activities; and establishes configuration management processes for the agency's transition.		management activities and decision making. This configuration management occurs within the Department's security boundary, which is managed by cleared Department personnel per enterprise policy and processes.
8	Telecommunications: Agencies Should Fully Implement Established Transition Planning Practices to Help Reduce Risk of Costly Delays	GAO-20-155	Apr 7, 2020	The Secretary of State should ensure that the agency's Chief Information Officer identifies all of the funding needed to support the telecommunications transition, justifies requests for resources related to transition program management staff, conducts an analysis to identify staff resources needed for the entire transition effort, and finalizes its analysis of training needs for staff assisting with the transition.	IRM	The network transport program is funded through the Working Capital Fund. The office transitions existing consolidated network services and the EIS transition team is actively working with bureaus to transition network services to EIS or discontinue them.
9	Telecommunications: Agencies Should Fully Implement Established Transition Planning	GAO-20-155	Apr 7, 2020	The Secretary of State should ensure that the agency's Chief Information	IRM	The EIS transition team briefs risk evaluations to the ITSWG. Currently, there are no risks to mission critical systems. Mission critical

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	Practices to Help Reduce Risk of Costly Delays			Officer takes into account the agency's telecommunications transition risks, mission critical systems, and contingency plans in State's transition timeline.		systems were accounted for during the transition or using other government networks for transport.
10	Democracy Assistance: State Should Improve Information Sharing with Embassies	GAO-20-173	Jan 28, 2020	The Secretary of State should direct the Assistant Secretary of State for Democracy, Human Rights, and Labor to develop a mechanism to facilitate the active sharing of information about democracy assistance projects between DRL and relevant staff at embassies.	DRL	The Bureau of Democracy, Human Rights, and Labor (DRL) will implement a biannual cable to posts to ensure that embassies and other relevant internal stakeholders are aware of the resources and information available on DRL programs globally.
11	Security Assistance: Actions Needed to Assess U.S. Activities and Ensure Timely Inspections of Equipment Transferred to Lebanon	GAO-20-176	Dec 18, 2019	The Secretary of State should direct the Department's relevant bureaus to work with Embassy Beirut to establish, as appropriate, and consolidate targets for each of the security-related performance indicators.	NEA	In February 2021, Embassy Beirut updated its Integrated Country Strategy (ICS) and revised some of its security-related activities and associated indicators. GAO has requested targets for these indicators be included in the next ICS update, which is expected to be finalized in April 2022.
12	Security Assistance: Actions Needed to Assess U.S. Activities and Ensure Timely Inspections of Equipment Transferred to Lebanon	GAO-20-176	Dec 18, 2019	The Secretary of State should direct the Department's relevant bureaus to work with Embassy Beirut to collect and review performance data for key security-related performance indicators.	NEA	In November 2021, Embassy Beirut issued its FY 2020 Performance Plan Report (PPR), which reported on Embassy activities taken in response to its overall security-related mission objectives. GAO found improvement, especially in the narrative, and the FY 2020 PPR more closely relates to the activities and indicators from the ICS. But the PPR does not track performance over time for most indicators.



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13	Information Technology: Agencies Need to Fully Implement Key Workforce Planning Activities	GAO-20-129	Oct 30, 2019	The Secretary of State should ensure that the agency fully implements each of the seven key IT workforce planning activities it did not fully implement.	IRM	<p>The IT Strategic Workforce Analysis Report is currently with IRM leadership for review. The new IT Strategic Workforce Plan is under development with a planned completion date of Q4 FY 2022.</p> <p>The Department is planning to conduct an agency-wide IT competency study analysis during FY 2022. In addition, working groups within the Department and an interagency working group are focused on identifying ways to close the staffing gaps. The Department continues to participate in recruiting events for the Foreign Service and Civil Service IT workforce.</p>
14	U.S. Assistance to Central America: Department of State Should Establish a Comprehensive Plan to Assess Progress toward Prosperity, Governance, and Security	GAO-19-590	Sep 26, 2019	The Secretary of State, working with the Administrator of the U.S. Agency for International Development, should collaborate with the Departments of Defense and Agriculture and other Departments as necessary, to develop a comprehensive approach to the monitoring and evaluation of projects that directly support the objectives of prosperity, governance, and security, and incorporate this approach into the Strategy monitoring and evaluation plan.	WHA	<p>As directed by the President in Executive Order 14010, the U.S. government has developed the Root Causes Strategy (RCS) to address irregular migration, which identifies, prioritizes, and coordinates actions and engagements to improve security, governance, human rights, and economic conditions, building better futures in the affected countries and addressing the underlying factors leading to irregular migration in the region.</p> <p>The Department agrees on the importance of tracking outcomes of our collective foreign assistance, diplomatic, and other efforts. To that end, the Department and USAID have started developing a comprehensive interagency Monitoring, Evaluation, and Learning (MEL) Plan for the RCS that lays the groundwork for generating a body of evidence needed to track changes in the region associated with RCS implementation, and for understanding how or</p>

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						<p>why those changes take place. The Department solicited program performance indicator data from over 15 interagency partners, including the Department of Defense and the Department of Agriculture, and will incorporate into the RCS MEL Plan and future reporting to the extent practicable. This evidence will inform strategic-level assessments of our efforts to achieve the objectives of the RCS.</p> <p>The development of the RCS as well as the development and implementation of the RCS MEL Plan reflect comprehensive interagency efforts to ensure all U.S. government agencies work toward the same goals. As part of the RCS MEL Plan, we have built in periodic assessments so that we can step back and analyze the progress we expect to see or determine if we need to adjust our approaches. Through these periodic assessments, we can demonstrate accountability for use of public resources and maximize our results.</p>
15	Foreign Assistance: State Department Should Take Steps to Improve Timeliness of Required Budgetary Reporting	GAO-19-600	Sep 9, 2019	<b>(Priority)</b> The Secretary of State should ensure that the Director of State's Office of U.S. Foreign Assistance Resources conducts a review of the Section 653(a) process to identify process steps that can be streamlined or eliminated and determine the time frame needed to prepare the annual Section 653(a) report. If State determines that the time frame exceeds	F	The Office of Foreign Assistance (F) reviewed the section 653(a) process in response to the GAO's recommendation and has implemented numerous changes as an outcome of that review. F is actively working to make improvements to its existing FACTS Info database to serve as the sole repository for section 653(a) data and to systematize and automate the distribution and collection of allocation information from about 200 bureaus and overseas posts. Due to the anticipated efficiencies these improvements may provide, we believe it is prudent to implement and analyze those benefits before formally

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				30 days, the office should coordinate with other appropriate officials to submit a legislative proposal to Congress to extend the mandated time frame for submitting Section 653(a) reports.		<p>submitting a legislative proposal to Congress to extend the mandated time frame for submitting section 653(a) reports. Waiting until those technical improvements are in place will give us a better sense as to how much time is needed to complete the required steps in the process. Our intent is to seek changes to the law once we can fully implement our planned technical changes and determine what an achievable time frame is going forward.</p> <p>The section 653(a) allocations cannot be properly completed in the 30 days required by the FAA, a conclusion the Department also stated in its letter accompanying the final GAO report and was further reinforced as we worked through the allocation process for funds enacted in FY 2020 and FY 2021. Our review reaffirmed the essential steps in the process – such as analyzing the legislation, reviewing the technical budget recommendations (TBR) for sectoral earmarks from USAID and State offices, developing initial draft allocations, providing time for bureaus and posts to review draft allocations, reviewing bureau and post appeals, securing State and USAID leadership approval, and collecting OMB feedback and clearance – will collectively take more than 30 calendar days.</p>
16	Foreign Assistance: State Department Should Take Steps to Improve Timeliness of Required Budgetary Reporting	GAO-19-600	Sep 9, 2019	The Secretary of State should ensure that the Director of State's Office of U.S. Foreign Assistance Resources improves the data collection from the many sources contributing to the Section 653(a)	F	Implemented and under GAO review for closure

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				reports, such as by enhancing their data information systems.		
17	Foreign Assistance: State Department Should Take Steps to Improve Timeliness of Required Budgetary Reporting	GAO-19-600	Sep 9, 2019	The Secretary of State should develop a plan to address vacancies within State's Office of U.S. Foreign Assistance Resources, consulting with the USAID Administrator as appropriate.	F	Implemented and under GAO review for closure
18	Nuclear Security: The International Atomic Energy Agency Could Improve Priority Setting, Performance Measures, and Funding Stabilization	GAO-19-429	Jul 29, 2019	The Secretary of State should work with IAEA and its member states through the Board of Governors to improve the nuclear security program's performance measures by developing baselines and measurable targets.	ISN	GAO is holding this recommendation open in anticipation of possible further development and refinement of the International Atomic Energy Agency (IAEA)'s performance measures for its nuclear security program activities (and other Agency activities).
19	Nuclear Security: The International Atomic Energy Agency Could Improve Priority Setting, Performance Measures, and Funding Stabilization	GAO-19-429	Jul 29, 2019	The Secretary of State should work with IAEA and its member states through the Board of Governors to improve how the Division of Nuclear Security (DNS) reports to member states by consistently including the results of performance measures in at least one of the reports.	ISN	GAO is holding this recommendation open because they intend to review future IAEA reporting to assess the extent to which the Nuclear Security Reports, the IAEA Annual Reports, and the IAEA Program Performance Reports address all the nuclear security program performance measures. GAO's concern was none of these reports were <i>comprehensive</i> , in that no single report reported on performance related to all the identified measures. And in some cases, GAO found measures that were not reported on in any of the three IAEA reports.
20	Nuclear Security: The International Atomic Energy Agency Could Improve Priority Setting, Performance Measures, and Funding Stabilization	GAO-19-429	Jul 29, 2019	The Secretary of State should work with IAEA and its member states through the Board of Governors to analyze	ISN	GAO is holding this recommendation open in anticipation of possible future progress to stabilize Division of Nuclear Security (DNS) funding.

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				options to stabilize DNS's funding within current fiscal and political constraints to enhance the sustainability of IAEA's nuclear security program.		
21	Cybersecurity: Agencies Need to Fully Establish Risk Management Programs and Address Challenges	GAO-19-384	Jul 25, 2019	The Secretary of State should update the department's policies to require (1) an organization-wide risk assessment, (2) an organization-wide strategy for monitoring control effectiveness, (3) system-level risk assessments, (4) the use of risk assessments to inform security control tailoring, and (5) the use of risk assessments to inform POA&M prioritization.	IRM	IRM has drafted updates to the applicable policies and procedures to integrate risk at all three levels -- organization, bureau, and information systems -- into the Department's Information Security Program. These updates are being cleared within the Department and should be finalized in late 2022.
22	Cybersecurity: Agencies Need to Fully Establish Risk Management Programs and Address Challenges	GAO-19-384	Jul 25, 2019	<b>(Priority)</b> The Secretary of State should establish and document a process for coordination between cybersecurity risk management and enterprise risk management functions.	IRM	IRM has drafted updates to the Department's policies to address the risk-based policy gaps identified during GAO's review. These updates are being cleared within the Department and should be finalized in late 2022.
23	Nonimmigrant Investors: Actions Needed to Improve E-2 Visa Adjudication and Fraud Coordination	GAO-19-547	Jul 17, 2019	The Assistant Secretary of State for Consular Affairs should provide additional training or related resources to consular officers and locally employed staff on adjudicating E-2 visas, to cover topics that include	CA	A webinar was recorded in June 2021 that will be used as a training aid for mid-level consular officers attending the Foreign Service Institute (FSI), George P. Shultz National Foreign Affairs Training Center. This webinar covered both the E-1 and E-2 visa process and eligibility requirements. The webinar/recording is also accessible for

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				the E-2 eligibility requirements and understanding business- and tax-related documents.		consular officers as an optional elective for those attending courses at FSI.  The Bureau of Consular Affairs (CA) is in the planning stages on additional training for locally employed staff and will need to work with other government agencies that are experts on tax related documents.
24	Nonimmigrant Investors: Actions Needed to Improve E-2 Visa Adjudication and Fraud Coordination	GAO-19-547	Jul 17, 2019	The Assistant Secretary of State for Consular Affairs should develop minimum standards for E-2 company registration programs, such as standards for how often companies are to be re-vetted.	CA	9 FAM 402.9 was revised on July 15, 2021. CA has not provided updated guidance to embassies and consulates on minimum standards for E-2 company registration programs, but they are reviewing the programs of posts that currently have those in place to gather best practices.
25	Nonimmigrant Investors: Actions Needed to Improve E-2 Visa Adjudication and Fraud Coordination	GAO-19-547	Jul 17, 2019	The Assistant Secretary of State for Consular Affairs should develop and implement a process to ensure that posts maintain required E-2 visa application documentation.	CA	The Department has amended 9 FAM 402.9 to instruct consular officers to scan required E-2 documentation into each visa applicant's record. Further, the updates to the DS-160 to incorporate the questions from the DS-156E are complete. GAO is reviewing documentation to determine if sufficient to close this recommendation.
26	Cloud Computing: Agencies Have Increased Usage and Realized Benefits, but Cost and Savings Data Need to Be Better Tracked	GAO-19-58	Apr 4, 2019	The Secretary of State should ensure that the CIO establishes a consistent and repeatable mechanism to track savings and cost avoidances from the migration and deployment of cloud services.	IRM	In Q4 FY 2021, the Department implemented a consistent and repeatable mechanism within its current IT investment tracking system, iMatrix to denote cloud services cost savings and avoidances. Quarterly, the Department asks the Investment teams to update the investment's cost savings and avoidance via iMatrix on the Performance tab in the Cost Savings and Avoidance section.  In addition, on an annual basis, the Department provides training to all Investment teams on how to enter and maintain cost savings and avoidance in

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						iMatrix. IRM will provide the additional documentation requested by GAO in FY 2022 Quarter 3.
27	Cybersecurity Workforce: Agencies Need to Accurately Categorize Positions to Effectively Identify Critical Staffing Needs	GAO-19-144	Mar 12, 2019	<b>(Priority)</b> To complete the appropriate assignment of codes to their positions performing IT, cybersecurity, or cyber-related functions, in accordance with the requirements of the Federal Cybersecurity Workforce Assessment Act of 2015, the Secretary of State should take steps to review the assignment of the "000" code to any positions in the department in the 2210 IT management occupational series, assign the appropriate NICE framework work role codes, and assess the accuracy of position descriptions.	GTM	<p>The Phase 2 review of IT positions for cybersecurity codes is complete. Of the positions reviewed for phase 2, about 25% required further discussion with the position's manager to ensure accuracy of their cybersecurity code designations. Our classifiers are continuing to work with managers to ensure all these positions are properly described and coded. The Department anticipates completing Phase 3 by mid-2022, after launching after the 1<sup>st</sup> of the year (2022).</p> <p>The Department also developed a comprehensive training that equips all Civil Service and Foreign Service supervisors and managers with the necessary knowledge to determine the proper cybersecurity coding for IT positions. The session focused on:</p> <ul style="list-style-type: none"> <li>• How to read and understand the National Initiative for Cybersecurity Education (NICE) Framework and the Task, Knowledge, Skills and Abilities identified in the position description; and</li> <li>• How to define and align the correct cyber codes to the work roles as described in the position description.</li> </ul> <p>The training was facilitated in a live session by our Chief Information Security Officer (CISO) and Director of Civil Service Talent Management to reinforce the importance of the content. It was also recorded and</p>

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						distributed via Department Notice to ensure it reaches all who manage IT positions.
28	Embassy Construction: Pace Is Slower Than Projected, and State Could Make Program Improvements	GAO-18-653	Sep 25, 2018	<b>(Priority)</b> The Secretary of State should determine the estimated effects of cost inflation on planned CSCP embassy construction capacity and time frames and update this information for stakeholders on a regular basis, such as through the annual budgeting process.	OBO	<p>The Bureau of Overseas Buildings Operations (OBO) collected data on the effects of inflation on construction projects over the past few years and is providing this information to stakeholders through the budget process.</p> <p>To close the recommendation, GAO has suggested the Department incorporate this data into the FY 2023 Congressional Budget Justification, which will be published in early 2022. OBO does include this data in its budget documentation. Given other OBO funding priorities, such as staffing or residential housing, the annual budget request may reflect absorption of inflationary factors. OBO will include the requested information in the ESCM chapter of the FY 2023 Congressional Budget Justification- Appendix 1.</p>
29	Central American Police Training: State and USAID Should Ensure Human Rights Content Is Included as Appropriate, and State Should Improve Data	GAO-18-618	Sep 5, 2018	The Secretary of State should ensure that the Bureau of International Narcotics and Law Enforcement Affairs (INL) designs internal control mechanisms to ensure human rights content is included in INL-funded police training for El Salvador, Guatemala, and Honduras as appropriate.	INL	In November 2021, the Bureau of International Narcotics and Law Enforcement Affairs (INL) met with GAO to determine what additional official documentation would be acceptable to disseminate guidance to all the Northern Triangle countries requiring human rights training across all implementing partners. INL anticipates sending out a cable in early CY 2022 to satisfy this requirement.
30	Central American Police Training: State and USAID Should Ensure Human Rights Content Is Included as	GAO-18-618	Sep 5, 2018	The Secretary of State should ensure that the Bureau of International Narcotics and Law Enforcement Affairs (INL)	INL	GAO has sufficient evidence of the “develop” part of the “ <i>develops and implements a process to collect more reliable data on the number of police trained</i> ” but needs additional evidence on the “and implemented”



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	Appropriate, and State Should Improve Data			develops and implements a process to collect more reliable data on the number of police trained through INL-funded efforts in El Salvador, Guatemala, and Honduras.		part of the recommendation. GAO requested additional documentation to close this recommendation.
31	Native American Cultural Property: Additional Agency Actions Needed to Assist Tribes with Repatriating Items from Overseas Auctions	GAO-18-537	Aug 6, 2018	The Secretary of State should direct State's members of the interagency working group for protection of Native American cultural property to implement selected leading collaboration practices, such as taking steps to agree on outcomes and objectives, clarify roles and responsibilities, and document these decisions.	ECA	The interagency working group continues to work on this recommendation and is expected to complete their work in early CY 2022.
32	Native American Cultural Property: Additional Agency Actions Needed to Assist Tribes with Repatriating Items from Overseas Auctions	GAO-18-537	Aug 6, 2018	The Secretary of State should direct State's members of the interagency working group for protection of Native American cultural property to collaborate with the interagency working group members from other agencies to assess, in consultation with Indian tribes, whether and how amending the U.S. legal framework governing the export, theft, and trafficking of Native American cultural items would facilitate the	ECA	The interagency working group continues to work on this recommendation and is expected to complete their work in early CY 2022.

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				repatriation of these items from auctions overseas and report its findings to Congress.		
33	Federal Chief Information Officers: Critical Actions Needed to Address Shortcomings and Challenges in Implementing Responsibilities	GAO-18-93	Aug 2, 2018	The Secretary of State should ensure that the department's IT management policies address the role of the CIO for key responsibilities in the six areas we identified.	IRM	Due to the large volume of policies that will need to be updated with the correct language and removal of contradicting language, IRM has developed a plan to outline which polices require updating and coordinating these updates with the various owning bureaus and subject matter experts within the Department. This effort is ongoing and crosses over multiple bureaus, so time is the biggest factor in completing all the updates needed.
34	Information Technology: Agencies Need to Involve Chief Information Officers in Reviewing Billions of Dollars in Acquisitions	GAO-18-42	Jan 10, 2018	The Secretary of State should ensure that IT acquisition plans or strategies are reviewed and approved according to OMB's guidance.	IRM	On September 25, 2019, a Department Notice was released to all Department personnel. The Department Notice states the following: "Chief Information Officer (CIO) approval is required for IT acquisitions exceeding \$10,000; the Bureau of Administration's Office of the Procurement Executive (A/OPE) will not process IT acquisitions that exceed \$10,000 without CIO approval. Beginning October 1, 2019, CIO approval will be granted from within ILMS Ariba. In addition, the Department made changes to ILMS (procurement system) to identify IT procurements and to add the CIO's 'approver group' to the process."  IRM will provide additional documentation to GAO in FY 2022 Quarter 3.
35	Democracy Assistance: State Should Improve Accountability Over Funding; USAID Should Assess Whether New Processes Have Improved Award Documentation	GAO-18-136	Dec 14, 2017	<b>(Priority)</b> The Secretary of State should direct the Bureau of International Narcotics and Law Enforcement Affairs to identify and address factors	CGFS	Throughout FY 2021, the Bureau of the Comptroller and Global Financial Services (CGFS) and the Bureau of Administration (A) collaborated to provide DATA Act compliance support to improve the quality of data reported to FPDS-NG for all overseas

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				<p>that affect the reliability of its democracy assistance data, such as miscoded or missing data.</p>		<p>acquisitions, including INL procurements, through the following efforts:</p> <ul style="list-style-type: none"> <li>•Developing and distributing a user-friendly report to provide post-specific variance data and suggested corrective actions, made available to posts through the A/OPE DATA Act SharePoint.</li> <li>•Providing hands-on support for post by answering questions directed to a shared mailbox and communicating best practices during quarterly office hours sessions.</li> <li>•Sending targeted communications to high variance posts, holding one-on-one working sessions, and distributing management scorecards to help struggling posts proactively implement compliance best practices.</li> <li>•Developing a Robotics Process Automation (RPA) bot to populate missing required fields on draft FPDS records for overseas acquisitions. The bot was developed in FY 2021 and will be implemented in FY 2022 to support DATA Act compliance efforts.</li> </ul> <p>These DATA Act initiatives will continue in FY 2022 to further reduce reporting variances through corrective actions, as well as encourage best practices to proactively avoid generating variances.</p> <p>Additionally, in FY 2021, CGFS and A partnered with F to support the Foreign Assistance Data Review (FADR) effort to increase the data quality of foreign assistance funded requests in response to federal mandates such as the Foreign Aid Transparency and Accountability Act (FATAA). The FADR system changes went live in December 2020. In June 2021, OIG</p>

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						closed three recommendations related to the change management, training, and communications of FADR. With systems changes complete, A and CGFS will focus on monitoring and sustaining the FADR changes in FY 2022 by providing supporting guidance to Bureaus and Offices.
36	Federal Contracting: Additional Management Attention and Action Needed to Close Contracts and Reduce Audit Backlog	GAO-17-738	Sep 28, 2017	To enhance management attention to closing out contracts, the Secretary of State should develop a means at the agency level to track data on the entirety of the number and type of contracts eligible for closeout, where the contracts are in the closeout process, and establish goals and performance measures for closing contracts.	A	<p>The Bureau of Administration's Office of Acquisition Management (AQM) has begun an effort to digitize and archive the official files of all inactive AQM contracts. As part of this effort an inventory is being prepared to identify all contract actions ready for closeout. The contracts will be categorized into contract types, and research will be conducted to determine unexpended funding balances and last award dates. In addition, the contracts are being reviewed to assess audit requirements for flexibly priced contracts. Once a complete inventory of all retired contracts that includes these data points has been developed, contracts will be prioritized for closeout, metrics will be established based on closeout timelines in FAR 4.804, and performance will be assessed against these metrics.</p> <p>The Department anticipates the inventory, digitization, categorizing, prioritizing, and assessing for audit of all inactive contracts will be completed by January 1, 2023. The Department also anticipates the development of metrics to be completed by February 1, 2023.</p>
37	Overseas Allowances: State Should Assess the Cost-Effectiveness of Its Hardship Pay Policies	GAO-17-715	Sep 13, 2017	<b>(Priority)</b> The Department's Comptroller should analyze available diplomatic cable data from overseas posts to identify	CGFS	CGFS Global Compensation continues to identify, document, and seek repayment of the overpayment of allowances and differences as they occur as part of the notification of arrivals and departures. They continue to

	GAO Report Title	GAO Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
				posts at risk of improper payments for hardship pay, identify any improper payments, and take steps to recover and prevent them.		<p>communicate the importance of timely actions to the regional bureaus and posts to ensure underpayments and overpayments do not occur.</p> <p>The Department is currently working to address the need to streamline the receipt of this information to CGFS Global Compensation and the need to enforce compliance.</p>
38	Refugees: Actions Needed by State Department and DHS to Further Strengthen Applicant Screening Process and Assess Fraud Risks	GAO-17-706	Jul 31, 2017	To provide reasonable assurance that USRAP applicant fraud prevention and detection controls are adequate and effectively implemented, the Secretaries of Homeland Security and State should conduct regular joint assessments of applicant fraud risk across USRAP.	PRM	State and DHS finalized a joint framework in January 2018 and provided GAO the interim progress report on their efforts to assess applicant fraud risk across the U.S. Refugee Admission Program (USRAP) in February 2019. The final report is expected to be completed by May 31, 2022. To fully address the recommendation, State and DHS are expected to conduct regular fraud risk assessments across USRAP.
39	Federal Chief Information Security Officers: Opportunities Exist to Improve Roles and Address Challenges to Authority	GAO-16-686	Aug 26, 2016	To ensure that the role of the CISO is defined in department policy in accordance with FISMA 2014, the Secretary of State should define the CISO's role in department policy for ensuring that the department has procedures for incident detection, response, and reporting.	IRM	The Department's new E-CISO assumed the position in April 2021. The E-CISO will discharge cybersecurity responsibilities and authorities in full accord with applicable federal law and regulations with an emphasis on 44 USC chapter 35, subchapters 1 and 2, Coordination of Federal Information Policy, and 40 USC §11331, Responsibilities for Federal Information Systems Standards. The E-CISO is additionally responsible for directing and reporting agency-wide compliance with current and emergent Federal cybersecurity mandates to Department leadership, OMB, and Congress. GAO will be provided a copy of the 12 FAH policy when finalized, to close the recommendation.

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40	IT Dashboard: Agencies Need to Fully Consider Risks When Rating Their Major Investments	GAO-16-494	Jun 2, 2016	To better ensure that the Dashboard ratings more accurately reflect risk, the Secretary of State should direct the CIO to factor active risks into their IT Dashboard CIO ratings.	IRM	The Department of State soft launched its Chief Information Officer Information Technology Evaluation (CITE) framework in July 2021. The new framework addresses GAO's recommendations by fully considering risk for rating IT investments on the IT Dashboard. The framework considers active and residual investment risk and supports more thorough assessment of an investment's ability to achieve its intended goals. The CITE Dashboard shows evidence of the framework's implementation and use for the Department's major IT investments, along with other select investments. Upon formal approval of the framework, it will become the basis for review, and along with CIO judgement, will determine updates to the CIO risk ratings at least once per quarter on the IT Dashboard. IRM will provide additional documentation to GAO in FY 2022 Quarter 3.
41	IT Dashboard: Agencies Need to Fully Consider Risks When Rating Their Major Investments	GAO-16-494	Jun 2, 2016	To better ensure that the Dashboard ratings more accurately reflect risk, the Secretary of State should direct the CIO to ensure that their CIO ratings reflect the level of risk facing an investment relative to that investment's ability to accomplish its goals.	IRM	As noted above, the new CITE framework addresses GAO's recommendations by fully considering risk for rating IT investments on the IT Dashboard. The framework considers active and residual investment risk and supports more thorough assessment of an investment's ability to achieve its intended goals. GAO has requested additional information to close this recommendation.
42	Telecommunications: Agencies Need Better Controls to Achieve Significant Savings on Mobile Devices and Services	GAO-15-431	May 21, 2015	To help the department effectively manage spending on mobile devices and services, the Secretary of State should ensure an inventory of mobile devices and	IRM	IRM has provided an inventory of mobile devices and services. GAO needs additional evidence on how IRM ensures it has a complete inventory and identifies where the inventory is to be maintained.

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				services is established department-wide (i.e., all components' devices and associated services are accounted for).		
43	Telecommunications: Agencies Need Better Controls to Achieve Significant Savings on Mobile Devices and Services	GAO-15-431	May 21, 2015	To help the department effectively manage spending on mobile devices and services, the Secretary of State should ensure a reliable department-wide inventory of mobile service contracts is maintained.	IRM	GAO has requested a mobile service contracts inventory that includes monthly cost information required by OMB guidance.
44	Summer Work Travel Program: State Department Has Taken Steps to Strengthen Program Requirements, but Additional Actions Could Further Enhance Oversight	GAO-15-265	Feb 11, 2015	To enhance State's efforts to protect SWT participants from abuse and the SWT program from misuse, the Secretary of State should direct the Bureau of Education and Cultural Affairs to establish a mechanism to ensure that sponsors provide complete and consistent lists of fees that participants must pay.	ECA	GAO closed this recommendation as not implemented because State cannot fully implement without the Summer Work Travel rule.
45	Summer Work Travel Program: State Department Has Taken Steps to Strengthen Program Requirements, but Additional Actions Could Further Enhance Oversight	GAO-15-265	Feb 11, 2015	To enhance State's efforts to protect SWT participants from abuse and the SWT program from misuse, the Secretary of State should direct the Bureau of Education and Cultural Affairs to establish a mechanism to ensure that information	ECA	GAO closed this recommendation as not implemented because State cannot fully implement without the Summer Work Travel rule.

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				about these participant fees is made publicly available.		
46	Summer Work Travel Program: State Department Has Taken Steps to Strengthen Program Requirements, but Additional Actions Could Further Enhance Oversight	GAO-15-265	Feb 11, 2015	To enhance State's efforts to protect SWT participants from abuse and the SWT program from misuse, the Secretary of State should direct the Bureau of Education and Cultural Affairs to establish detailed criteria that will allow State to assess the sufficiency and appropriateness of opportunities for cultural activities outside the workplace that sponsors provide to SWT participants.	ECA	GAO closed this recommendation as not implemented because State cannot fully implement without the Summer Work Travel rule.
47	Organizational Transformation: Enterprise Architecture Value Needs to Be Measured and Reported	GAO-12-791	Sep 26, 2012	To enhance federal agencies' ability to realize enterprise architecture benefits, the Secretary of State should fully establish an approach for measuring enterprise architecture outcomes, including a documented method (i.e., steps to be followed) and metrics that are measurable, meaningful, repeatable, consistent, actionable, and aligned with the agency's enterprise architecture's strategic goals and intended purpose.	IRM	GAO closed this recommendation as not implemented because OMB is no longer requiring agencies to report their enterprise architecture outcomes.



**Department of State**  
**Status of Open Office of Inspector (OIG) Recommendations**  
**Fiscal Year 2023**

	<b>OIG Report Title</b>	<b>OIG Report Number</b>	<b>Publicly Released</b>	<b>Recommendation</b>	<b>Bureau</b>	<b>Status and Timeline</b>
1	Management Assistance Report: Legal Determination Concerning Department of State Non-Acquisition Interagency Agreements Is Needed	AUD-MERO-20-24	4/15/2020	OIG recommends that the Bureau of Administration, Office of the Procurement Executive, update Procurement Information Bulletin 2014-05 and other Department of State policy governing non-acquisition interagency agreements, once it receives the legal determination resulting from Recommendation 1, regarding the use of a notice to proceed to extend the period of performance for a non-acquisition interagency agreement, to incorporate the policy and communicate the determination to all relevant stakeholders.	Office of the Procurement Executive	<p>The Office of the Procurement Executive, Office of Acquisitions Policy – Strategic Communications and Policy Division (A/OPE/AP/SCPD) continues to draft new policy which includes an Interagency Agreement (IAA) Guidebook. The IAA Guidebook contains definitions, authorities, and basic instruction set for completion of an IAA, as well as the Department of Treasury updated standard forms.</p> <p>Both the IAA Guidebook and a Senior Procurement (SPE) memo, which outlines the new policy, are being reviewed by Department stakeholders. Once this review is complete, the Office of the Procurement Executive (A/OPE) anticipates that the SPE Memo and IAA Guidebook will be finalized, distributed, and posted to the A/OPE intranet site during of Quarter 3 of FY 2022.</p>
2	Management Assistance Report: Legal Determination Concerning Department of State Non-Acquisition Interagency Agreements Is Needed	AUD-MERO-20-24	4/15/2020	OIG recommends that the Bureau of Administration, Office of the Procurement Executive, update Procurement Information Bulletin 2014-05 and other Department of State policy governing non-acquisition interagency agreements, once it receives the legal determination and ratification procedures	Office of the Procurement Executive	<p>The Office of the Procurement Executive, Office of Acquisitions Policy – Strategic Communications and Policy Division (A/OPE/AP/SCPD) continues to draft new policy which includes an Interagency Agreement (IAA) Guidebook. The IAA Guidebook contains definitions, authorities, and basic instruction set for completion of an IAA, as well as the Department of Treasury updated standard forms.</p>

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				resulting from Recommendation 3, to incorporate the policy and communicate the ratification procedures to all relevant stakeholders.		Both the IAA Guidebook and a Senior Procurement (SPE) memo which outlines the new policy are being reviewed by Department stakeholders. Once the reviews are complete, the Office of the Procurement Executive (A/OPE) anticipates that the SPE Memo and IAA Guidebook will be finalized, distributed, and posted to the A/OPE intranet site during of Quarter 3 of FY 2022.
3	Audit of the Bureau of European and Eurasian Affairs Administration and Oversight of Selected Contracts and Grants	AUD-CGI-18-50	8/13/2018	OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, develop and implement procedures to verify that Contracting Officers are monitoring Contracting Officer's Representatives files in accordance with Procurement Information Bulletin No. 2014-10.	Office of Acquisitions Management	<p>On the November 16, 2021, compliance update, the Office of the Procurement Executive, Office of Acquisition Policy, Strategic Communication &amp; Policy Division (A/OPE/AP/SCPD) reported that it is on track as the new policy continues through the review cycle. This new policy will be issued as a Senior Procurement Executive (SPE) Memorandum.</p> <p>Once the policy has been communicated to the workforce, ample time must be allotted for the contracting officers to adhere to this new policy doctrine. Therefore, any compliance checks must afford them the opportunity to begin following the new policy and using the new system checklists. Files selected for the OPE compliance review would include those awarded after the issuance of the new policy doctrine. These reviews are not anticipated to commence until Quarter 2 of FY 2023.</p>

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4	Audit of the Bureau of European and Eurasian Affairs Administration and Oversight of Selected Contracts and Grants	AUD-CGI-18-50	8/13/2018	OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, develop and implement procedures to verify that Contracting Officers are developing quality assurance surveillance plans for all service contracts and monitoring Contracting Officers Representatives adherence to the quality assurance surveillance plans.	Office of Acquisitions Management	<p>The Office of the Procurement Executive, Office of Acquisition Policy, Strategic Communication &amp; Policy Division (A/OPE/AP/SCPD) is on track as the new policy continues through the review cycle. This new policy will be issued as a Senior Procurement Executive (SPE) Memorandum.</p> <p>Once the policy has been communicated to the workforce, ample time must be allotted for the contracting officers to adhere to this new policy doctrine. Therefore, any compliance checks must afford them the opportunity to begin following the new policy and using the new system checklists. Files selected for the OPE compliance review would include those awarded after the issuance of the new policy doctrine. These reviews are not anticipated to commence until Quarter 2 of FY 2023.</p>
5	Audit of Selected Internal Controls for the Special Needs Education Allowance	AUD-FM-20-33	6/24/2020	OIG recommends that the Bureau of Administration, Deputy Assistant Secretary for Operations, Office of Allowances, develop and implement internal controls to ensure the Special Needs Education Allowance rates are reviewed annually, as required by Office of Allowances standard operating procedures.	Office of Allowances	The Bureau of Administration updated the Standard Operating Procedure (SOP) in August to include the internal controls referenced in the previous response. The internal controls were provided to the OIG, however, the SOP methodology for determining the Special Needs Education Allowance by using actual rates from the Bureau of Comptroller and Global Financial Services is undergoing complete review.
6	Audit of Selected Internal Controls for the Special	AUD-FM-20-33	6/24/2020	OIG recommends that the Bureau of Administration, Deputy Assistant Secretary	Office of Allowances	After consultation with the Office of the Legal Advisor (L), the Bureau of Comptroller and Global Financial

	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
	Needs Education Allowance			for Operations, Office of Allowances, update its methodology for calculating the Special Needs Education Allowance school at post and school away from post rates to require the use of actual Special Needs Education Allowance expenditures as the basis for the establishing rates.		Services (CGFS), and the Bureau of Medical Services (MED), the Bureau of Administration has determined that an additional review of the methodology must occur for the Special Needs Education Allowance (SNEA) “at Post” and “away from Post.” The Bureau of Administration, L, CGFS and MED will discuss and document the correct path forward in order to establish a policy for SNEA obligations and expenses to ensure that the rates are established in compliance with the law.
7	Audit of the Department of State Implementation of Policies Intended To Counter Violent Extremism	AUD-MERO-19-27	6/26/2019	OIG recommends that the Bureau of African Affairs develop and implement standard operating procedures to align its regional strategy objectives, sub-objectives, and performance indicators for countering violent extremism with Department of State and Bureau of Counterterrorism and Countering Violent Extremism strategies, goals, and objectives.	Bureau of African Affairs	The Bureau of African Affairs (AF) has developed and is implementing standard operating procedures to align its regional strategy objectives, sub-objectives, and performance indicators for countering violent extremism with Department of State and Bureau of Counterterrorism and Countering Violent Extremism (CT) strategies, goals, and objectives and priorities laid out in the State-USAID Joint Regional Strategy.
8	Audit of the Department of State Bureau of African Affairs Monitoring and Coordination of the Trans-Sahara Counterterrorism Partnership Program	AUD-MERO-20-42	9/30/2020	OIG recommends that the Bureau of African Affairs develop and implement procedures that ensure personnel charged with monitoring and overseeing the Trans-Sahara Counterterrorism Partnership projects in the countries of	Bureau of African Affairs	The Bureau of African Affairs (AF) and the Bureau of Political-Military Affairs (PM) spoke to Defense Security Cooperation Agency (DSCA) representatives in early 2022. The next step is to reconvene a call with DSCA, U.S. Africa Command, and State.

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				performance are formally authorized to perform these functions through designation as a government technical monitor or alternate contracting officer's representatives in accordance with the Foreign Affairs Handbook Section 14 FAH-2 H-140.		
9	Audit of the Department of State Bureau of African Affairs Monitoring and Coordination of the Trans-Sahara Counterterrorism Partnership Program	AUD-MERO-20-42	9/30/2020	OIG recommends that the Bureau of African Affairs develop a written framework and work with other Government entities to achieve a whole-of-government approach in the execution of the Trans-Sahara Counterterrorism Partnership program that includes elements such as roles and responsibilities, organizational structure, and coordination mechanisms.	Bureau of African Affairs	The Bureau of African Affairs (AF) continues to work within AF and other State/interagency stakeholders to formulate the written framework to achieve a whole-of-government approach in the execution of the Trans-Sahara Counterterrorism Partnership program that includes elements such as roles and responsibilities, organizational structure, and coordination measures.
10	Audit of the Department of State Bureau of African Affairs Monitoring and Coordination of the Trans-Sahara Counterterrorism Partnership Program	AUD-MERO-20-42	9/30/2020	OIG recommends that the Bureau of African Affairs establish and implement memoranda of understanding with the Office of Security Cooperation and other partners, as applicable, to describe roles and responsibilities for coordinating, executing, and monitoring Trans-Sahara Counterterrorism Partnership	Bureau of African Affairs	The Bureau of African Affairs (AF) and the Bureau of Political-Military Affairs (PM) spoke to Defense Security Cooperation Agency (DSCA) representatives in early 2022. The next step is to reconvene a call with DSCA, U.S. Africa Command, and State.

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				(TSCTP) projects in the countries where TSCTP is implemented, including establishment of a structure for communicating and coordinating in-country.		
11	Audit of the Department of State Bureau of African Affairs Monitoring and Coordination of the Trans-Sahara Counterterrorism Partnership Program	AUD-MERO-20-42	9/30/2020	OIG recommends that the Bureau of African Affairs, in coordination with relevant bureaus, establish, populate, and maintain a central repository of all Trans-Sahara Counterterrorism Partnership projects, accessible to those involved with the execution of projects, that includes project name and identification number, the project proposal, the award mechanism, a detailed description of the project, and project documentation needed for implementation.	Bureau of African Affairs	The Bureau of African Affairs (AF) will coordinate a Portal briefing to the Trans-Sahara Counterterrorism Partnership projects (TSCTP) working group in early 2022 to familiarize participants and detail the requested way forward to provide a central repository of TSCTP projects.
12	Inspection of Embassy Addis Ababa, Ethiopia	ISP-I-18-18	5/25/2018	Embassy Addis Ababa, in coordination with the Bureau of Administration, should implement property management internal controls, in accordance with Department standards.	Embassy Addis Ababa	Post has completed the installation of 50 fuel monitoring devices on generators at residences. Embassy Addis Ababa sent this information to OIG in a compliance update and is awaiting OIG's closure of this recommendation.
13	Inspection of Embassy Addis Ababa, Ethiopia	ISP-I-18-18	5/25/2018	Embassy Addis Ababa should implement a real property management program that is fully compliant with Department requirements.	Embassy Addis Ababa	Post's Occupational Safety and Health Officer is in process of entering certifications for 65 apartments that were activated in the Real Property Application (RPA) in December 2021. Concurrently, 63 leases are

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						undergoing deactivation in RPA. Once the data entry in complete, post will have 155 of 165 assigned units certified or 94 percent. Post expects this process to be complete by the end of the second quarter of FY 2022.
14	Inspection of Embassy Addis Ababa, Ethiopia	ISP-I-18-18	5/25/2018	The Bureau of Overseas Buildings Operations, in coordination with Embassy Addis Ababa, should implement a plan to address the embassy's safety, health, and environmental management deficiencies.	Embassy Addis Ababa	Post's Occupational Safety and Health Officer is in process of entering certifications for 65 apartments that were activated in Real Property Application (RPA) in late 2021. Concurrently, 63 leases are undergoing deactivation in RPA. Once the data entry in complete, post will have 155 of 165 assigned units certified or 94 percent. Post expects this process to be complete by the end of the second quarter of FY 2022.
15	Inspection of Embassy Banjul, The Gambia	ISP-I-19-04	11/21/2018	Embassy Banjul, in coordination with the Bureau of the Comptroller and Global Financial Services, should collect outstanding salary advances of \$2,000 due from former employees and put those funds to better use.	Embassy Banjul	The single remaining advance awaits the Associate Comptroller's final decision. Post has followed up with The Bureau of the Comptroller, Global Financial Services (CGFS) regarding the status of this final outstanding advance as recently as September 1, 2021. CGFS has confirmed that the package is with the Associate Comptroller for a decision, and they hope to have a decision soon. Post will continue to follow up until this matter is closed.
16	Inspection of Embassy Djibouti, Djibouti	ISP-I-18-14	4/18/2018	Embassy Djibouti should implement a comprehensive residential fuel delivery program that complies with Department standards.	Embassy Djibouti	The General Services Office (GSO) Procurement section has successfully completed the solicitation process for electronic fuel monitors with only one vendor submitting a bid. Post's GSO, Facilities Manager, and the Financial Management section reviewed the bid and found the costs of the fuel



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						monitoring system to be substantially more than what post budgeted. To address Post's inadequate fuel monitoring system, post implemented a more robust manual monitoring system while continuing to research alternate electronic monitoring systems. Should Post be unable to find a reasonable electronic monitoring system, Post is confident that the system now in place is sufficient to meet the requirements of a more robust residential fuel monitoring system.
17	Inspection of Embassy Djibouti, Djibouti	ISP-I-18-14	4/18/2018	Embassy Djibouti should conduct annual information technology contingency plan testing of the unclassified and classified networks in accordance with Department standards.	Embassy Djibouti	Embassy Djibouti has been in the process of updating contingency plans with an expected date of completion of late winter/early spring 2022. In December 2021, Post conducted a test of the unclassified and classified backup systems to ensure that if data is lost due to an emergency, it can be recovered. Post is also actively working on the installation of an alternate satellite circuit. The hardware for the alternate circuit is awaiting installation by the vendor. There are no confirmed dates at this time.
18	Inspection of Embassy Libreville, Gabon	ISP-I-19-16	6/19/2019	Embassy Libreville should verify and document that grant recipients were eligible to receive \$272,600 in foreign assistance funds and that the funds were used for the intended purpose. If the recipients are deemed ineligible or funds were not used for the intended	Embassy Libreville	Post remains without an economic officer and has not been staffed in this position since 2020. Although Post has made substantial progress in finalizing all documentation on these grants, it continues to operate under limitations. OIG has accepted this and expressed its understanding in its latest response to Post. Post does not have a firm date as to

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				purpose, Embassy Libreville should recover the funds in accordance with the grant agreements.		completion but will continue to update OIG on progress.
19	Inspection of Embassy Libreville, Gabon	ISP-I-19-16	6/19/2019	Embassy Libreville should update its consular internet pages to ensure the information complies with Department standards.	Embassy Libreville	This is related to the Sao Tome and Principe (STP) consular pages not being in Portuguese. Post has notified OIG that it was without a consular officer for 16 months until October 2021. In addition, Post does not have the requisite language skills among its personnel. Post notified OIG in its latest response that it was unlikely to complete this recommendation before the transfer of authority for STP to Embassy Luanda, who does have the requisite staffing and language skills to close this recommendation.
20	Inspection of Embassy Ouagadougou, Burkina Faso	ISP-I-20-18	9/24/2020	Embassy Ouagadougou should retire its official records in accordance with Department standards.	Embassy Ouagadougou	Post conducted an extensive review of paper-based holdings and determined that there are no Program material records/files requiring immediate archiving except for those that have already been done so electronically. Post's Records Officer and Health Unit will consult with the Bureau of Medical Services regarding the categorization and disposal of medical records. Files belonging to the Office of Security and Cooperation, which were stored in the Front Office, have been disposed of. During the second quarter of FY 2022 Post will destroy Facilities Maintenance and Human Resources paper files that are no longer required to be archived. Post issued a policy on the retirement of eMessages and will

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						conduct embassy-wide training on the handling and disposition of State Department official records.
21	Inspection of Embassy Ouagadougou, Burkina Faso	ISP-I-20-18	9/24/2020	Embassy Ouagadougou should comply with applicable Department overseas motor vehicle safety standards for chauffeurs and incidental drivers under chief of mission authority.	Embassy Ouagadougou	Post's sole Smith System, the Department's defensive driving training course for anyone operating government-owned vehicles, certified trainer is unable to train personnel due to an injury. Post has a participant scheduled to take the course in March 2022, noting that the January course was cancelled due to COVID-19. Post's Medical Provider, who arrived mid-December, has resumed medical exams.
22	Inspection of Embassy Pretoria and Constituent Posts, South Africa	ISP-I-20-09	1/28/2020	Embassy Pretoria should conduct end-use monitoring checks of defense, dual-use, or sensitive equipment in accordance with Department standards.	Embassy Pretoria	Adapting to the COVID-19 situation, the elimination of Bureau of International Narcotics and Law Enforcement-funded positions in Mission South Africa, and grants documents language, Embassy Pretoria started to conduct remote end use monitoring checks of defense, dual-use, or sensitive equipment in accordance with Department standards. Over 70 percent of the end use checks have been as of the end of January 2021.
23	Review of Allegations of Improper Passport Seizures at Embassy Sana'a, Yemen	ESP-19-01	10/31/2018	The Bureau of Consular Affairs, Office of Passport Services, in conjunction with the Bureau of Diplomatic Security, should develop centralized, searchable databases to track and manage passport revocation cases, as well as retentions of passports and other documents seized on	Bureau of Consular Affairs	Due to budget constraints, projects under The Bureau of Consular Affairs's (CA) modernization effort are delayed. CA is currently working on implementing services and capabilities that are higher on the priority list for the ConsularOne system. These services/capabilities are also the prerequisites and foundational for centralized management and tracking of the revoked passports (and other documents).

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				suspicion of fraud when citizens apply for consular services, or under other circumstances, and to track confiscations of such documents if they are seized on grounds other than retention authority.		
24	Inspection of the Bureau of Consular Affairs, Kentucky Consular Center	ISP-I-19-36	8/27/2019	The Bureau of Consular Affairs, in coordination with the Bureau of Administration, should conduct a space utilization study of the Kentucky Consular Center's Appalachian Processing Center and implement a plan for its cost-effective use or repurposing.	Bureau of Consular Affairs	Drawings are ninety-five percent complete after representatives from the architecture firm were onsite in fall 2021, are currently being reviewed for comments, and are due back to the contractor in early winter 2022. The Bureau of Diplomatic Security (DS) has delivered comments and resolved known issues. The schedule now calls for estimated 100 percent completion of Architectural and Engineering (AE) drawings by winter 2022. The Bureau of Consular Affairs (CA) working to secure project funding in the second quarter of FY 2022 for construction.
25	Audit of the Department of State Travel Card Program	AUD-CGI-16-48	9/27/2016	OIG recommends that the Bureau of the Comptroller and Global Financial Services develop, implement, and publish policies in the Foreign Affairs Manual and/or Foreign Affairs Handbook governing cash advances on Department-issued travel cards, specifically regarding the timing and dollar value of cash advances that may be obtained.	Bureau of the Comptroller and Global Financial Services	The Foreign Affairs Manual updates are still being reviewed by the Department. The Bureau of the Comptroller and Global Financial Services (CGFS) continues to engage with the bureaus on their review, however CGFS does not have an expected date for the reviews to be complete or the publication in the Foreign Affairs Manual.

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26	Audit of the Department of State Travel Card Program	AUD-CGI-16-48	9/27/2016	OIG recommends that the Bureau of the Comptroller and Global Financial Services establish controls to identify Department employees eligible to receive a travel card who travel more than two times in a 12-month period and verify that they obtain and use a Department-issued travel card for all official travel-related expenses.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) has implemented a non-usage software automation tool to identify employees who travel and do not use the Individually Billed Account (IBA) travel card. This tool has been piloted in the Bureau of the Comptroller and Global Financial Services for 18 months. The Bureau of the Comptroller and Global Financial Services has reviewed the tool with other bureaus to obtain their feedback. Upon issuance of the Foreign Affairs Manual changes, the non-usage tool will be implemented. An implementation plan to include roll-out of the tool and the types of communication has been prepared.
27	Audit of the Department of State Travel Card Program	AUD-CGI-16-48	9/27/2016	OIG recommends that the Bureau of the Comptroller and Global Financial Services develop and implement split disbursement procedures as required by the Office of Management and Budget for all Department-issued travel card holders.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) continues to discuss implementing the split disbursements with Carlson Wagonlit's development of agency-funded enhancements. CGFS will continue to work with Carlson Wagonlit, the software developers, and Citibank on the final design and implementation of the split disbursement solution as Carlson Wagonlit's development resources become available. CGFS will then be able to develop a timeline for implementation.
28	Compliance Follow-up Review: Department of State Has Not Implemented Recommendations Pertaining to Official	ISP-C-17-32	8/9/2017	The Bureau of the Comptroller and Global Financial Services, in coordination with the Bureau of Human Resources, should	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services continues to review proposed guidance with Department officials and plans to issue

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	Residence Expense Staff Salaries			issue consistent guidance to overseas missions on the proper procedures for paying Official Residence Expense staff salaries within 30 days of the issuance of this report.		guidance and procedures once drafted and cleared by the appropriate bureaus.
29	Compliance Follow-up Review: Department of State Has Not Implemented Recommendations Pertaining to Official Residence Expense Staff Salaries	ISP-C-17-32	8/9/2017	The Bureau of the Comptroller and Global Financial Services, in coordination with the Bureau of Human Resources, should revise and reissue consistent Foreign Affairs Manual and Foreign Affairs Handbook guidance on paying Official Residence Expense staff salaries within 6 months of the issuance of this report.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services continues to review proposed guidance with Department officials and plans to issue guidance and procedures once drafted and cleared by the appropriate bureaus.
30	Management Assistance Report: Department of State Guidance Does Not Comply With Federal Travel Regulations	AUD-CGI-20-37	6/24/2020	OIG recommends that the Bureau of the Comptroller and Global Financial Services update the Foreign Affairs Manual to reflect the requirements and exemptions found in the Federal Travel Regulation, 41 C.F.R., §§ 301-51.1, 301-51.2, 301-51.3, and 301-51.4.	Bureau of the Comptroller and Global Financial Services	The Foreign Affairs Manual updates are still being reviewed by the Department. The Bureau of the Comptroller and Global Financial Services (CGFS) continues to engage with bureaus during their review and final draft edits but does not have an expected date for the review to be complete. When completed, CGFS will notify the OIG when the changes have been published.
31	Management Assistance Report: Department of State Guidance Does Not Comply With Federal Travel Regulations	AUD-CGI-20-37	6/24/2020	OIG recommends that the Bureau of the Comptroller and Global Financial Services update the Foreign Affairs Handbook to reflect the requirements and exemptions found in the Federal Travel Regulation,	Bureau of the Comptroller and Global Financial Services	The Foreign Affairs Manual updates are still being reviewed by the Department. The Bureau of the Comptroller and Global Financial Services (CGFS) continues to engage with bureaus during their review and final draft edits but does not have an expected date for the review to be complete. When completed, CGFS

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				41 C.F.R., §§ 301-51.1, 301-51.2, 301-51.3, and 301-51.4.		will notify the OIG when the changes have been published.
32	Audit of Selected Internal Controls for the Special Needs Education Allowance	AUD-FM-20-33	6/24/2020	OIG recommends that the Bureau of the Comptroller and Global Financial Services incorporate in the Foreign Affairs Manual or the Foreign Affairs Handbook required use of a Special Needs Education Allowance project code or a budget object code to record expenditures in the Department's financial management system.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) is currently waiting for the Foreign Affairs Manual updates to be reviewed by the Department. CGFS does not have a date as to when this will be complete.
33	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	Embassy New Delhi, in coordination with the Bureau of Counterterrorism and Countering Violent Extremism and the Bureau of South and Central Asian Affairs, should prepare a position description for the regional counterterrorism coordinator position, number 10262001, that contains an accurate statement of responsibilities.	Bureau of Counterterrorism and Countering Violent Extremism	The Bureau of Counterterrorism (CT) has agreed internally to discontinue the current regional counterterrorism coordinator position in India. CT will begin working with the regional bureau on how to transition the position based on the next availability for this position in September 2024.
34	Audit of the Department of State Implementation of Policies Intended To Counter Violent Extremism	AUD-MERO-19-27	6/26/2019	OIG recommends that the Bureau of Counterterrorism and Countering Violent Extremism seek designation from the Secretary of State to be the controlling authority on countering	Bureau of Counterterrorism and Countering Violent Extremism	The memo to the Secretary reconfirming the designation of the Bureau of Counterterrorism (CT) as the authority on countering violent extremism issues and policy is still pending. Once transmitted, the communication rollout will follow in the next 60 days.

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				violent extremism issues and policy.		
35	Audit of the Department of State Implementation of Policies Intended To Counter Violent Extremism	AUD-MERO-19-27	6/26/2019	OIG recommends that the Bureau of Counterterrorism and Countering Violent Extremism, in coordination with the Office of the Legal Adviser, provide written guidance for developing and implementing programs and projects intended to rehabilitate and reintegrate former violent extremists into society in a manner consistent with U.S. laws prohibiting material support to terrorists and terrorist organizations.	Bureau of Counterterrorism and Countering Violent Extremism	The guidance recommended by OIG has been drafted and will be vetted during a February 2022 State-USAID virtual rehabilitation and reintegration workshop with NGO implementing partners (pending any COVID delays). Final guidance will be reviewed within 45 days following the workshop.
36	Audit of the Department of State Implementation of Policies Intended To Counter Violent Extremism	AUD-MERO-19-27	6/26/2019	OIG recommends that the Bureau of Counterterrorism and Countering Violent Extremism, in coordination with the Office of U.S. Foreign Assistance Resources, the Office of the Under Secretary of State for Public Diplomacy and Public Affairs, Office of Policy, Planning, and Resources (PPR), and the Bureau of Budget and Planning, develop and implement a single definition for what constitutes a countering violent extremism program or project.	Bureau of Counterterrorism and Countering Violent Extremism	The revised "countering violent extremism" definition will be communicated internally via an upcoming Department notification.



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37	Audit of the Department of State Implementation of Policies Intended To Counter Violent Extremism	AUD-MERO-19-27	6/26/2019	OIG recommends that the Bureau of Counterterrorism and Countering Violent Extremism, in coordination with the Office of U.S. Foreign Assistance Resources, the Office of the Under Secretary of State for Public Diplomacy and Public Affairs, Office of Policy, Planning, and Resources, and the Bureau of Budget and Planning, establish a process to verify that grants and cooperative agreements awarded for the purpose of countering violent extremism comply with the definition established in Recommendation 6 as to what constitutes a countering violent extremism program or project.	Bureau of Counterterrorism and Countering Violent Extremism	The technical resource document, <i>CVE Principles of Program And Project Design</i> , is being drafted and reviewed by the Department. It will be communicated in the same manner as the documents in the corresponding recommendations.
38	Audit of the Department of State Implementation of Policies Intended To Counter Violent Extremism	AUD-MERO-19-27	6/26/2019	OIG recommends that the Bureau of Counterterrorism and Countering Violent Extremism, in coordination with the Office of U.S. Foreign Assistance Resources, the Office of the Under Secretary of State for Public Diplomacy and Public Affairs, Office of Policy, Planning, and Resources, and the Bureau of Budget and Planning,	Bureau of Counterterrorism and Countering Violent Extremism	The Bureau of Counterterrorism and the Office of Policy, Planning, and Resources have an agreed upon process by which to implement this recommendation and these steps will be implemented over the course of 2022 as use of Public Diplomacy Tools rolls out.

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				develop and implement procedures to ensure that bureaus and missions report only awards and expenditures that meet the definition of a countering violent extremism established in Recommendation 6 as to what constitutes a countering violent extremism program or project.		
39	Inspection of the Bureau of Counterterrorism	ISP-I-20-13	5/7/2020	The Bureau of Counterterrorism should implement a plan to improve policy guidance, training, and administrative support for Regional Field Coordinators.	Bureau of Counterterrorism and Countering Violent Extremism	The Bureau of Counterterrorism (CT) has agreed internally to discontinue the Regional Field Coordinator program. CT will begin working with the regional bureaus on how to transition the positions based on the next bidding cycle for each position.
40	Inspection of the Bureau of Counterterrorism	ISP-I-20-13	5/7/2020	The Bureau of Counterterrorism, in coordination with the Bureau of Administration, should designate an employee with substantive knowledge of contract 19AQMM18F2561 as the contracting officer's representative.	Bureau of Counterterrorism and Countering Violent Extremism	The Bureau of Counterterrorism has designated the Senior Public Affairs Officer as the Contracting Officer's Representative on this contract. This took effect in June 2021. The Senior Public Affairs Officer has the substantive knowledge required as they are responsible for the publication of the Country Reports on Terrorism.
41	Inspection of the Bureau of Counterterrorism	ISP-I-20-13	5/7/2020	The Bureau of Counterterrorism should comply with Department standards to prepare a bureau emergency action plan.	Bureau of Counterterrorism and Countering Violent Extremism	The Bureau of Counterterrorism emergency action plan is being reviewed internally. Due to COVID and staffing shortages, reviewing the document was severely delayed but should be published by end of second quarter 2022.
42	Inspection of the Bureau of Counterterrorism	ISP-I-20-13	5/7/2020	The Bureau of Counterterrorism should establish a records	Bureau of Counterterrorism and Countering	The records management Standard Operating Procedure is being reviewed internally. Due to COVID and staffing

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				management program that complies with Department standards.	Violent Extremism	shortages, the final Department review was severely delayed but should be published by end of third quarter 2022.
43	Inspection of the Bureau of Democracy, Human Rights, and Labor's Foreign Assistance Program Management	ISP-I-19-12	10/29/2018	The Bureau of Democracy, Human Rights, and Labor, in coordination with the Bureau of Administration, should finalize a service level agreement clarifying the Bureau of Administration's responsibilities for grants support.	Bureau of Democracy, Human Rights, and Labor	The Bureau of Democracy, Human Rights, and Labor (DRL) and the Office of Acquisition Management (AQM) continue to negotiate a Service Level Agreement between the two offices that meets the need and requirements of both Bureaus. DRL and AQM will update the OIG on a continuing basis as to the status and progress of the negotiations.
44	Audit of the Bureau of Diplomatic Security's Administration of the Armored Vehicle Program	AUD-SI-17-21	2/10/2017	OIG recommends that the Bureau of Diplomatic Security develop and implement guidance for overseas posts on the construction and use of shelters for armored vehicles.	Bureau of Diplomatic Security	The Bureau of Diplomatic Security has drafted a new Armored Vehicle (AV) Foreign Affairs Handbook (FAH) entry that is currently pending Department review that includes guidance for overseas posts on covered parking including what covered parking protects against.
45	Audit of the Bureau of Diplomatic Security's Administration of the Armored Vehicle Program	AUD-SI-17-21	2/10/2017	OIG recommends that the Bureau of Diplomatic Security update the current armored vehicle policy to define specifically what types of maintenance must be performed under an embassy employee's observation.	Bureau of Diplomatic Security	The Bureau of Diplomatic Security has drafted a new Armored Vehicle (AV) Foreign Affairs Handbook (FAH) entry that is currently pending Department review that includes guidance on AV maintenance.
46	Evaluation of the Department of State's Security Clearance Process	ESP-17-02	7/19/2017	The Bureau of Diplomatic Security, Office of Personnel Security and Suitability, in coordination with the Bureau of Human Resources, should establish clear responsibilities for the processing of security	Bureau of Diplomatic Security	To decrease this timeline, the Bureau of Diplomatic Security (DS) and the Bureau of Global Talent Management (GTM) began a pilot, with special thanks to the Bureau of Administration for creating the technology tools to facilitate, where GTM identifies a candidate to DS, and a DS customer

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				clearance requests in order to reduce or eliminate unnecessary delays in the clearance process and require appropriate training for all Human Resources personnel involved in the process.		service provider facilitates the initiation of their background investigation, including walking them through the government's standardized e-QIP system and forms. The pilot has yielded significant results, finalizing complete and accurate security packages in under two weeks. DS and GTM have ended the pilot phase and implemented this new process, with full implementation anticipated early in 2022.
47	Evaluation of the Department of State's Security Clearance Process	ESP-17-02	7/19/2017	The Bureau of Diplomatic Security should perform a workforce analysis of its employees who perform security clearance work to ensure that it has the proper staffing levels in place to meet its timeliness goals.	Bureau of Diplomatic Security	In order to strategically integrate additional personnel, as well as improve overall effectiveness of the organization, the Bureau of Diplomatic Security (DS) sought the expertise of an external source for in-depth review and analysis of the organization to ensure that DS gained mandatory efficiencies, attained improvements in clearance process workflows, and significantly reduced security clearance timelines. Accordingly, the DS Office of Personnel Security and Suitability modified its organizational structure to better align with each division's duties and respective missions. Realignment of divisional responsibilities recommended by the external source will better enable the Department to meet timeliness goals, capitalize on process improvements currently being piloted and proposed, and refocus on the mission of DS – ultimately improving timeliness of the security clearance process and, in turn, meeting Department priorities. Further evaluation is still ongoing.

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48	Evaluation of the Department of State's Security Clearance Process	ESP-17-02	7/19/2017	The Bureau of Diplomatic Security, Office of Personnel Security and Suitability should determine the actual cost of the security clearance work it performs for other Government agencies in order to fully recoup its expended funds, in accordance with the Economy Act and Department policy.	Bureau of Diplomatic Security	The Bureau of Diplomatic Security (DS) is working on a process of gathering all of the contributing costs, determining averages for investigative steps, and apportioning fixed costs appropriately. Currently, DS refers to and baselines the cost of security clearance work from the annual publication of security clearance pricing by the Office of Personnel Management (OPM). These amounts are used to request reimbursement of funds, from its customers through The Economy Act process.
49	Review of Allegations of Improper Passport Seizures at Embassy Sana'a, Yemen	ESP-19-01	10/31/2018	The Bureau of Consular Affairs and the Bureau of Diplomatic Security should ensure that all ARSO-Is receive appropriate training on the clarifications described in Recommendation 3 and identify a single point of contact for ARSO-Is and other DS agents seeking legal guidance.	Bureau of Diplomatic Security	In late October 2021, revisions to the Foreign Affairs Manual and Foreign Affairs Handbook were published to define the Bureau of Diplomatic Security's (DS) policy on seizing U.S. passports. Beginning in FY 2022, all ARSO-Is will be required to watch on an annual basis an instructional video on the new Department policies and procedures with respect to passport seizures. In FY 2022, the DS Overseas Criminal Investigations Division also will incorporate guidance into the established curriculum for the OT-151 ARSO-I courses, which all assigned ARSO-Is must successfully complete.
50	Audit of the Execution of Security-Related Construction Projects at U.S. Embassy Kabul, Afghanistan	AUD-MERO-19-40	9/20/2019	OIG recommends that the Bureau of Diplomatic Security, in coordination with the Bureau of Overseas Buildings Operations, take steps to develop	Bureau of Diplomatic Security	The Bureau of Diplomatic Security (DS) continues to clear the five draft Appendices for the Foreign Affairs Handbook approved by the Security Standards Committee (SSC) covering designs for physical security structures

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				standardized designs for physical security structures for use at volatile posts in high-threat, high-risk areas around the world.		for use at volatile posts. OIG was provided and has reviewed the drafts and determined that if/when issued as drafted, they would meet the intent of the recommendation. DS expects these five Foreign Affairs Handbook appendices will be made available for Department review, and final publication during FY 2022.
51	Management Assistance Report: Additional Guidance Needed to Improve the Oversight and Management of Locally Employed Staff Serving at Remote Missions	AUD-MERO-20-40	9/25/2020	OIG recommends that the Bureau of Diplomatic Security develop an addendum to its January 2020 Overseas Vetting Guidelines, including instructions regarding how security certifications should be updated if key parts of the background investigation cannot be completed and how to proceed if security certifications lapse. The addendum should include instructions specific to updating security certifications for locally employed staff at missions in suspended operations status.	Bureau of Diplomatic Security	The Bureau of Diplomatic Security (DS) formally published an update to the Overseas Vetting Guidelines (OVG) in December 2021. The OVG contains language in Section 1.8 regarding the action an RSO must take when a post is placed under suspended operations status. The OVG also states in Section 5.3 the investigative requirements for a limited background investigation. Also, Regional Security Office Local Vetting (RESOLVE) was updated in December 2021 to store and maintain limited background investigations. Going forward, DS plans to conduct a review of investigative data to accomplish a comprehensive risk analysis to further inform mitigation techniques when substantial, key components of the background investigation cannot be completed due to host nation conditions.
52	Management Assistance Report: The Bureau of Diplomatic Security Did Not Always Conduct Post Security Program Reviews Within Required Timeframes	AUD-SI-21-03	12/3/2020	OIG recommends that the Bureau of Diplomatic Security develop and execute a corrective action plan that implements the recommendations of its	Bureau of Diplomatic Security	The Bureau of Diplomatic Security (DS) evaluated its PSPR process, reviewed staffing levels and structure, and analyzed PSPR historical data. The resulting Resource Review Report had two recommendations: 1) that DS review PSPR data points a year after in-person

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				evaluation (Recommendation 1).		PSPRs resume to determine if the new PSPR frequency impacted its ability to complete PSPRs on time; and 2) that DS update the PSPR Standard Operating Procedure (SOP) to include scheduling the upcoming fiscal year's PSPRs in order to better plan. Regarding the first recommendation, an estimate from this review will be provided once in-person PSPRs resume. Regarding the second recommendation, DS implemented and executed it by updating the PSPR SOP to include scheduling the upcoming fiscal year's PSPRs to ensure RDs plan PSPRs in advance, which will assist in meeting PSPRs on time. DS continues to address one of the two recommendations related to the PSPR program, which will have a positive impact on the office's ability to conduct TDYs in a timely manner. The first recommendation was to fill the remaining PSC-14 desk officer positions. The PSC positions have been classified and DS/IP anticipates the vacancy announcements will be posted by February 28, 2022. DS/IP implemented the second recommendation, which was to identify training and TDY opportunities for CS and PSC staff to allow them to provide additional assistance to RSOs.
53	Inspection of Embassy Canberra and Constituent Posts, Australia	ISP-I-20-07	2/18/2020	Embassy Canberra, in coordination with the Bureaus of Overseas Buildings Operations and East Asian and Pacific	Embassy Canberra	Embassy Canberra continues to coordinate the sale with the Bureau of Overseas Buildings Operations (OBO). OBO has since hired a local agent who inspected the properties and is in the

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				Affairs, should dispose of property numbers R48 and R49 at Kalgoorlie Crescent in accordance with Department guidelines and put up to \$896,849 to better use.		process of drafting the sale contract and developing the marketing and sales campaign. Although OBO is actively marketing the properties there has been little interest as of January 2022.
54	Inspection of Embassy Kolonia, Federated States of Micronesia	ISP-I-19-05	2/4/2019	Embassy Kolonia, in coordination with Embassy Manila, should review \$707,000 in unliquidated obligations and deobligate any funds that are no longer needed.	Embassy Kolonia	Embassy Kolonia is closing out one last unliquidated obligation (ULO) which took more research than anticipated and should be able to close out this recommendation in February 2022
55	Inspection of Embassy Koror, Republic of Palau	ISP-I-19-06	2/4/2019	Embassy Koror should take actions to prepare for a consular crisis according to Department guidance.	Embassy Koror	The Management Officer for our Island Support Unit is currently in Koror and working with post to document this has been completed. The Embassy intends to send the OIG the results after that trip and anticipates being able to close this recommendation by the end of March 2022.
56	Inspection of Embassy Koror, Republic of Palau	ISP-I-19-06	2/4/2019	Embassy Koror should assess and test its warden system in accordance with Department standards.	Embassy Koror	The Management Officer for the Island Support Unit is currently in Koror and working with post to document that this recommendation is completed. The Embassy intends to send the OIG the results in February 2022 and ask that this be closed.
57	Inspection of Embassy Koror, Republic of Palau	ISP-I-19-06	2/4/2019	Embassy Koror, in coordination with Embassy Manila, should review all unliquidated obligations, deobligate any funds that are no longer needed, and put up to \$823,547 to better use.	Embassy Koror	Embassy Koror, in coordination with Embassy Manila, reviewed all unliquidated obligations, deobligated any funds that are no longer needed, and put up to \$823,547 to better use. We expect OIG to close the recommendation after they review Embassy Koror's latest compliance update.



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58	Inspection of the Bureau of European and Eurasian Affairs	ISP-I-20-15	9/28/2020	The Bureau of European and Eurasian Affairs should review and de-obligate any of the unliquidated obligations totaling \$3.3 million without activity for over 1 year and put de-obligated funds to better use.	Bureau of European and Eurasian Affairs	Unliquidated obligations are still open and valid for existing contracts and interagency agreements. The Bureau of European and Eurasian Affairs will continue to pay invoices and close out unliquidated obligations when finalized.
59	Inspection of the Bureau of European and Eurasian Affairs	ISP-I-20-15	9/28/2020	The Bureau of European and Eurasian Affairs, in coordination with the Bureau of Administration, should evaluate the administrative professional services contract in accordance with Office of Acquisitions Management direction and Department standards.	Bureau of European and Eurasian Affairs	Outstanding contractor performance assessment evaluations have all been completed. The Bureau of European and Eurasian Affairs is waiting on OIG to review their latest compliance update and expects OIG to close this recommendation upon the conclusion of their review.
60	Inspection of Consulate General Hamilton, Bermuda	ISP-I-21-03	11/3/2020	Consulate General Hamilton should close out procurement files in accordance with Department standards.	Consulate General Hamilton	Consulate General Hamilton has made progress in closing open procurement files, citing near 800 files closed since the OIG inspection - over half of the total open files. Consulate General Hamilton has implemented new procedures that ensure files are closed, moving forward.
61	Inspection of Embassy Bern, Switzerland	ISP-I-20-21	5/11/2020	Embassy Bern, in coordination with the Bureau of Global Talent Management, should update its local compensation plan to comply with Government of Switzerland labor law, in accordance with Department standards.	Embassy Bern	Embassy Bern continues to work with the Bureau of Global Talent Management (GTM) to ensure that the local compensation plan (LCP) complies with Swiss labor law. The LCP is being reviewed by GTM. Embassy Bern anticipates the LCP being fully reviewed by the Department by the end of the second quarter FY 2022.

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62	Inspection of Embassy London and Constituent Posts, United Kingdom	ISP-I-20-12	8/10/2020	Embassy London, in coordination with the Bureau of Overseas Buildings Operations, should bring Consulate General Edinburgh’s consular waiting room into compliance with current Department standards.	Embassy London	The plans to bring Consulate General Edinburgh’s consular waiting room into compliance are complete and Embassy London is awaiting on a response from the local planning authority. Embassy London is working with the Bureau of Overseas Buildings Operations to draft a solicitation for the construction. Embassy London anticipates the solicitation period to closes in Summer 2022 and construction to begin in Fall 2022.
63	Inspection of Embassy London and Constituent Posts, United Kingdom	ISP-I-20-12	8/10/2020	Embassy London, in coordination with the Bureaus of the Comptroller and Global Financial Services, Global Talent Management, and European and Eurasian Affairs, should implement a plan to fund current liabilities and reduce future liabilities in the locally employed staff defined benefit pension plan in order to comply with local labor law and address long-term funding concerns.	Embassy London	The employer contribution to the plan has been increased to 40.9 percent to maintain a balance commensurate with future liabilities. Embassy London and senior officers from the coordinating bureaus are engaged in a series of consultations on the most appropriate future direction. Embassy London is working to contract out a consultative service that will lead post through the process to resolve the issue and intends to have a plan in place by Spring 2022, at which time we hope this recommendation to be closed.
64	Inspection of Embassy London and Constituent Posts, United Kingdom	ISP-I-20-12	8/10/2020	Embassy London, in coordination with the Bureaus of European and Eurasian Affairs and of the Comptroller and Global Financial Services, should reprogram additional Diplomatic and Consular Program-funded information management positions to	Embassy London	The first of four information management positions were transitioned to the International Cooperative Administrative Support System (ICASS) in October 2021. Embassy London ICASS Council has approved moving the remaining three information management positions to ICASS and has a concrete plan to complete those shifts

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				International Cooperative Administrative Support Services-funded positions in accordance with Department standards, in order to put funds of \$243,993 over 3 years, per position, to better use.		by Summer 2025 after the transferring of current officers out of their assignments.
65	Inspection of Embassy London and Constituent Posts, United Kingdom	ISP-I-20-12	8/10/2020	Embassy London should dispose of excess nonexpendable property in accordance with Department guidelines.	Embassy London	Embassy London has reduced its nonexpendable property of aging assets (over 24 months) to just two percent of total holdings. Embassy London is continuing to reduce the number of aged assets every month.
66	Inspection of Embassy London and Constituent Posts, United Kingdom	ISP-I-20-12	8/10/2020	Embassy London should close out procurement files in accordance with Department standards.	Embassy London	Embassy London continues to work diligently at closing old e-files while also maintaining standards of service for our customers. There are 3,113 outstanding e-files from 2019 and prior. This is a reduction of 1,313 e-files since last reported. Of these, 629 are with Procurement and 2,484 are with Pending Financials. Embassy London has been prioritizing 2018 and 2019 e-files. Of the remaining open files, 2,416 are from 2017 and prior.
67	Inspection of Embassy London and Constituent Posts, United Kingdom	ISP-I-20-12	8/10/2020	Embassy London should complete the systems authorization process for its locally developed applications as required by Department standards.	Embassy London	Embassy London continues to work to transition the locally developed applications onto the approved platforms. Embassy London has deployed a replacement telephone directory system and decommissioned the non-compliant system. It is anticipated that by late winter 2022, Embassy London will move to an approved platform for the hotel reservation system.

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68	Inspection of Consulate General Hamilton, Bermuda	ISP-I-21-03	11/3/2020	Embassy London should request that the Department of Homeland Security initiate National Security Decision Directive 38 procedures to either retain or abolish its Customs and Border Protection positions at Consulate General Hamilton that have been vacant for at least 2 years.	Embassy London	Embassy London has requested that Customs and Border Protection (CBP) officers either initiate or abolish the vacant positions. CBP has indicated that issues of funding as a result of a decrease in user fees collected from travelers is resulting in a delay in a final decision on the number of positions. Embassy London is awaiting the final decisions.
69	Inspection of Embassy Prague, Czech Republic	ISP-I-20-28	9/14/2020	Embassy Prague, in coordination with the Bureau of Overseas Buildings Operations, should bring its safety, health, and environmental management program into compliance with Department standards.	Embassy Prague	Embassy Prague developed and implemented a program in compliance with Department standards and is awaiting final review and approval from the Bureau of Overseas Building Operations. Upon receipt of this final review, Embassy Prague will work to close this recommendation.
70	Inspection of Embassy Prague, Czech Republic	ISP-I-20-28	9/14/2020	Embassy Prague, in coordination with the Bureau of Overseas Buildings Operations, should bring its fire protection program into compliance with Department standards.	Embassy Prague	Embassy Prague developed and implemented a program in compliance with Department standards and is awaiting final review and approval from the Bureau of Overseas Building Operations. At the conclusion of this final review, Embassy Prague will work to close this recommendation.
71	Inspection of Embassy Tirana, Albania	ISP-I-19-26	8/7/2019	Embassy Tirana, in coordination with the Bureau of Overseas Buildings Operations and the Bureau of Consular Affairs, should provide a wheelchair-accessible interview window for consular clients as required by Department standards.	Embassy Tirana	In December 2021, a request for proposal was issued for a contractor to design and construct a solution to provide a service window that meets the Bureau of Overseas Buildings Operations accessibility requirements.

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72	Inspection of Embassy Tirana, Albania	ISP-I-19-26	8/7/2019	Embassy Tirana, in coordination with the Bureau of Overseas Buildings Operations, should perform seismic evaluations of embassy residential properties in accordance with Department standards.	Embassy Tirana	Embassy Tirana is waiting on funding from the Bureau of Overseas Buildings Operations. Once funding is received, Embassy Tirana plans to have its highest risk residences inspected first.
73	Audit of Monitoring and Evaluating Department of State Foreign Assistance in the Philippines	AUD-MERO-19-39	9/20/2019	OIG recommends that the Office of U.S. Foreign Assistance Resources enforce evaluation policies by developing and implementing an oversight plan to verify that bureaus and offices that are administering foreign assistance funding are implementing and adhering to the Foreign Aid Transparency and Accountability Act of 2016, the Foreign Affairs Manual (18 FAM 301.4), and the corresponding Guidance for the Design, Monitoring and Evaluation Policy at the Department of State, January 2018. This plan should include, at a minimum, a requirement to verify that definitions of “programs” and “large programs” are consistent with the FAM and a requirement to verify that Bureau Evaluation Plans are developed and implemented	Office of Foreign Assistance	The Office of U.S. Foreign Assistance drafted a Foreign Affairs Manual (FAM) update to address the OIG’s concerns surrounding “program” and “large program” definitions in 2019 and coordinated review with Department stakeholders in 2020. During a review in 2020, suggestions for additional edits were made that hinged on the interpretation of current legislation, as well as passage of draft legislation. Now that the legislation has passed via the National Defense Authorization Act (NDAA), the Department is reviewing the Office of U.S. Foreign Assistance's internal policy updates to ensure alignment with the NDAA prior to publishing.

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				in accordance with existing guidance and by the established deadlines.		
74	(U) Audit of Global Engagement Center Federal Assistance Award Management and Monitoring	AUD-MERO-20-26	4/22/2020	OIG recommends that the Global Engagement Center implement to the extent feasible the results of the staffing needs assessment conducted in response to Recommendation 1.	Global Engagement Center	The Global Engagement Center (GEC) successfully re-advertised the positions discussed in this recommendation and anticipates onboarding all persons selected by spring 2022 at which point GEC will have fully implemented the recommendation.
75	Inspection of Embassy Monrovia, Liberia	ISP-I-17-12	5/24/2017	Embassy Monrovia, in coordination with the Bureau of Human Resources, should update its local employee staff human resources handbook and local compensation plan to reflect the Government of Liberia's labor law.	Bureau of Global Talent Management	This recommendation was transferred to the Bureau of Global Talent Management (GTM) in April 2019. GTM worked with post to revise several sections of the local compensation plan. Revisions to the two remaining sections, Severance Pay Plan and Mandatory Retirement Plan, were authorized in December 2021. GTM will provide OIG with the authorization cable and will request that the recommendation be closed.
76	Management Assistance Report: Department Can Take Steps Toward More Effective Executive Direction of Overseas Missions	ISP-17-38	7/26/2017	The Director General of the Foreign Service and Director of Human Resources should issue additional Foreign Affairs Manual and Foreign Affairs Handbook guidance requiring all chiefs of mission and deputy chiefs of mission to implement structured First- and Second-Tour employee programs in collaboration with First- and Second-Tour employees at their posts.	Bureau of Global Talent Management	The Bureau of Global Talent Management (GTM) is in the process of adding guidance to the Foreign Affairs Manual (FAM). The guidance has been fully reviewed by Department stakeholders and is currently under final review by the GTM Front Office. GTM expects the review to be complete by the end of the second quarter FY 2022.

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77	Inspection of Embassy Lisbon and Consulate Ponta Delgada, Portugal	ISP-I-18-22	5/4/2018	The Bureau of Human Resources should respond to Embassy Lisbon's request for proposed changes to the local compensation plan.	Bureau of Global Talent Management	The Bureau of Global Talent Management (GTM) continues to work with post on revisions to the local compensation plan (LCP), specifically the Bonus Plan and Local Leave Plan sections. Embassy Lisbon is working with local counsel regarding the revisions. GTM has not yet received the proposed changes to the LCP from post. Once revised language is agreed upon, GTM will initiate regional bureau and interagency review.
78	Inspection of Embassy Guatemala City, Guatemala	ISP-I-18-16	5/16/2018	Embassy Guatemala City, in coordination with the Bureau of Human Resources, should complete updates to the local compensation plan.	Bureau of Global Talent Management	This recommendation was transferred to the Bureau of Global Talent Management (GTM) in April 2021. GTM has been working on revisions to three sections of the local compensation plan. Revisions to the Separation Notice Plan and the Local Leave Plan were authorized in August 2021 and November 2021, respectively. Revisions to the Severance Pay Plan are expected to be authorized by the end of the second quarter in FY 2022.
79	Evaluation of the Department's Handling of Sexual Harassment Reports	ESP-20-06	9/30/2020	OIG recommends that GTM establish and implement timeliness standards for determining discipline for sexual harassment cases.	Bureau of Global Talent Management	The Bureau of Global Talent Management (GTM) has reviewed current timelines for determining discipline for sexual harassment cases to identify bottlenecks, achieve greater efficiency, and establish benchmarks for timeliness standards. Progress has already been made in addressing some process inefficiencies, under-staffing, and restructuring. GTM is in the process of developing a standard with a specific period of time which will be dependent on the complexity of the case. This

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						standard is expected to be developed by late winter 2022.
80	Management Assistance Report: Continued Deficiencies in Performance of Information Systems Security Officer Responsibilities at Overseas Posts	ISP-21-07	12/11/2020	The Bureau of Global Talent Management, in coordination with the Under Secretary for Management, the Bureaus of Diplomatic Security and Information Resource Management, and the regional bureaus, should conduct an organizational assessment of the information systems security officer program to determine the feasibility of creating full-time overseas positions and implement the results of the assessment with an appropriate reporting structure for those positions.	Bureau of Global Talent Management	The Bureau of Global Talent Management (GTM) completed an organizational assessment of the Information Systems Security Officer program. A draft assessment report was circulated to stakeholders for review and the assessment team is working on incorporating feedback received. GTM expects to complete the final assessment report by late winter 2022.
81	Inspection of U.S. Mission to the United Nations and Other International Organizations in Geneva, Switzerland	ISP-I-20-16	6/10/2020	Mission Geneva should bring the safety, health, and environmental management program into compliance with Department standards.	U.S. Mission to the United Nations and Other International Organizations in Geneva	Embassy Geneva implemented a program in compliance with Department Standards and is awaiting Bureau of Overseas Buildings Operations review of the program prior to sending communication to OIG requesting closure of recommendation.
82	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management develop and implement a process to (a) identify and review all bureau-specific IT investment methodologies (ones currently in place as well as ones that will be developed in the future); (b)	Bureau of Information Resource Management	The Department follows OMB Circular A-130 guidance and ensures that all IT programs conduct definitive technical, cost, and risk analyses of alternative design implementations. To ensure bureaus are following A-130 guidance pertaining to the selection of IT investments, the Bureau of Information Resource Management (IRM) has implemented processes for routine



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				determine whether the bureau-specific IT investment methodologies comply with Office of Management and Budget Circular A-130; and, if they do not comply, (c) provide bureaus with guidance regarding the modifications needed to fully comply and verify that the methodologies were modified as necessary. This effort should include reviewing the standard forms used by each bureau during the IT selection process to ensure consistency and compliance with Office of Management and Budget Circular A-130.		review including monthly CIO IT Evaluation and ongoing IT Program Review.
83	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management develop and implement policies and procedures to oversee and enforce requirements for bureaus and offices to avoid duplicative IT investments.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) will define what duplicative IT investments are and will be completed in the second quarter of FY 2022. Policies and procedures for preventing duplication will be updated along with strategies for addressing duplication. This will be completed by the fourth quarter of FY 2022.
84	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management, in coordination with the Bureau of Budget and Planning, develop and implement a process to verify that all	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) has started its evaluation and assessment of the benefits and costs of making more of its training mandatory. IRM continues to work with FSI on improving and

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				bureau and office IT investment managers and budget analysts complete the respective training courses related to IT capital planning and reporting that are provided annually.		incentivizing the IT capital planning training program.
85	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management, in coordination with the Bureau of Budget and Planning, develop and implement a process to validate the completeness of the data in iMatrix. At a minimum, this process should include an analysis of IT expenditures in the financial management system to ensure expenditures are reported in iMatrix, as needed.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) continues to perform routine review in FY2021 and is working to refine its data analysis capabilities in FY2022 with the goal to identify and correct data inaccuracies. IRM conducted weekly reviews of IT procurement activity. This exercise is aiding IRM in developing more robust automation and analysis techniques to enable identification and resolution of data quality issues.
86	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management, in coordination with the Bureau of Budget and Planning, develop and implement a process to validate the accuracy of data in iMatrix. This could include developing and implementing analytical procedures to identify anomalies in iMatrix data.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) and The Bureau of Budget and Planning (BP) established a process in FY2021 to ensure the budget information at the investment level is verified. BP provides topline numbers for those bureaus with large IT expenditures. This enables IRM to determine discrepancies between iMatrix entries and BP's information. IRM provides BP a report of the information in iMatrix and compares it to their control numbers. BP alerts IRM of any anomalies.

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87	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management develop and implement a process to verify that bureaus and offices are submitting source documents to support the information entered into iMatrix in accordance with the policy developed that requires bureaus and offices to submit source documents that support the information entered into iMatrix.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) has demonstrated that the Bureau is requiring documentation to be uploaded to iMatrix and that some review/verification of the documentation is being done. IRM will provide the OIG with additional documentation by Q4 FY 2022 of policy and/or procedures implementing actions related to the full review and verification of the uploaded materials.
88	Management Assistance Report: The Process to Authorize and Track Information Technology Systems Needs Improvement	AUD-IT-17-56	8/29/2017	OIG recommends that the Bureau of Information Resource Management develop and implement a corrective action plan that addresses how the Department will comply with Department policy on the Systems Authorization Process. The corrective action plan should identify the root cause of compliance failures, action steps to resolve such compliance failures, improvement benchmarks and a timeframe for completion, and an escalation process to hold system owners accountable.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) established a Project Management Office (PMO) to put in place a structured program and schedule for systems to obtain and maintain an Authority to Operate (ATO). The PMO is in the process of ingesting the FISMA systems that are currently operating without an ATO, or are near ATO expiration, into a work breakdown structure (WBS) to renew their ATO. IRM anticipates closure of this recommendation by the fourth quarter of FY 2022.
89	Audit of the Department of State's Information	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management	Bureau of Information	The Bureau of Information Resource Management (IRM) will provide the OIG with guidance in Section 4 of the

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	Technology Configuration Control Board			develop and implement guidance for change requests to require and include: (a) minimum testing standards for change requests, (b) instructions that testing be performed in advance of the change request being submitted and that the testing documentation be submitted as part of the change request process, and (c) a clearly defined technical review of the testing documentation that is submitted to verify the documentation complies with minimum standards.	Resource Management	Information Technology Configuration Control Board (ITCCB) Standard Operating Procedures to address items (a) (b) & (c) in recommendation 4. IRM anticipates closure of this recommendation by the fourth quarter of FY 2022.
90	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management define the roles, responsibilities, and technical skillsets for each technical review and voting area and develop and implement a vetting process to verify Technical Reviewers and Voters have the knowledge, skills, and abilities to perform their assigned duties related to the Information Technology Configuration Control Board process.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) will provide the OIG with the Information Technology Configuration Control Board (ITCCB) Standard Operating Procedure Section 4 that provided minimum guidance on roles and responsible for evaluating change requests. Directors of technical review organizations are responsible for appointing Subject Matter Experts via memo and vetting the expertise of personnel and their ability to perform the function. IRM anticipates closure of this recommendation by the fourth quarter of FY 2022.
91	Audit of the Department of State's Information	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information	Bureau of Information	The Bureau of Information Resource Management (IRM) will provide the

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	Technology Configuration Control Board			Resource Management develop and implement complete and consistent policies and procedures and supplemental guidance, such as a Submitter’s Guide, for the Information Technology Configuration Control Board process. The policies, procedures, and guidance should, at a minimum, include guidance on roles and responsibilities, detailed procedure steps for submitters, minimum testing requirements, instructions on how Technical Reviewers and Voters should conduct their review, the appropriate use of “stops,” and established timelines for the process.	Resource Management	OIG with the information Technology Configuration Control Board (ITCCB) Standard Operating Procedure which defines the roles, responsibilities, and technical skillsets for each technical review and voting area and develops and implements a vetting process to verify technical reviewers and voters have the knowledge, skills, and abilities to perform their assigned duties related to the ITCCB process. IRM anticipates closure of this recommendation by the fourth quarter of FY 2022.
92	Audit of the Department of State’s Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement required, periodic, training for Information Technology Configuration Control Board management and personnel, Bureau Sponsors, Technical Reviewers, Voters, and change request submitters involved in the Information Technology Configuration Control Board process.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) Information Technology Configuration Control Board (ITCCB) will work to develop and establish by end of FY 2022 periodic training for ITCCB management personnel, Bureau Sponsors, Technical Reviewers, Voters, and change request submitters involved in the ITCCB process.

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93	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement policies and procedures to hold officials accountable for failure to meet established deadlines in the Information Technology Configuration Control Board change request process. Once completed, the policies, procedures, and supplemental guidance discussed in Recommendation 12 should be updated.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) Information Technology Configuration Control Board (ITCCB) tracks and reports ITCCB metrics via the virtual application and individual change request dashboards. In FY 2022 IRM will continue to use the performance metrics to measure and improve the change request process and evaluate as we integrate into the Enterprise Change and Configuration Authority (ECCA). IRM anticipates closure of this recommendation by the fourth quarter of FY 2022.
94	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement a formal process to periodically gather, assess, and report on its change request review process timeliness metrics and to make those results available to its stakeholders and customers in addition to appropriate bureau officials.	Bureau of Information Resource Management	The Information Technology Configuration Control Board (ITCCB) will work to update the application to clarify the process timeline metrics. ITCCB will also be increasing usability of the report and incorporate the ITCCB report(s) in future training. The ITCCB anticipates updates to the application by the fourth quarter of FY 2022.
95	Audit of the Department of State's Local Configuration Control Boards	AUD-IT-19-36	7/24/2019	OIG recommends that the Bureau of Information Resource Management provide guidance to Local Configuration Control Boards on the documentation regarding IT	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) will provide the OIG with the updated Information Technology Configuration Control Board Standard Operating Procedures (SOP) by the fourth quarter of FY 2022 to address the IT configuration change

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				configuration change requests that must be retained at a post.		documentation that must be retained at post.
96	Audit of the Department of State's Local Configuration Control Boards	AUD-IT-19-36	7/24/2019	OIG recommends that the Bureau of Information Resource Management develop and issue standard operating procedures for overseas posts' Local Configuration Control Boards to follow when reviewing, approving, and implementing IT configuration change requests. These standard operating procedures should establish and implement a process that provides for the evaluation, approval, and documentation of IT change requests in accordance with Department of State policies and National Institute of Standards and Technology requirements.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) will provide the OIG with the updated Information Technology Configuration Control Board (ITCCB) Standard Operating Procedures (SOP) by the fourth quarter of FY 2022 that will provide guidance on minimum configuration information to be documented in the Post's Charter.
97	Audit of the Department of State's Local Configuration Control Boards	AUD-IT-19-36	7/24/2019	OIG recommends that the Bureau of Information Resource Management develop and implement a methodology to oversee Local Configuration Control Board (LCCB) activities, including LCCB approval of IT configuration change requests at the local level. This methodology should include specific procedures	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) will provide the OIG with the updated Information Technology Configuration Control Board (ITCCB) Standard Operating Procedures (SOP) by the fourth quarter of FY 2022 that will provide regarding guidance on Local Configuration Control Board activities, including Local Configuration Control Board activities approval of IT configuration change requests at the local level.

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				for verification of the LCCB's testing of approved changes, security impact analyses, and retention of required documentation.		
98	Management Assistance Report: Continued Deficiencies in Performance of Information Systems Security Officer Responsibilities at Overseas Posts	ISP-21-07	12/11/2020	The Bureau of Information Resource Management, in coordination with the Bureau of the Comptroller and Global Financial Services, should incorporate an attestation relating to the completion of information systems security officer responsibilities in the annual Chief of Mission Management Control Statement of Assurance.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) provided the OIG with the Statement of Assurance templates and annex that include the attestations for the ISSO. The OIG did not agree with the language in the attestation. IRM will work to adjust the language in the FY 2023 Statement of Assurance.
99	Management Assistance Report: Continued Deficiencies in Performance of Information Systems Security Officer Responsibilities at Overseas Posts	ISP-21-07	12/11/2020	The Bureau of Information Resource Management, in coordination with the Bureau of Diplomatic Security and regional bureaus, should define the roles and responsibilities for regional information systems security officers and liaisons and clarify the level of interaction and support these positions are to give to overseas information systems security officers, and update applicable Department standards as needed.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) is awaiting the Global Talent Management (GTM) final report that conducted an analysis of Information System Security Officers (ISSO) roles. IRM anticipates having a decision regarding the ISSO roles to include reporting chains and organizational structure by the third quarter of FY 2022.



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100	Review of the Afghan Special Immigrant Visa Program	AUD-MERO-20-35	6/16/2020	OIG recommends that the Secretary of State or his designee direct an evaluation to determine if legacy systems should be updated or made interoperable or if a new system should instead be developed to minimize redundancy in data entry. The evaluation results should include (a) deployment dates for the updated, modified, or new system or an explanation as to why these improvements would not be prudent to execute and (b) other improvements that can be made to promote the efficacy of the Afghan Special Immigrant Visa program. The results of this evaluation should be provided to OIG and, as appropriate, incorporated into congressional reporting.	Office of the Under Secretary for Management	The Bureau of Consular Affairs (CA) continues to work with U.S. Digital Service as they evaluate and identify any changes to current CA systems to improve Special Immigrant Visa processing for our Afghan allies.
101	Audit of Selected Internal Controls for the Special Needs Education Allowance	AUD-FM-20-33	6/24/2020	OIG recommends that the Under Secretary for Management designate the “M Family Special Needs Committee” as a permanent coordinating body to complete implementation of the Special Needs Education Allowance reform remedies and to identify and execute additional internal controls	Office of the Under Secretary for Management	The Department is working with the American Foreign Service Association (AFSA) on language in the Foreign Affairs Manual that describes processes and procedures related to the Special Needs Education Allowance. Once AFSA and the Department have reached mutually agreed upon language, the Foreign Affairs Manual update will be finalized. This update will be included as part of a comprehensive Foreign Affairs

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				that are necessary to effectually administer the Special Needs Education Allowance. The Foreign Affairs Manual should be updated to reflect the formal establishment of the committee, its membership, and responsibility for Special Needs Education Allowance administration.		Manual update involving other aspects of the Special Needs Education Allowance process.
102	Audit of Selected Internal Controls for the Special Needs Education Allowance	AUD-FM-20-33	6/24/2020	OIG recommends that the Under Secretary for Management develop and publish specific guidance in the Foreign Affairs Manual to clarify guidance on obtaining a medical clearance based on educational needs, as it relates to the Special Needs Education Allowance. At a minimum, the guidance should describe the process to obtain medical clearances for children with special needs.	Office of the Under Secretary for Management	The Department is working with the American Foreign Service Association (AFSA) on language in the Foreign Affairs Manual that describes processes and procedures related to the Special Needs Education Allowance. Once AFSA and the Department have reached mutually agreed upon language, the Foreign Affairs Manual update will be finalized. This update will be included as part of a comprehensive Foreign Affairs Manual update involving other aspects of the Special Needs Education Allowance process.
103	Audit of Selected Internal Controls for the Special Needs Education Allowance	AUD-FM-20-33	6/24/2020	OIG recommends that the Under Secretary for Management develop and incorporate specific guidance in the Foreign Affairs Manual for the Special Needs Education Allowance eligibility determination process. At a minimum, the guidance	Office of the Under Secretary for Management	The Department is working with the American Foreign Service Association (AFSA) on language in the Foreign Affairs Manual that describes processes and procedures related to the Special Needs Education Allowance. Once AFSA and the Department have reached mutually agreed upon language, the Foreign Affairs Manual update will be finalized. This update will be included as

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				should describe the process to determine eligibility for the allowance and a process to appeal an ineligible determination.		part of a comprehensive Foreign Affairs Manual update involving other aspects of the Special Needs Education Allowance process.
104	Audit of Selected Internal Controls for the Special Needs Education Allowance	AUD-FM-20-33	6/24/2020	OIG recommends that the Under Secretary for Management incorporate in the Foreign Affairs Manual the guidance outlined in the Department of State Standardized Regulations concerning the allowability of special needs children to reside at post when not in school.	Office of the Under Secretary for Management	The Department is working with the American Foreign Service Association (AFSA) on language in the Foreign Affairs Manual that describes processes and procedures related to the Special Needs Education Allowance. Once AFSA and the Department have reached mutually agreed upon language, the Foreign Affairs Manual update will be finalized. This update will be included as part of a comprehensive Foreign Affairs Manual update involving other aspects of the Special Needs Education Allowance process.
105	Audit of Selected Internal Controls for the Special Needs Education Allowance	AUD-FM-20-33	6/24/2020	OIG recommends that the Under Secretary for Management update guidance in the Foreign Affairs Manual, 3 FAM 3284, "Required Documentation," to include specific, detailed, required contents of an Individual Learning Plan for children with special needs that mirror the requirements prescribed by the Individuals with Disabilities Education Improvement Act for an individualized education program.	Office of the Under Secretary for Management	The Department is working with the American Foreign Service Association (AFSA) on language in the Foreign Affairs Manual that describes processes and procedures related to the Special Needs Education Allowance. Once AFSA and the Department have reached mutually agreed upon language, the Foreign Affairs Manual update will be finalized. This update will be included as part of a comprehensive Foreign Affairs Manual update involving other aspects of the Special Needs Education Allowance process.

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106	Audit of Selected Internal Controls for the Special Needs Education Allowance	AUD-FM-20-33	6/24/2020	OIG recommends that the Under Secretary for Management update guidance in the Foreign Affairs Manual, 3 FAM 3285, "Department of State Policy," to establish parameters and guidance for decision makers regarding the term "as flexibly as possible" used in reference to authorizing the Special Needs Education Allowance so that it complies with the requirements of the Department of State Standardized Regulations.	Office of the Under Secretary for Management	The Department is working with the American Foreign Service Association (AFSA) on language in the Foreign Affairs Manual that describes processes and procedures related to the Special Needs Education Allowance. Once AFSA and the Department have reached mutually agreed upon language, the Foreign Affairs Manual update will be finalized. This update will be included as part of a comprehensive Foreign Affairs Manual update involving other aspects of the Special Needs Education Allowance process.
107	Audit of Selected Internal Controls for the Special Needs Education Allowance	AUD-FM-20-33	6/24/2020	OIG recommends that the Under Secretary for Management (a) direct the "M Family Special Needs Committee" (as designated in Recommendation 1) to develop and implement monitoring activities for administering the Special Needs Education Allowance that, at a minimum and in accordance with the Standards for Internal Control in the Federal Government, include establishing a baseline, monitoring internal controls, and evaluating the results and (b) incorporate the responsibilities for	Office of the Under Secretary for Management	The Department is working with the American Foreign Service Association (AFSA) on language in the Foreign Affairs Manual that describes processes and procedures related to the Special Needs Education Allowance. Once AFSA and the Department have reached mutually agreed upon language, the Foreign Affairs Manual update will be finalized. This update will be included as part of a comprehensive Foreign Affairs Manual update involving other aspects of the Special Needs Education Allowance process.

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				monitoring the Special Needs Education Allowance in the Foreign Affairs Manual.		
108	Audit of Selected Internal Controls for the Special Needs Education Allowance	AUD-FM-20-33	6/24/2020	OIG recommends that the Under Secretary for Management (a) direct the “M Family Special Needs Committee” (as designated in Recommendation 1) to develop and implement a process to identify, evaluate, and remediate deficiencies identified with the Special Needs Education Allowance, that at a minimum and in accordance with the Standards for Internal Control in the Federal Government, include the reporting of issues, evaluations of issues, and corrective action documentation and (b) incorporate the responsibilities for the processes in the Foreign Affairs Manual.	Office of the Under Secretary for Management	The Department is working with the American Foreign Service Association (AFSA) on language in the Foreign Affairs Manual that describes processes and procedures related to the Special Needs Education Allowance. Once AFSA and the Department have reached mutually agreed upon language, the Foreign Affairs Manual update will be finalized. This update will be included as part of a comprehensive Foreign Affairs Manual update involving other aspects of the Special Needs Education Allowance process.
109	Audit of Selected Internal Controls for the Special Needs Education Allowance	AUD-FM-20-33	6/24/2020	OIG recommends that the Bureau of Medical Services develop and implement internal policies and procedures to guide the effective use of eMED to document the Special Needs Education Allowance application status. The	Bureau of Medical Services	eMED cannot be used in the way proposed, since it is a proprietary system developed a number of years ago to address the unique worldwide needs of the Department’s global provider and patient population. It is currently slated for replacement by a commercial product in the spring of 2022, however, and the Bureau of Medical Services is

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				policies and procedures should ensure accurate, real-time data are available to appropriate Department of State officials.		working to ensure the new system will accommodate the requirements for administering the clinical aspects of the Special Needs Education Allowance. The Bureau of Medical Services anticipates developing internal policies and procedures supporting this use when the new electronic health record becomes available. Training is planned domestically in early 2022 and overseas over the summer of 2022, which should allow closure of the recommendation by the fall of 2022.
110	Audit of the Oversight of Fuel Acquisition and Related Services Supporting Department of State Operations in Iraq	AUD-MERO-17-16	12/12/2016	OIG recommends that the Bureau of Near Eastern Affairs conduct a study to determine the appropriate numbers of trained, technically experienced, and certified oversight personnel required to oversee the Baghdad Life Support Services and Operations and Maintenance Support Services contracts, to include specific consideration of 2-year assignments, required Federal Acquisition Certification for Contracting Officer's Representatives certification levels, and appointment of additional assistant contracting officer's representatives.	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs is reviewing the study conducted to ensure the technical and certification requirements for oversight personnel are sufficiently robust. The report will be provided to the OIG to close out the recommendation.

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111	Audit of the Oversight of Fuel Acquisition and Related Services Supporting Department of State Operations in Iraq	AUD-MERO-17-16	12/12/2016	OIG recommends that the Bureau of Near Eastern Affairs use the results of the study from Recommendation 5 to nominate appropriate personnel so contracting officers with the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, can assign qualified oversight personnel for those contracts and task orders.	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs' (NEA) study is pending due to Ordered Departure. In the meantime, the Bureau continues to only nominate appropriate personnel and with the assistance and collaboration of the Bureaus of Global Talent Management's Office of Organization and Talent Analytics (GTM/OTA) and Administration's Office of Procurement Executive (A/OPE), on evaluating the relevance of traditional skill codes.
112	Aspects of the Invoice Review Process Used by the Bureau of Near Eastern Affairs to Support Contingency Operations in Iraq Need Improvement	AUD-MERO-17-33	3/3/2017	OIG recommends that the Bureau of Near Eastern Affairs, in coordination with the Bureau of Administration, provide sufficient resources to the Contract Management Office-Frankfurt to ensure all unallowable costs are identified and recovered in a timely manner. This review should begin with those invoices provisionally approved beginning in September 2014 and already identified as awaiting post-payment review and then going back to identify and review those provisionally approved invoices submitted before September 2014 that did not receive full review.	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs, in coordination with the Bureau of Administration, is working to collect the outstanding funds from All Native Group (ANG), which were previously determined to have covered unallowable costs.

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113	Aspects of the Invoice Review Process Used by the Bureau of Near Eastern Affairs to Support Contingency Operations in Iraq Need Improvement	AUD-MERO-17-33	3/3/2017	OIG recommends that the Bureau of Near Eastern Affairs, develop reliable, objective, clear, and measurable performance metrics and include these metrics in all current and future Bureau of Near Eastern Affairs Iraq contracts.	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs (NEA) will resume with the contracting process when both pandemic and security conditions to Iraq, as identified by NEA and Post, have improved sufficiently to allow operations to return to normal and to permit an orderly transition between service providers. Once the new task order is finalized, NEA will share it with OIG.
114	Audit of Cost Controls Within the Baghdad Life Support Services Contract Food Services Task Order SAQMMA14F0721	AUD-MERO-18-55	8/30/2018	OIG recommends that the Bureau of Near Eastern Affairs incorporate requirements for food services cost controls and a contractor cost control plan into the new worldwide support services contract and develop an acquisition plan that addresses their implementation and comprehensive oversight.	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs (NEA) will reissue the request when both pandemic and security conditions in Iraq, as identified by NEA and Post, have improved sufficiently to allow operations to return to normal and to permit an orderly transition between service providers and any necessary changeover of personnel at Department of State sites in Iraq. Once the new task order is finalized, NEA will share it with OIG in order to close out this recommendation.
115	Audit of the Bureau of Near Eastern Affairs Selection and Management of Contract Oversight Personnel in Iraq	AUD-MERO-19-10	11/29/2018	OIG recommends that the Bureau of Near Eastern Affairs (a) analyze all contracts for which it assigns Contracting Officer's Representatives and Government Technical Monitors and determine the appropriate level of Federal Acquisition Certification for Contracting Officer's Representatives, the technical expertise, and other qualifications required;	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs is working to ensure that relevant technical and certification requirements for oversight personnel are provided to Contracting Officers.



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				(b) document the analysis and determinations; (c) and provide the determinations to the Contracting Officers assigned to those contracts.		
116	Audit of the Bureau of Near Eastern Affairs Selection and Management of Contract Oversight Personnel in Iraq	AUD-MERO-19-10	11/29/2018	OIG recommends that the Bureau of Near Eastern Affairs compare Contracting Officer's Representative and Government Technical Monitor nominee qualifications to the analysis conducted for the Bureau's contracts as noted in Recommendation 1 and only nominate those with the necessary technical expertise and level of Federal Acquisition Certification for Contracting Officer's Representatives to oversee the contract and hold contractors accountable for quality and cost performance in accordance with contract terms.	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs is reviewing the study conducted to ensure the technical and certification requirements for oversight personnel are sufficiently robust. The report will be provided to the OIG to close out the recommendation.
117	Audit of the Bureau of Near Eastern Affairs Selection and Management of Contract Oversight Personnel in Iraq	AUD-MERO-19-10	11/29/2018	OIG recommends that the Bureau of Near Eastern Affairs discontinue the practice of nominating Contracting Officer's Representatives and Government Technical Monitors who do not meet Level III Federal Acquisition Certification for Contracting Officer's Representatives	Bureau of Near Eastern Affairs	The continued Ordered Departure status has adversely impacted the timeline for the Bureau of Near Eastern Affairs' (NEA) implementation of this recommendation. NEA continues to work to update the Contract Oversight Study for NEA Funded Support Contracts in Iraq.

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				and technical expertise requirements for its contracts or obtain a temporary waiver from the Bureau of Administration, Office of the Procurement Executive, as required by Procurement Information Bulletin No. 2012-15.		
118	Audit of the Department of State Implementation of Policies Intended To Counter Violent Extremism	AUD-MERO-19-27	6/26/2019	OIG recommends that the Bureau of Near Eastern Affairs develop and implement standard operating procedures to align its regional strategy objectives, sub-objectives, and performance indicators for countering violent extremism with Department of State and Bureau of Counterterrorism and Countering Violent Extremism strategies, goals, and objectives.	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs (NEA) is working closely with the Offices of Counterterrorism (CT), Public Diplomacy and Public Affairs, Foreign Assistance, and other regional bureaus to finalize the key definition of countering violent extremism and operationalize it with the relevant processes. Once the information memo outlining CT's implementation guidance has been fully cleared and accepted by the Secretary or his designee, NEA will finalize its standard guidance and operating procedures.
119	Audit of Mission Turkey and Embassy Beirut Fuel Oversight and Payment Process	AUD-MERO-20-19	1/30/2020	OIG recommends that Embassy Beirut, Lebanon, establish and implement procedures in the quality assurance surveillance plans for its fuel contracts that require oversight officials to (a) independently verify the quantity of fuel delivered using Department of State-owned flow meters and (b) detail the process that should be followed if fuel does not	Embassy Beirut	U.S. Embassy Beirut has added steps to the Quality Assurance Surveillance Plan for Discrepancy, Adjustments, and Reporting Theft for fuel delivered. US Embassy Beirut is looking into procuring diesel fuel test kits to test for water and micro contamination.

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				meet quality standards or if the volume of fuel measured by the embassy's flow meters does not match the quantity measured by the contractor's flow meters.		
120	Management Assistance Report: Additional Guidance Needed to Improve the Oversight and Management of Locally Employed Staff Serving at Remote Missions	AUD-MERO-20-40	9/25/2020	OIG recommends that the Yemen Affairs Unit 1) review all current locally employed staff titles and positions, 2) identify those that do not accurately reflect current titles and duties, and 3) revise locally employed staff titles, position descriptions, and workplans, as appropriate.	Yemen Affairs Unit	Due to the crisis in Sana'a and the resultant likelihood of significant staffing changes in the future, Diplomatic Security (DS) and Public Diplomacy (PD) postponed their sections' position reclassifications until later in 2022. The non-DS and PD position descriptions will be updated as time permits. The Sana'a Human Resources Locally Employed Staff will continue correcting data errors in Overseas Personnel System once it is safe for them to regain access to US Government systems.
121	Inspection of Embassy Banjul, The Gambia	ISP-I-19-04	11/21/2018	The Bureau of Overseas Buildings Operations, in coordination with Embassy Banjul and the Bureau of Consular Affairs, should renovate Embassy Banjul's Consular Section to comply with Department standards.	Bureau of Overseas Buildings Operations	The contract for the Embassy Banjul Consular Section remodel project was awarded in September 2021. Construction began in October 2021 and the project is expected to be completed by the end of the second quarter of FY 2022.
122	Management Assistance Report: Modernizing Processes To Maintain Overseas Buildings Operations Commissioning Documentation Is Needed	AUD-MERO-19-31	6/13/2019	OIG recommends that the Bureau of Overseas Buildings Operations (1) identify industry best practices for automating commissioning documentation, (2) develop an electronic commissioning platform, and (3) conduct a	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) completed a proof-of-concept implementation of two products and determined that neither fully support OBO's business processes/requirements. OBO will complete another proof-of-concept implementation from a new targeted solution that will include core functionality to support OBO's

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				pilot program using the electronic platform that would allow commissioning tests to be created digitally and test results saved to an online repository.		requirements, as well as developing requirements and best practices. OBO expects to put a contract procurement into place once the FY 2022 budget is passed and funding is available. Once awarded, OBO will be able to estimate next steps and a schedule for development and a pilot.
123	Inspection of Embassy Port-au-Prince, Haiti	ISP-I-19-18	6/17/2019	The Bureau of Overseas Buildings Operations, in coordination with Embassy Port-au-Prince, should connect the “Stecher-Roumain” housing compound to the local power grid, and put funds of up to \$3.03 million over 5 years to better use.	Bureau of Overseas Buildings Operations	The design for the second phase of this project is expected to be completed in early 2022. Material procurement and shipping are expected to begin by late May 2022. Current estimated contract completion is November 2022.
124	Inspection of Embassy Paramaribo, Suriname	ISP-I-19-20	7/24/2019	The Bureau of Overseas Buildings Operations, in coordination with Embassy Paramaribo, should address the roof leaks in the new embassy compound and mitigate the resulting health hazards within 180 days.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) continues to engage with the general contractor to address the roof leaks in the new embassy compound. The general contractor has identified a specialist and is negotiating travel schedule, crew availability, and pricing, though the pandemic has prevented OBO from confirming a definitive timeline for next steps.
125	Inspection of Embassy N’Djamena, Chad	ISP-I-20-02	11/7/2019	The Bureau of Overseas Buildings Operations, in coordination with Embassy N’Djamena and the Bureau of African Affairs, should develop and implement a strategy that prioritizes the disposal of Embassy N’Djamena’s excess real	Bureau of Overseas Buildings Operations	Since the death of the Chadian president, the real estate market in Chad remains severely depressed. Representatives from the Bureau of Overseas Buildings Operations (OBO) traveled to N’Djamena in November 2021 to determine the best solutions for a path forward and to provide assistance to post. OBO continues its efforts to divest

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				property, in order to put funds estimated at \$7.1 million to better use.		itself of these properties and requested to provide its next update in October 2022, given the long timespan of real estate transactions in Chad.
126	Review of Delays Encountered Constructing the New Embassy Compound in Ashgabat, Turkmenistan	AUD-MERO-20-20	2/25/2020	OIG recommends that the Bureau of Overseas Buildings Operations, in coordination with the Office of Acquisitions Management and the Office of the Legal Advisor, take the following actions: (a) assess whether Caddell Construction Co., LLC, is liable for damages for not fulfilling the terms and conditions of its contract and (b) recover all monetary damages for which Caddell is liable and report the final disposition of the recovery to the Office of Inspector General.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) and the Bureau of Administration (A) continue to engage with the contractor to ensure the language in the executed design-build contract modification for the New Office Building is contractually and legally correct and acceptable. Once the additional data collection and analyses are completed, OBO and A will schedule further negotiations with the contractor to agree on an equitable adjustment that considers all liabilities.
127	Inspection of Embassy Bern, Switzerland	ISP-I-20-21	5/11/2020	Embassy Bern, in coordination with the Bureau of Overseas Buildings Operations, should provide accommodations for consular applicants in accordance with Department standards.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) is considering the addition of weather covering for consular visitors as part of the previously awarded selective improvements project in Bern. However, the project is on hold due to Switzerland's Level 4 "Do Not Travel" advisory as of November 2021. Once travel is accessible, OBO will continue its research and identify funding.
128	Management Assistance Report: Execution of the New Embassy Compound London Construction	AUD-CGI-20-36	7/14/2020	OIG recommends that, once an accurate consolidated schedule of defects is developed	Bureau of Overseas Buildings Operations	The identified defects require a medium voltage expert. Embassy London's maintenance contractor identified a subcontractor with medium voltage

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	Project Offers Multiple Lessons			(Recommendation 6), the Bureau of Overseas Buildings Operations, in coordination with Embassy London, establish timeframes for completing all identified defects and verify completion before final acceptance.		expertise and expects the work will be completed by the end of April 2022.
129	Audit of the Bureau of Overseas Buildings Operations Process To Execute Construction Closeout Procedures for Selected Capital Construction Projects	AUD-CGI-20-43	9/18/2020	OIG recommends that the Bureau of Overseas Buildings Operations establish and implement procedures, when circumstances warrant deviation from established staffing plans for capital construction projects, that require the Project Director to document the deviation from the staffing plan, including the effect of such decisions on project milestones, the additional costs to be incurred, and the projected final acceptance date of the project.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) is in the process of updating its Construction Management Guidebook and corresponding Construction Alert, which includes the updates outlined in this recommendation.
130	Evaluation of the Department's Handling of Sexual Harassment Reports	ESP-20-06	9/30/2020	OIG recommends that OCR formalize its newly established timeliness standards for investigating reports of sexual harassment and assess whether the office is able to meet the standards.	Office of Civil Rights	The Office of Civil Rights (OCR) complied with the first component of this recommendation which included the formalization of timeliness standards. The Anti-Harassment Program (AHP) is migrating to a new data management system that will automate some of the necessary functionality to assess timeliness. Until then, however, AHP is unable to provide specific timeliness

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						data. Even without specific data, however, AHP can confirm that it is not consistently adhering to its timeliness standards for completing Anti-Harassment investigations. AHP is diligently working toward these standards. Unfortunately, however, AHP's staffing allotment is not commensurate with its caseload. AHP will continue to improve its timeliness as resources allow.
131	(U) Audit of Department of State Directorate of Defense Trade Controls Export Licensing Processes	AUD-SI-19-07	2/5/2019	OIG recommends that the Office of Defense Trade Controls Policy develop and implement a training program for new Blue Lantern Officers.	Office of Defense Trade Controls Policy	The Office of Defense Trade Controls Policy (PM/DTCP) met with the OIG on January 12, 2022, to discuss closing out this item, at which time, the OIG requested a copy of the Department notice specifying which persons and how often those persons should complete this course, as a prerequisite for closing out this entry. The Department notice, which has been drafted by Foreign Service Institute is currently in coordination. Once approved, PM/DTCP will provide a copy to the OIG which will fulfill the intent of this recommendation.
132	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	11/29/2018	The Office of Policy, Planning, and Resources for Public Diplomacy and Public Affairs, in coordination with Embassy New Delhi and the Bureau of South and Central Asian Affairs, should update all public diplomacy position descriptions by implementing the Public	Office of Policy, Planning, and Resources for Public Diplomacy and Public Affairs	Mission India's Public Diplomacy (PD) section began its Public Diplomacy Staffing Initiative (PDSI) implementation phase in December 2019. The Office of Policy, Planning and Resources for Public Diplomacy and Public Affairs (R/PPR) team has since worked with Mission leadership to draft and finalize the 177 Locally Employed (LE) staff position descriptions. As of January 2022, 85 percent of all LE staff

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				Diplomacy Locally Employed Staff Initiative at Mission India in FY 2019.		positions are classified, with the final 15 percent fully drafted and awaiting submission to the Regional Classification Center (RCC) in Riyadh, Saudi Arabia. Due to the size of the mission, R/PPR, Mission India and the RCC scheduled positions to be classified in batches, rather than submitting all at one time. R/PPR's Transition Support team has begun work with Mission India on a 12-month transition phase plan that will help all staff adopt their new policy centered, audience-focused portfolios and roles as the five PD sections begin working in their new PDSI structures in 2022.
133	Inspection of Embassy Windhoek, Namibia	ISP-I-20-32	9/21/2020	The Bureau of African Affairs, in coordination with the Office of Policy, Planning, and Resources for Public Diplomacy and Public Affairs and Embassy Windhoek, should implement the Public Diplomacy Staffing Modernization Initiative at Embassy Windhoek.	Under Secretary for Public Diplomacy and Public Affairs	Mission Namibia's Public Diplomacy (PD) section began its Public Diplomacy Staffing Initiative (PDSI) implementation phase in November 2021 and completed the week-long virtual coaches visit in December 2021. The section's new policy centered, audience-focused structure was created and solidified during this virtual visit. Coaches found that Mission Namibia's Public Affairs Officer (PAO) had effectively prepared the PD staff for PDSI, which allowed for a productive and engaging visit that ended on schedule. The PAO attended a PDSI virtual preparation workshop led by the Office of Policy, Planning and Resources for Public Diplomacy and Public Affairs (R/PPR) in August 2021. During the implementation, Locally Employed Staff spoke highly of



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						the PAO's efforts and leadership both in regards to preparing them for PDSI and in managing their section. R/PPR coaches are currently drafting LE Staff position descriptions for the PDSI structure, with an anticipated submission to the Africa Regional Classification Center (RCC) for classification by March 2022. Once all positions are classified, post will begin working in its new PDSI structure.
134	Review of Allegations of Politicized and Other Improper Personnel Practices Involving the Office of the Secretary	ESP-20-01	11/12/2019	The Bureau of Human Resources should ensure that all political and presidential appointees receive training on prohibited personnel practices and related Department policies.	Secretary of State	The Department is implemented No Fear training which covers the content listed in the recommendation. The Department anticipates OIG will close the recommendation after reviewing the latest compliance update.
135	Audit of the Department of State's Coordination and Oversight of the U.S. President's Emergency Plan for AIDS Relief	AUD-SI-20-17	2/11/2020	OIG recommends that the Office of the U.S. Global AIDS Coordinator and Health Diplomacy, in coordination with the Bureau of Human Resources, develop and implement a plan to hire full-time Civil Service U.S. President's Emergency Plan for AIDS Relief Country Coordinators.	Office of the U.S. Global AIDS Coordinator and Health Diplomacy	The Office of the U.S. Global AIDS Coordinator and Health Diplomacy (SGAC) has provided a hiring plan for Civil Service applicants. The only requirement remaining is to have a job offer extended to one Civil Service applicant.
136	Audit of the Department of State Implementation of Policies Intended To Counter Violent Extremism	AUD-MERO-19-27	6/26/2019	OIG recommends that the Bureau of South and Central Asian Affairs develop and implement standard operating procedures to align its regional strategy objectives, sub-objectives, and performance indicators	Bureau of South and Central Asian Affairs	As part of its strategic planning process, the Bureau of South and Central Asia (SCA) invited the Bureau of Counterterrorism (CT), as well as other functional bureaus to participate in its quadrennial Joint Regional Strategy (JRS) planning process and join working groups to develop SCA bureau goals,

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				for countering violent extremism with Department of State and Bureau of Counterterrorism and Countering Violent Extremism strategies, goals, and objectives.		objectives, sub-objectives, and indicators. For the development of the 2022-2026 JRS, CT representatives participated in working groups for goal four to enhance regional security and stability and goal five focused on developing Indian regional and global leadership. Going forward, SCA will continue to invite functional bureau colleagues to participate in its planning process, as well as seek CT and functional bureau feedback on our JRS. Additionally, in 2021, SCA's Office of Security, Transnational Affairs and Assistance (STA) participated in key bilateral CT/CVE discussions (including the US-India CT JWG) and the 2021 interagency US CT Assistance Planning Event and will continue to do so in the future. STA's participation in these forums helps ensure SCA's regional strategy objectives, sub-objectives and performance indicators for countering violence extremism are closely aligned with CT efforts in this regard.
137	Inspection of Embassy Dhaka, Bangladesh	ISP-I-20-17	6/12/2020	Embassy Dhaka should install a closed-circuit television monitoring system or other means to enable consular managers to visually observe the activities within the Consular Section cashier booth.	Embassy Dhaka	The Office of Overseas Buildings Operations (OBO) Consular renovation project remains at approximately 60 percent complete. Installation of the closed-circuit television monitoring system cannot proceed until the project is completed. The new estimated camera system installation date is August 2022.
138	Inspection of Embassy Dhaka, Bangladesh	ISP-I-20-17	6/12/2020	Embassy Dhaka should dismantle and remove its shipping containers and	Embassy Dhaka	Two of the four shipping containers remain in use. The GSO unit is working on an auction to be held in the first

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				portable structures in accordance with Department requirements.		quarter of CY 2022. Preliminary efforts to empty one storage unit of training room furniture were delayed by the emergence of the Omicron variant and the need to retain a medical isolation room.
139	Inspection of Embassy Dhaka, Bangladesh	ISP-I-20-17	6/12/2020	Embassy Dhaka, in coordination with the Bureau of Overseas Buildings Operations, should conduct a technical review of the design plans for the two structures used as warehouses on the embassy annex compound and submit the survey for approval, in accordance with Department standards.	Embassy Dhaka	Embassy Dhaka and the Bureau of Overseas Buildings Operations (OBO) are currently on hold with this project waiting for a response from the Bureau of Diplomatic Security (DS) concerning a waiver of proximity to boundary wall. OBO will not issue the permits until the waiver is in place. If no waiver is issued by DS, Post will tear down the structures removing the need for permits.
140	Inspection of Embassy Dhaka, Bangladesh	ISP-I-20-17	6/12/2020	Embassy Dhaka, in coordination with the Bureau of Overseas Buildings Operations, should complete all elevator repairs needed to obtain a current certificate of use for each elevator, in accordance with Department standards.	Embassy Dhaka	The required parts have arrived for one of the elevators. Embassy Dhaka is working with a local vendor on installation, which is expected in the next several weeks. We anticipate obtaining a certificate of occupancy by mid-winter 2022. The second elevator requires additional maintenance and upgrade work; the Bureau of Overseas Buildings Operations (OBO) will visit to assess the repair plan in 2022.
141	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	Embassy New Delhi should reconcile transactions in the Suspense Deposit Abroad account in accordance with Department guidelines and put funds of \$65,772 to better use.	Embassy New Delhi	Embassy New Delhi received a refund in the amount of INR 207,923.00 (approximately \$2,800) from Government of India (GOI) Commissioner of Customs on November 9, 2021. The Embassy cashiers are in process of crediting this refunded amount to the existing Suspense Deposit

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						Abroad (SDA) account. However, since the beneficiary is deceased, the General Services Office is seeking the name of the legal heir. Once the name/entity is identified, Embassy New Delhi will pay the beneficiary and complete the reconciliation of the SDA in accordance with Department guidelines.
142	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	Embassy New Delhi, in coordination with the Bureau of Overseas Buildings Operations, should implement an action plan to resolve the mission's safety, health, and environmental management deficiencies and bring the program into full compliance with Department standards.	Embassy New Delhi	Safety and travel restrictions caused by the COVID-19 pandemic have delayed all travel which makes it impossible to receive certain kinds of training and receive inspectors.
143	Inspection of Embassy Bogota, Colombia	ISP-I-19-14	4/17/2019	Embassy Bogota should clear overdue travel advances in accordance with Department guidelines and put funds up to \$1,451,820 to better use.	Embassy Bogota	Embassy Bogota's Financial Management Office is coordinating with the Bureau of the Comptroller, Global Financial Services (CGFS) to close all outstanding travel advances.
144	Inspection of Embassy Bogota, Colombia	ISP-I-19-14	4/17/2019	Embassy Bogota should remove the KACTUS database from the dedicated internet network and conduct a risk assessment based on the National Institute of Standards and Technology's Risk Management Framework.	Embassy Bogota	Embassy Bogota is in the process of completing a risk analysis to determine the likelihood of the data being compromised and the impact on the business and mission.
145	Inspection of Embassy Bogota, Colombia	ISP-I-19-14	4/17/2019	Embassy Bogota, in coordination with the Bureau of Western Hemisphere	Embassy Bogota	Embassy Bogota removed all the equipment from the limited access communications rooms. The cutover on

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				Affairs, should relocate the telecommunications demarcation point outside the limited access communications rooms.		the circuit in the demarcation point will occur in February 2022.
146	Inspection of Embassy Georgetown, Guyana	ISP-I-18-19	5/16/2018	Embassy Georgetown should vacate the U.S. Government-owned warehouse and notify the Bureau of Overseas Buildings Operations so it can be sold.	Embassy Georgetown	Embassy Georgetown vacated the warehouse in 2021, and the warehouse has been sold. Finalization of deed and other paperwork should be completed by March 2022.
147	Inspection of Embassy Guatemala City, Guatemala	ISP-I-18-16	5/16/2018	Embassy Guatemala City, in coordination with the Bureau of Overseas Buildings Operations, should perform seismic evaluations of its residential properties in accordance with Department standards.	Embassy Guatemala City	Embassy Guatemala City has completed work for three of five properties. The sixth property is no longer relevant, as post no longer leases any units there. The property managers of the two remaining properties have delayed providing information but are now cooperating with Post to allow inspections.
148	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that Embassy Lima, Peru, develop and implement a documented nationalization plan with clear goals and attainable objectives for the aviation program.	Embassy Lima	A new president was inaugurated in Peru in July 2021. Embassy Lima anticipates that reinitiating formal negotiations on nationalization will occur in early 2022, depending on the Government of Peru.
149	Inspection of Embassy Nassau, The Bahamas	ISP-I-19-19	8/1/2019	Embassy Nassau should establish a comprehensive corrective action plan and certify all residences for occupancy in accordance with Department standards.	Embassy Nassau	The Post Occupational Safety and Health Officer (POSHO) certified six properties in the last six months, raising post compliance eight percent; unsafe stairwells are being updated to further increase post compliance by more than 15 percent. POSHO is working on electrical upgrades to certify 15 additional properties.

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150	Inspection of Embassy Nassau, The Bahamas	ISP-I-19-19	8/1/2019	Embassy Nassau should review its unliquidated obligations in accordance with Department guidelines and put up to \$2.3 million to better use.	Embassy Nassau	32 out of the 39 identified outstanding unliquidated obligations (ULOs) are cleared. The seven (7) outstanding ULOs, totaling \$4,225.56, are obligations established by the Offices of Acquisitions Management (AQM). Embassy Nassau B&F is working with the Bureau of the Comptroller, Global Financial Services (CGFS) Charleston and AQM to de-obligate these balances.
151	Inspection of Embassy Paramaribo, Suriname	ISP-I-19-20	7/24/2019	Embassy Paramaribo, in coordination with the Bureau of Human Resources, should correct the deficit in the locally employed staff pension plan in accordance with Department guidance.	Embassy Paramaribo	Embassy Paramaribo, in coordination with Bureau of Global Talent, identified a new pension provider. The finalization of the contract is pending appropriate Department review and approval.
152	Inspection of Embassy Port-au-Prince, Haiti	ISP-I-19-18	6/17/2019	Embassy Port-au-Prince should conduct project and program evaluations in accordance with Department guidance.	Embassy Port au Prince	In the last five fiscal years, INL has obligated funds under two of the five project subheadings of the 2013 bilateral Letter of Agreement (LOA): Haitian National Police and Corrections. For each project, INL refers to the implementing agreement for performance measurement type plans. INL drafted and is nearing completion of an overarching Performance Monitoring and Evaluation Plan (PMP). The PMP incorporates baseline surveys, new data collection objectives, and a data quality assurance plan. The PMP is a long process which could take more than three months to finalize. The PMP establishes a Monitoring and Evaluation (M&E) framework or activity map with indicators, milestones, and evaluation requirements directly linked to strategic

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						activities supporting each of the five goals and their objectives.
153	Inspection of Embassy Port-au-Prince, Haiti	ISP-I-19-18	6/17/2019	Embassy Port-au-Prince should close out procurement files in accordance with Department standards.	Embassy Port au Prince	The General Services Officer (GSO) and Financial Management Office (Finance) in the Management Section continue to work on clearing E-files. Despite losing several key Finance staff and a months-long fuel crisis, post resolved over five hundred difficult outstanding files in the closeout queue. Post has cleared out 527 eFiles and the Pending Financials queue has been reduced from 3,145 to 2,865. Meanwhile, in a recent Overseas Presence Review, post requested additional GSO staff to compensate for the increased workload created by eFiling.
154	Inspection of Embassy Port-au-Prince, Haiti	ISP-I-19-18	6/17/2019	Embassy Port-au-Prince should clear overdue travel advances in accordance with Department guidelines and put up to \$146,557 to better use.	Embassy Port au Prince	The traveler responsible for the one remaining travel advance no longer works for the U.S. Government and his whereabouts are unknown, therefore the balance has been written off in coordination with the Bureau of Comptroller, Global Financial Services (CGFS). Embassy Port-au-Prince will provide this information to OIG during their next compliance update at which time the Department expects OIG to close this recommendation.
155	Inspection of Embassy Santo Domingo, Dominican Republic	ISP-I-19-17	7/1/2019	Embassy Santo Domingo should review its unliquidated obligations in accordance with Department guidance and put up to \$1.3 million to better use.	Embassy Santo Domingo	The single remaining unliquidated obligation of \$41,145 is the amount of a single unauthorized commitment (UAC) from 2016 which post has submitted to A/OPE. Once this UAC is finalized, OIG should be able to close this recommendation.

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156	Inspection of Embassy Santo Domingo, Dominican Republic	ISP-I-19-17	7/1/2019	Embassy Santo Domingo should close out procurement files in accordance with Department standards.	Embassy Santo Domingo	Post has made substantial progress in uploading missing documentation and closing e-Files by authorizing targeted staff overtime to this project, closing out nearly 2000 efiles in just three months. In addition, the Bureau of Administration is in the process of bulk closing e-Files worldwide in a series of four tranches. Tranche 1 took place in mid-November 2021 and 776 e-Files from Santo Domingo (from the period of 2018-2019) were bulk closed. Santo Domingo will serve as a pilot post for a mass closeout application in conjunction with the Bureau of Administration.
157	Inspection of the U.S. Mission to the Organization of American States	ISP-I-19-37	9/24/2019	The U.S. Mission to the Organization of American States should implement a records management program in accordance with Department standards.	Office of the U.S. Permanent Representative to the Organization of American States	The U.S. Mission to the Organization of American States is finalizing an office records management protocol which will then led to reorganizing the shared library.



**U.S. Agency for International Development  
Status of Open Government Accountability (GAO) Recommendations  
Fiscal Year 2023**

	<b>GAO Report Title</b>	<b>GAO Report Number</b>	<b>Publicly Released</b>	<b>Recommendation</b>	<b>Bureau</b>	<b>Status and Timeline</b>
1	Rule of Law Assistance: State and USAID Could Improve Monitoring Efforts	GAO-21-14-1	11/09/2020	The USAID Administrator should enhance procedures to ensure that monitoring officials for rule of law projects assess and approve monitoring reports from implementing partners	DDI	The closure request was sent to GAO for consideration on 2/24/22. The submission is still undergoing an internal review process for closure approval.
2	Information and Communications Technology: Federal Agencies Need to Take Urgent Action to Manage Supply Chain Risks	GAO-21-164SU-2  (Public version 21-171)	07/20/2020	The Administrator of the USAID should take the following actions: Fully develop an Agency-wide Information and Communications Technology (ICT) SCRM strategy that makes explicit the Agency's risk tolerance	CIO	The closure request was submitted to GAO on 8/9/2021; however additional information is needed for evidence to fully close out the recommendation. AAO sent GAO additional information on 12/8/21. The targeted completion date is 3/31/22. The submission is still undergoing an GAO internal review process for closure approval.
3	Information and Communications Technology: Federal Agencies Need to Take Urgent Action to Manage Supply Chain Risks	GAO-21-164SU-3  (Public version 21-171)	07/20/2020	The Administrator of USAID should take the following actions: Establish an approach to identify and document Agency ICT supply chain(s)	CIO	The implementation plan is expected to be completed by 3/31/22. Upon completion, a formal closure request will be sent to GAO for approval for closure.
4	Information and Communications Technology: Federal Agencies Need to Take Urgent Action to Manage Supply Chain Risks	GAO-21-164SU-4  (Public version 21-171)	07/20/2020	The Administrator of USAID should take the following actions: Establish a process to conduct Agency-wide assessments of ICT supply chain risk(s)	CIO	The implementation plan is expected to be completed by 3/31/22. Upon completion, a formal closure request will be sent to GAO for approval for closure.
5	Information and Communications Technology: Federal Agencies Need to Take Urgent Action to Manage Supply Chain Risks	GAO-21-164SU-5  (Public version 21-171)	7/20/2020	The Administrator of USAID should take the following actions: Establish a process to conduct an ICRM review of a potential supplier prior to awarding a contract or issuing an order to that supplier for ICT products and services.	CIO	The implementation plan is expected to be completed by 10/27/23. Upon completion, a formal closure request will be sent to GAO for approval for closure.
6	Information and Communications	GAO-21-164SU-6	7/20/2020	The Administrator of USAID should take the following actions: Develop ICT	CIO	The implementation plan is expected to be completed by 10/27/23. Upon

	<b>GAO Report Title</b>	<b>GAO Report Number</b>	<b>Publicly Released</b>	<b>Recommendation</b>	<b>Bureau</b>	<b>Status and Timeline</b>
	Technology: Federal Agencies Need to Take Urgent Action to Manage Supply Chain Risks	(Public version 21-171)		SCRM requirements for inclusion in contracts that are tailored to the type of contract and business needs		completion, a formal closure request will be sent to GAO for approval for closure.
7	Information and Communications Technology: Federal Agencies Need to Take Urgent Action to Manage Supply Chain Risks	GAO-21-164SU-7  (Public version 21-171)	7/20/2020	The Administrator of USAID should take the following actions: Develop organizational procedures to detect counterfeit and compromised ICT products prior to their deployment	CIO	The implementation plan is expected to be completed by 3/31/22. Upon completion, a formal closure request will be sent to GAO for approval for closure.
8	Information Technology: Agencies Need to Fully Implement Key Workforce Planning Activities, 102588	GAO-20-129-1	10/30/2019	The Administrator of USAID should ensure that the agency fully implements each of the seven key IT workforce planning activities it did not fully implement. (Recommendation 18)	HCTM	The closure request was submitted to GAO on 1/8/2021; however additional information is needed for evidence to fully close out the recommendation. On 2/1/22 the AAO sends GAO additional information for the closure request The targeted completion date is 3/31/22.
9	U.S. Assistance to Mexico: State and USAID Should Strengthen Risk Management for Programs under the Mérida Initiative	GAO-21-112SU-1	11/17/2020	The Administrator of USAID should ensure that the USAID Bureau for Latin America and the Caribbean completes a fraud risk assessment for its program under the Mérida Initiative	LAC	The closure request was submitted to GAO on 1/13/22 for consideration. The submission is still undergoing an internal review process for closure approval.
10	U.S. Assistance to Mexico: State and USAID Should Strengthen Risk Management for Programs under the Mérida Initiative	GAO-21-112SU-2	11/17/2020	The Administrator of USAID should ensure that the USAID Bureau for Latin America and the Caribbean develops, documents, and implements an antifraud strategy for USAID's program under the Mérida Initiative	LAC	The closure request was submitted to GAO for consideration on 1/13/22. The submission is still undergoing an internal review process for closure approval.
11	U.S. Assistance to Mexico: State and USAID Should	GAO-21-112SU-3	11/17/2020	The Administrator of USAID should ensure that the USAID Bureau for Latin America and the Caribbean updates its	LAC	The closure request was submitted to GAO for consideration on 1/13/22. The submission is still undergoing an

	<b>GAO Report Title</b>	<b>GAO Report Number</b>	<b>Publicly Released</b>	<b>Recommendation</b>	<b>Bureau</b>	<b>Status and Timeline</b>
	Strengthen Risk Management for Programs under the Mérida Initiative			policies and guidance to require managers, employees, and stakeholders with responsibility for implementing and overseeing USAID's program under the Mérida Initiative to attend fraud awareness training upon hiring and then on a periodic basis		internal review process for closure approval.
12	Foreign Assistance: USAID Should Analyze Data on the Timeliness of Expenditures	GAO-21-51-2	12/02/2020	The Administrator of USAID should analyze financial data on the timeliness of expenditures.	CFO/WFS	The closure request was submitted to GAO for consideration on 1/3/2021. The submission is still undergoing an internal review process for closure approval. GAO plans to close their internal review process.
13	Foreign Assistance: USAID Should Analyze Data on the Timeliness of Expenditures	GAO-21-51-3	12/02/2020	The Administrator of USAID should routinely gather and analyze data on exceptions granted to the timelines established in USAID's forward funding policy	CFO/WFS	The closure request was submitted to GAO for consideration on 8/26/2021. The submission is still undergoing an internal review process for closure approval.

**U.S. Agency for International Development  
Status of Open Office of Inspector General (OIG) Recommendations**

**Fiscal Year 2023**

	OIG Report Title	OIG Report number	Publicly Released	Recommendation	Bureau	Status and Timeline
1	USAID Needs To Improve Policy and Processes To Better Protect Information Accessed on Personal Devices	A-000-20-006-P-3	6/19/2020	USAID's Chief Information Officer Conduct a risk-assessment for Agency staff using personal devices to access the external cloud system and determine what actions Agency officials need to take to mitigate any identified risks. This includes updating relevant policies to reflect the acceptable use of personal devices consistently as deemed appropriate by management and providing training to staff on those new policies.	BUREAU FOR MANAGEMENT	USAID is completing corrective action by implementing a Cloud Access Security Broker (CASB) solution that will prevent employees or contractors from using any non-Government-Furnished Equipment (GFE) to gain direct access to USAID's data. The CASB has to be incorporated into the Agency's IT architecture and that architecture is in a state of flux to ensure it addresses USAID's Future of Work. Implementation of the CASB has been affected by the business needs of a high percentage of remote workers, the need to plan for re-entry into countries where USAID Missions are located, ongoing planning for the Future of Work and budget adjustments to enable implementation of the CASB.  Final action target date is 7/31/2022.
2	USAID Generally Implemented an Effective Information Security Program for Fiscal Year 2020 in Support of FISMA	A-000-21-004-C-2	1/7/2021	USAID's Chief Information Officer (CIO) should collaborate with the Office of Human Capital and Talent Management (HCTM) to document and implement a process to verify that separated employees' accounts are	BUREAU FOR MANAGEMENT	USAID/CIO is collaborating with all applicable USAID offices to complete corrective actions to document and implement the process for ensuring employees' leaving

	OIG Report Title	OIG Report number	Publicly Released	Recommendation	Bureau	Status and Timeline
				disabled in a timely manner in accordance with Agency policy.		<p>the agency accounts are disabled in a timely manner in accordance with Agency policy. Additionally, the process will be able to identify situations where personnel move between different internal hiring categories, and properly reconcile and validate employees separating from the agency.</p> <p>Final action target date is 7/1/2022.</p>
3	USAID Generally Implemented an Effective Information Security Program for Fiscal Year 2020 in Support of FISMA	A-000-21-004-C-6	1/7/2021	USAID's Chief Information Officer (CIO) should develop and implement a process to block unauthorized applications from installing on Agency mobile devices.	BUREAU FOR MANAGEMENT	<p>USAID/CIO is completing corrective action to document and implement a process to block unauthorized applications from automatically installing on the Agency's mobile devices. Additional USAID/CIO will activate Apple Device Supervision on all new Government-furnished mobile devices. Unfortunately, this functionality is not active on 4,700 of USAID's older mobile devices. Accordingly, USAID/CIO is currently wiping and refreshing these devices on a rolling basis to apply the necessary mobile device management settings.</p>

	OIG Report Title	OIG Report number	Publicly Released	Recommendation	Bureau	Status and Timeline
						Final action target date is 9/30/2022.
4	Independent Accountant's Report of International Relief and Development Incurred Cost Audit for the Years Ending December 31, 2009, 2011, 2012, 2013, and 2014	3-000-19-031-I-1	7/10/2019	USAID's Office of Acquisition and Assistance Cost, Audit and Support Division determine the allowability of \$4,407,986 in direct questioned costs (\$49,945 ineligible, \$4,358,041 unsupported) on pages 2, and 12 through 30 of the report, and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	USAID is working with the Department of Justice to finalize the final settlement agreement with IRD/Blumont.  Final action target date is 8/30/2022.
5	Performance Audit Over the Adequacy of Mendez England & Associates' Accounting System Administration	3-000-19-040-I-1	8/13/2019	OAA/CAS/CAM verify that Mendez England & Associates corrects Finding #1 detailed on pages 7 through 9 of the audit report.	BUREAU FOR MANAGEMENT	The Bureau will confirm through the recipient's subsequent Fiscal Year audit report that corrective actions have been completed.  Final action target date is 6/30/2022.
6	Performance Audit Report on the Adequacy of Integra Government Services International, LLCs Accounting System Administration	3-000-20-003-I-1	11/26/2019	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division verify that Integra Government Services International, LLC corrects Finding No. 1, detailed on pages 7 through 9 of the audit report.	BUREAU FOR MANAGEMENT	The Bureau will confirm through the recipient's subsequent Fiscal Year audit report that corrective actions have been completed. Final action target date is 6/30/2022.  Final action target data is 6/30/2022.
7	Independent Audit Report on International Development Group Advisory Services, LLCs Compliance with Federal	3-000-20-015-D-1	7/13/2020	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division verify that International Development Group Advisory Services, LLC corrects the six	BUREAU FOR MANAGEMENT	The Bureau will confirm through the recipient's subsequent Fiscal Year audit report that corrective actions have been completed.



	OIG Report Title	OIG Report number	Publicly Released	Recommendation	Bureau	Status and Timeline
	Acquisition Regulations and Disclosed Accounting Practices			instances of material noncompliance with the Federal Acquisition Regulation and its disclosed accounting practices, detailed on pages 7 through 16 of the audit report.		Final action target date is 5/31/2022.
8	Financial Audit of Deutsche Welthungerhilfe e. V., Under Multiple USAID Grants for the Year Ended December 31, 2017	3-000-20-018-R-1	6/18/2020	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division: Determine the allowability of \$12,347 in ineligible, and \$27,143 in unsupported questioned costs in Appendixes 2a and 2b of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	The Bureau issued a demand letter to the Contractor for the unallowable cost of \$25,994, the total debt owed to USAID.  Final action target date is 3/15/2022.
9	Financial Audit of Deutsche Welthungerhilfe e. V., Under Multiple USAID Grants for the Year Ended December 31, 2017	3-000-20-018-R-2	6/18/2020	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division: Verify that Deutsche Welthungerhilfe e. V. corrects the three material instances of noncompliance detailed on Appendixes 5/1 through 5/3 of the audit report.	BUREAU FOR MANAGEMENT	The Bureau will confirm through the recipient's subsequent Fiscal Year audit report that corrective actions have been completed.  Final action target date is 3/30/2022.
10	Single Audit of National Democratic Institute for International Affairs for the Fiscal Year Ended September 30, 2019	3-000-20-018-T-1	9/25/2020	USAID/Management/Office of Acquisition and Assistance/Cost, Audit, and Support Division: Determine the allowability of \$27,996 in questioned costs (\$27,996 ineligible) on page 39 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	The Bureau will confirm through the recipient's subsequent Fiscal Year audit report that corrective actions have been completed.  Final action target date is 3/30/2022.
11	Examination of Costs Claimed for Nathan Associates, Inc. for the	3-000-20-036-I-1	6/3/2020	USAID/Management/Office of Acquisition and Assistance/Cost Audit and Support Division Determine the	BUREAU FOR MANAGEMENT	The Bureau issued a demand letter to collect the outstanding unsupported costs.

	OIG Report Title	OIG Report number	Publicly Released	Recommendation	Bureau	Status and Timeline
	Two Years Ended December 31, 2015			allowability of \$2,889,688 in USAID's unsupported direct questioned costs and recover any amount that is unallowable detailed on pages 5 and 6 and 10 through 13 of the report.		Final action target date is 5/31/2022.
12	Examination of Costs Claimed for Nathan Associates, Inc. for the Two Years Ended December 31, 2015	3-000-20-036-I-2	6/3/2020	USAID/Management/Office of Acquisition and Assistance/Cost Audit and Support Division Verify that Nathan Associates, Inc. corrects the material weakness detailed on pages 10 through 13 of the report.	BUREAU FOR MANAGEMENT	The Bureau will confirm through the recipient's subsequent Fiscal Year audit report that corrective actions have been completed.  Final action target date is 3/17/2022.
13	Examination of Costs Claimed for Nathan Associates, Inc. for the Two Years Ended December 31, 2015	3-000-20-036-I-3	6/3/2020	USAID/Management/Office of Acquisition and Assistance/Cost Audit and Support Division Verify that Nathan Associates, Inc. corrects the material instance of noncompliance detailed on pages 10 through 13 of the report.	BUREAU FOR MANAGEMENT	The Bureau will confirm through the recipient's subsequent Fiscal Year audit report that corrective actions have been completed.  Final action target date is 5/31/2022.
14	Examination of Costs Claimed by Futures Group International, LLC for the Three Fiscal Years Ended June 30, 2015	3-000-20-049-I-1	6/25/2020	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division: Determine the allowability of \$11,114,685 in questioned direct costs (\$11,114,685 unsupported) on pages 27 through 34 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	The Bureau issued a demand letter to collect the outstanding unsupported costs.  Final action target date is 3/17/2022.
15	Audit Report on International Development Group Advisory Services,	3-000-21-001-D-1	10/16/2020	USAID's Office of Acquisition and Assistance Cost, Audit and Support Division: Determine the allowability	BUREAU FOR MANAGEMENT	The Bureau issued a demand letter to collect the outstanding unsupported costs.

	OIG Report Title	OIG Report number	Publicly Released	Recommendation	Bureau	Status and Timeline
	LLC Proposed Amounts on Unsettled Flexibly Priced USAID Agreements for Fiscal Years 2015, 2016, and 2017			of \$120,838 (\$120,776 ineligible, \$62 unsupported) in direct questioned costs on pages 3 and 14 through 60 of the report and recover any amount that is unallowable.		Final action target date is 7/13/2022.
16	Audit Report on International Development Group Advisory Services, LLC Proposed Amounts on Unsettled Flexibly Priced USAID Agreements for Fiscal Years 2015, 2016, and 2017	3-000-21-001-D-2	10/16/2020	USAID's Office of Acquisition and Assistance Cost, Audit and Support Division verify that International Development Group Advisory Services, LLC corrects the six instances of material noncompliance detailed on pages 3 and 4 of the audit report.	BUREAU FOR MANAGEMENT	The Bureau will confirm through the recipient's subsequent Fiscal Year audit report that corrective actions have been completed.  Final action target date is 7/13/2022.
17	Single Audit of PATH for the Fiscal Year Ended December 31, 2019	3-000-21-002-T-1	12/29/2020	USAID/Management/Office of Acquisition and Assistance/Cost, Audit, and Support Division determine the allowability of \$48,378 in ineligible direct questioned costs on page 39 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	The Bureau issued a demand letter to collect the outstanding unsupported costs.  Final action target date is 10/2/2022.
18	Audit Report on EnCompass, LLCs Proposed Amounts on Unsettled Flexibly Priced USAID Contracts for Fiscal Years 2016 and 2017	3-000-21-003-D-1	1/13/2021	USAID's Office of Acquisition and Assistance Cost, Audit and Support Division: determine the allowability of \$314,149 (\$28,930 ineligible, \$285,219 unsupported) in direct questioned costs on pages 2 and 19 through 26 of the report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	The Bureau issued a demand letter to collect the outstanding unsupported costs.  Final action target date is 10/2/2022.

	<b>OIG Report Title</b>	<b>OIG Report number</b>	<b>Publicly Released</b>	<b>Recommendation</b>	<b>Bureau</b>	<b>Status and Timeline</b>
19	Audit Report on EnCompass, LLCs Proposed Amounts on Unsettled Flexibly Priced USAID Contracts for Fiscal Years 2016 and 2017	3-000-21-003-D-2	1/13/2021	USAID's Office of Acquisition and Assistance Cost, Audit and Support Division: verify that EnCompass, LLC corrects the eleven instances of material noncompliance detailed on pages 4 and 5 of the audit report.	BUREAU FOR MANAGEMENT	The Bureau will confirm through the recipient's subsequent Fiscal Year audit report that corrective actions have been completed.  Final action target date is 10/2/2022.
20	Financial Audit of Fundacion Oxfam Intermon Under Multiple USAID Awards for the Fiscal Year Ended March 31, 2018	3-000-21-003-R-1	10/23/2020	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division Determine the allowability of \$42,841 in questioned costs (\$42,841 ineligible) on page I-15 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	The Bureau will confirm through the recipient's subsequent Fiscal Year audit report that corrective actions have been completed.  Final action target date is 8/30/2022.
21	Financial Audit of Fundación Oxfam Intermon Under Multiple USAID Awards for the Fiscal Year Ended March 31, 2018	3-000-21-003-R-2	10/23/2020	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division: Verify that Fundación Oxfam Intermon corrects the two instances of material noncompliance detailed on pages III-1, III-2, and III-3 of the audit report.	BUREAU FOR MANAGEMENT	The Bureau will confirm through the recipient's subsequent Fiscal Year audit report that corrective actions have been completed.  Final action target date is 8/30/2022.
22	Audit Report on Integra Government Services International, LLCs Proposed Amounts on Unsettled Flexibly Priced USAID Agreements for the Fiscal Years Ended December 31, 2016 and 2017	3-000-21-004-D-1	1/19/2021	USAID/Management/Office of Acquisition and Assistance/Cost, Audit and Support Division determine the allowability of \$29,508 of total direct costs (\$3,532, ineligible, and \$25,976, unsupported) on pages 20 and 25 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	The Bureau will issue a demand letter to collect the unallowable cost.  Final action target date 10/2/2022.

	<b>OIG Report Title</b>	<b>OIG Report number</b>	<b>Publicly Released</b>	<b>Recommendation</b>	<b>Bureau</b>	<b>Status and Timeline</b>
23	Audit Report on Integra Government Services International, LLCs Proposed Amounts on Unsettled Flexibly Priced USAID Agreements for the Fiscal Years Ended December 31, 2016 and 2017	3-000-21-004-D-2	1/19/2021	USAID/Management/Office of Acquisition and Assistance/Cost, Audit and Support Division verify that Integra Government Services International, LLC corrects the 10 instances of material noncompliance detailed on page 4 of the audit report.	BUREAU FOR MANAGEMENT	The Bureau will confirm through the recipient's subsequent Fiscal Year audit report that corrective actions have been completed.  Final action target date is 10/2/2022.
24	Financial Audit of Norwegian People's Aid Under Multiple USAID Agreements for the Fiscal Year Ended December 31, 2016	3-000-21-005-R-1	10/30/2020	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division: verify that Norwegian People's Aid corrects the two instances of material noncompliance (Finding 16-001 and Finding 16-002) detailed on pages III-1 through III-3 and pages IV-1 through IV-3 of the audit report.	BUREAU FOR MANAGEMENT	The Bureau will confirm through the recipient's subsequent Fiscal Year audit report that corrective actions have been completed.  Final action target date is 5/13/2022.
25	Examination of Costs Claimed for Nathan Associates, Inc. for the Fiscal Year Ended December 31, 2016	3-000-21-006-I-1	12/10/2020	USAID/Management/Office of Acquisition and Assistance/Cost, Audit and Support Division determine the allowability of \$1,002,792 in questioned direct costs (\$109,921 ineligible, \$892,871 unsupported) on pages 4, and 43 through 55 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	The Bureau will issue a demand letter for reimbursement of \$109,921 in ineligible questioned costs and \$892,871 in unsupported questioned costs.  Final Action Target date of 10/2/2022.
26	Financial Audit of Oxfam GB Under Multiple USAID Awards for the Fiscal Year Ended March 31, 2018	3-000-21-007-R-1	11/16/2020	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division verify that Oxfam GB corrects the instance of material noncompliance detailed on pages III-1, and III-2 of the audit report.	BUREAU FOR MANAGEMENT	The Bureau will confirm through the recipient's subsequent Fiscal Year audit report that corrective actions have been completed.

	<b>OIG Report Title</b>	<b>OIG Report number</b>	<b>Publicly Released</b>	<b>Recommendation</b>	<b>Bureau</b>	<b>Status and Timeline</b>
						Final action target date is 9/2/2022.
27	Financial Audit of People In Need Under Multiple USAID Agreements for the Fiscal Year Ended December 31, 2016	3-000-21-010-R-1	12/7/2020	USAID's Office of Acquisition and Assistance Cost, Audit and Support Division to verify that People In Need (PIN) corrects the two material instances noncompliance detailed on pages 26 through 28 of the audit report.	BUREAU FOR MANAGEMENT	The Bureau will confirm through the recipient's subsequent Fiscal Year audit report that corrective actions have been completed.  Final action target date is 8/30/2022.
28	Financial Audit of Centre for International Studies and Cooperation Under Multiple USAID Awards for the Year Ended March 31, 2016	3-000-21-011-R-1	12/14/2020	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division verify that the Centre for International Studies and Cooperation corrects the three instances of material noncompliance detailed on pages III-1 and III-2 of the audit report.	BUREAU FOR MANAGEMENT	The Bureau will confirm through the recipient's subsequent Fiscal Year audit report that corrective actions have been completed.  Final action target date is 10/31/2022.
29	Financial Audit of The Mentor Initiative Under Multiple USAID Agreements for Fiscal Year Ended September 30, 2016	3-000-21-013-R-1	1/11/2021	USAID's Office of Acquisition and Assistance Cost, Audit and Support Division verify that The Mentor Initiative corrects the material instance noncompliance detailed on page 23 of the audit report.	BUREAU FOR MANAGEMENT	The Bureau will confirm through the recipient's subsequent Fiscal Year audit report for Mentor Initiative to determine that corrective actions have been completed.  Final action target date is 3/16/2022.
30	Financial Audit of Intersos Organizzazione Umanitaria Onlus Under Multiple USAID Awards	3-000-21-014-R-1	1/28/2021	USAID/Management/Office of Acquisition and Assistance/Cost, Audit, and Support Division verify that Intersos Organizzazione Umanitaria Onlus corrects the instance of material	BUREAU FOR MANAGEMENT	The Bureau will confirm through the recipient's subsequent Fiscal Year audit report that corrective actions have been completed.

	OIG Report Title	OIG Report number	Publicly Released	Recommendation	Bureau	Status and Timeline
	for the Fiscal Year Ended December 31, 2016			noncompliance detailed on page 25 of the audit report.		Final action target date is 4/30/2022.
31	Financial Audit of People in Need Under Multiple USAID Agreements for the Fiscal Year Ended December 31, 2017	3-000-21-016-R-1	2/25/2021	USAID/Management/Office of Acquisition and Assistance/Cost, Audit, and Support Division verify that People In Need corrects the material weakness in internal control detailed on pages 27 and 28 of the audit report.	BUREAU FOR MANAGEMENT	The Bureau will confirm through the recipient's subsequent Fiscal Year audit report that corrective actions have been completed.  Final action target date is 9/2/2022.
32	Financial Audit of USAID Resources Managed by Nonviolent Peaceforce in South Sudan Under Multiple Agreements, January 1 to December 31, 2018	4-000-20-061-R-1	4/22/2020	USAID/M/OAA/CAS/CAM verify that Nonviolent Peaceforce corrects the one material weakness in internal control detailed on page 21 of the audit report.	BUREAU FOR MANAGEMENT	The Bureau will confirm through the recipient's subsequent Fiscal Year audit report that corrective actions have been completed.  Final action target date is 4/29/2022.
33	Financial Audit of USAID Resources Managed by Kenya Red Cross Society Under Multiple Awards, January 1 to December 31, 2018	4-000-20-079-R-1	6/8/2020	Verify that Kenya Red Cross Society corrects four instances of material noncompliance detailed on pages 26 to 29 of the audit report.	BUREAU FOR MANAGEMENT	The Bureau will confirm through Kenya Red Cross' subsequent Fiscal Year audit report that corrective actions have been completed.  Final action target date 5/31/2022.
34	Financial Audit of USAID Resources Managed by The Alliance for International Medical Action in Multiple Countries Under Multiple	4-000-20-104-R-1	8/20/2020	USAID/M/OAA/CAS/CAM: Verify that The Alliance for International Medical Action corrects the one instance of material noncompliance detailed on pages III-1 and III-2 of the audit report.	BUREAU FOR MANAGEMENT	The Bureau will confirm through the recipient's subsequent Fiscal Year audit report that corrective actions have been completed to correct the repeat finding.

	OIG Report Title	OIG Report number	Publicly Released	Recommendation	Bureau	Status and Timeline
	Awards, January 1 to December 31, 2018					Final action target date is 1/23/2023.
35	USAID Generally Implemented an Effective Information Security Program for Fiscal Year 2020 in Support of FISMA	A-000-21-004-C-3	1/7/2021	USAIDs Chief Human Capital Officer should implement a process to maintain records electronically for onboarding and off boarding staff.	OFFICE OF HUMAN CAPITAL AND TALENT MANAGEMENT	The Bureau is collaborating and testing systems with CIO and OAA to finalize corrective actions to address the current onboarding and offboarding process for all hiring mechanisms Agency-wide.  Final action target date is 6/17/2022.
36	Agreed-Upon Procedures Review of USAID Resources Managed by Chemonics International in Liberia Under Multiple Awards, January 1, 2017, to March 31, 2019	4-669-21-001-O-1	11/18/2020	USAID/Liberia verify that Chemonics International corrects the five internal control weaknesses in findings 3.1 to 3.3 and 4.1 to 4.2 detailed on pages 42 to 56 of the agreed-upon procedures review.	BUREAU FOR AFRICA	Due to COVID restrictions, USAID/Liberia is unable to complete its recipient's onsite review to substantiate implementation of the recommendation's corrective action plan.  Final Action Target Date, March 31, 2022.
37	Agreed-Upon Procedures Review of USAID Resources Managed by Chemonics International in Liberia Under Multiple Awards, January 1, 2017, to March 31, 2019	4-669-21-001-O-2	11/18/2020	USAID/Liberia verify that Chemonics International corrects the seven instances of noncompliance in findings 5.3.1 to 5.3.4 and 6.3.1 to 6.3.3 detailed on pages 63 to 72 and 76 to 78 of the agreed-upon procedures review.	BUREAU FOR AFRICA	Due to COVID restrictions, USAID/Liberia is unable to complete its recipient's onsite review to substantiate implementation of the recommendation's corrective action plan.  Final Action Target Date, March 31, 2022.



	<b>OIG Report Title</b>	<b>OIG Report number</b>	<b>Publicly Released</b>	<b>Recommendation</b>	<b>Bureau</b>	<b>Status and Timeline</b>
38	Financial Audit of USAID Resources Managed by Expanded Church Response in Zambia Under Cooperative Agreement AID-611-A-15-00002, January 1 to December 31, 2018	4-611-20-073-R-1	5/20/2020	USAID/Zambia determine the allowability of \$272,087 in ineligible questioned costs on pages 14 and 15 of the audit report and recover any amount that is unallowable.	BUREAU FOR AFRICA	The Mission has a repayment plan with Expanded Church Response in Zambia to recoup the outstanding sustain question cost of \$68,875.  Final Action Target Date, September 30, 2022.
39	Fund Accountability Statement Audit of Moona – A Space for Change, The CO-LAB Project in West Bank and Gaza, Cooperative Agreement AID-294-A-16-00004, September 15, 2016, to December 31, 2017	8-294-19-032-N-1	6/26/2019	USAID/West Bank and Gaza determine the allowability, and collect as appropriate, \$76,924 of unsupported questioned costs, as detailed on pages 17-22 and 27 of the Ernst & Young and Middle East Middle East report.	BUREAU FOR MIDDLE EAST	The Mission is collaborating with the Implementing Partner to determine the Bill for Collection total settlement amount.  Final Action Target Date, March 31, 2022.
40	Report on Audit of Cost Incurred by DPW Training & Associates, LLC. Under Contract Numbers RLA-C-00-10-00007-00 and AID-OAA-C-10-00125 for the Period January 1, 2011, Through September 30, 2014	3-000-16-006-N-1	3/11/2016	M/OAA/RM/LEMO determine the allowability of the \$2,658,301.45 in unsupported questioned costs and the \$150,668.35 in ineligible questioned costs and collect any amounts determined to be unallowable from the DPW Training & Associates, LLC.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
41	Audit of Incurred Costs for AMEX International, Inc. for the Fiscal Years Ended December 31, 2008 Through 2010	3-000-18-003-I-1	10/30/2017	USAID's Office of Acquisition and Assistance, Cost, Audit and Support determine the allowability of \$3,169,020 in unsupported direct questioned costs and collect any disallowed amounts from AMEX	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives

	<b>OIG Report Title</b>	<b>OIG Report number</b>	<b>Publicly Released</b>	<b>Recommendation</b>	<b>Bureau</b>	<b>Status and Timeline</b>
				International, Inc. (see pages 2, and 11 through 15 of the report).		System's policies and procedures.
42	Closeout Audit of Costs Incurred by Chemonics To Pursue a Peaceful Transition to a Democratic and Stable Syria, Under Award AID-OAA-TO-13-00003, January 7, 2013, to October 6, 2016	3-000-18-008-N-4	2/20/2018	USAID's Office of Acquisition and Assistance, Cost Audit and Support Division determine the allowability of the \$5,588,215 in questioned costs identified as unsupported and recover from Chemonics any amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
43	Report on Financial Closeout Audit of USAID Resources Managed by the Rural Justice Center Field Office in Haiti Under Grant Agreement No. S21-G-00-09-00028-00 From June 9, 2009, to August 9, 2010	3-521-13-001-N-1	9/16/2013	USAID/Haiti agreement officer determine the reasonableness of \$148,754 in questioned costs incurred for consultants and collect any disallowed amounts from the Rural Justice Center.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
44	Report on Financial Closeout Audit of USAID Resources Managed by the Rural Justice Center Field Office in Haiti Under Grant Agreement No. S21-G-00-09-00028-00 From June 9, 2009, to August 9, 2010	3-521-13-001-N-2	9/16/2013	USAID/Haiti agreement officer determine the allowability of \$161,315 in questioned unsupported costs and collect any disallowed amounts from the Rural Justice Center.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
45	Report on Financial Closeout Audit of USAID Resources Managed by the Rural Justice Center	3-521-13-001-N-3	9/16/2013	USAID/Haiti agreement officer determine the allowability of \$40,000 in questioned costs incurred for legal	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt

	<b>OIG Report Title</b>	<b>OIG Report number</b>	<b>Publicly Released</b>	<b>Recommendation</b>	<b>Bureau</b>	<b>Status and Timeline</b>
	Field Office in Haiti Under Grant Agreement No. S21-G-00-09-00028-00 From June 9, 2009, to August 9, 2010			services and collect any disallowed amounts from the Rural Justice Center.		Collection Improvement Act and Automated Directives System's policies and procedures.
46	Report on Financial Closeout Audit of USAID Resources Managed by the Rural Justice Center Field Office in Haiti Under Grant Agreement No. S21-G-00-09-00028-00 From June 9, 2009, to August 9, 2010	3-521-13-001-N-4	9/16/2013	USAID/Haiti agreement officer determine the allowability of \$23,007 in ineligible questioned costs and collect any disallowed amounts from the Rural Justice Center.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
47	Report on Financial Closeout Audit of USAID Resources Managed by the Rural Justice Center Field Office in Haiti Under Grant Agreement No. S21-G-00-09-00028-00 From June 9, 2009, to August 9, 2010	3-521-13-001-N-5	9/16/2013	USAID/Haiti agreement officer determine the allowability of \$10,978 in ineligible questioned costs and collect any disallowed amounts from the Rural Justice Center.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
48	Report on Financial Closeout Audit of USAID Resources Managed by the Rural Justice Center Field Office in Haiti Under Grant Agreement No. S21-G-00-09-00028-00 From June 9, 2009, to August 9, 2010	3-521-13-001-N-6	9/16/2013	USAID/Haiti agreement officer determine the allowability of the \$93,555 in questioned actual cost over budget and collect any disallowed amounts from the Rural Justice Center.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.

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49	Report on Financial Closeout Audit of USAID Resources Managed by the Rural Justice Center Field Office in Haiti Under Grant Agreement No. S21-G-00-09-00028-00 From June 9, 2009, to August 9, 2010	3-521-13-001-N-7	9/16/2013	USAID/Haiti agreement officer determine the allowability of the \$16,291 in questioned costs incurred for grant funds received in excess of costs reported and collect any disallowed amounts from the Rural Justice Center.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
50	Audit of USAID Resources Managed by African Development Solutions in Kenya Under Multiple Agreements, January 1 to December 31, 2014	4-000-17-132-R-1	7/26/2017	M/OAA/CAS/CAM determine the allowability of the \$3,054,996 in questioned costs (\$2,856,719 ineligible and \$198,277 unsupported) identified on pages I-21 and II-1 of audit firm's audit report and the difference between the indirect cost rate charges and the approved rate of 14.41 percent for agreements AID-OFDA-G-13-00165, AID-OFDA-G-13-00145, and AID-623-A-12-00026 on pages I-6 to I-7 and recover from the African Development Solutions the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
51	Financial Audit of USAID Resources Managed by African Development Solutions in Multiple Countries Under Multiple Awards, January 1, 2015, to December 31, 2016	4-000-19-129-R-1	9/26/2019	M/OAA/CAS/CAM determine the allowability of \$2,516,933 in ineligible questioned costs on page 19 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.

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52	Financial Audit of USAID Resources Managed by African Development Solutions in Somalia Under Multiple Awards, January 1 to December 31, 2017	4-000-20-067-R-1	5/7/2020	USAID/M/OAA/CAS/CAM: Verify that African Development Solutions corrects the two instances of material noncompliance detailed on pages 29 to 31 of the audit report.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
53	Agency Contracted Audit of USAID Resources Managed by Agribusiness in Sustainable Natural African Plant Product (ASNAPP) Under Cooperative Agreement No. AID-611-A-12-00003 for the Period February 8, 2012, Through September 30, 2013	4-611-16-003-N-1	1/26/2016	USAID/Zambia determine the allowability of the \$702,685 in questioned costs (\$6,731 ineligible and \$695,954 unsupported) identified on page 17 of audit firm's audit report and recover from the Agribusiness in Sustainable Natural African Plant Product the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
54	Audit of USAID Resources Managed by Women and Law in Southern Africa Educational Trust in Zambia Under Agreement 611-A-13-00002, for the Fiscal Year Ended December 31, 2015	4-611-17-124-R-1	7/18/2017	USAID/Zambia determine the allowability of the \$69,856 in ineligible questioned costs identified on page 17 of KPMG's audit report and recover from Women and Law in Southern Africa Educational Trust the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
55	Audit of USAID Resources Managed by Agribusiness in Sustainable Natural African Plant Product in	4-611-17-164-R-1	9/20/2017	USAID/Zambia determine the allowability of the \$8,522 in questioned costs (\$3,275 ineligible and \$5,247 unsupported) identified on pages 14 to 15 of audit firm's audit	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act

	<b>OIG Report Title</b>	<b>OIG Report number</b>	<b>Publicly Released</b>	<b>Recommendation</b>	<b>Bureau</b>	<b>Status and Timeline</b>
	Zambia Under Cooperative Agreement AID-611-A-12-00003, October 1, 2013, to September 30, 2014			report and recover from Agribusiness in Sustainable Natural African Plant Product the amounts determined to be unallowable.		and Automated Directives System's policies and procedures.
56	Audit of USAID Resources Managed by Agribusiness in Sustainable Natural African Plant Product in Zambia Under Cooperative Agreement AID-611-A-12-00003, October 1, 2014, to September 30, 2015	4-611-17-165-R-1	9/20/2017	USAID/Zambia determine the allowability of the \$156,500 in questioned costs (\$1,697 ineligible and \$154,803 unsupported) identified on pages 14 and 17 of audit firm's audit report and recover from Agribusiness in Sustainable Natural African Plant Product the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
57	Closeout Audit of USAID Resources Managed by Agribusiness in Sustainable Natural African Plant Product in Zambia Under Cooperative Agreement AID-611-A-12-00003, October 1, 2015, to February 5, 2016	4-611-17-166-R-1	9/20/2017	USAID/Zambia determine the allowability of the \$463,327 in unsupported questioned costs identified on pages 14 to 15 of audit firm's audit report and recover from Agribusiness in Sustainable Natural African Plant Product the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
58	Audit of USAID Resources Managed by Women and Law in Southern Africa Research and Educational Trust in Zambia Under Agreement AID-611-A-13-00002,	4-611-18-080-R-1	4/16/2018	USAID/Zambia determine the allowability of \$51,870 in questioned costs (\$46,706 ineligible, \$5,164 unsupported) on pages 13 and 15 of the audit report.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.

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	January 1, 2016, to December 31, 2016					
59	Financial Closeout Audit of USAID Resources Managed by Biocarbon Partners Limited in Zambia Under Cooperative Agreement AID-611-A-14-00001, January 1, 2018, to January 31, 2019	4-611-20-004-N-1	1/30/2020	USAID/Zambia determine the allowability of \$1,192,504 in questioned costs (\$14,127 ineligible, \$1,178,377 unsupported) on pages 13 and 16 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
60	Financial Closeout Audit of USAID Resources Managed by Biocarbon Partners Limited in Zambia Under Cooperative Agreement AID-611-A-14-00001, January 1, 2018, to January 31, 2019	4-611-20-004-N-2	1/30/2020	USAID/Zambia determine the allowability of \$1,063,630 in questioned cost sharing costs contributions (\$40,346 ineligible, \$1,023,284 unsupported) on page 69 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
61	Closeout Financial Audit of USAID Resources Managed by [REDACTED] Under Multiple Agreements, October 1, 2012, to June 26, 2018	4-613-19-004-N-1	12/18/2018	USAID/Zimbabwe determine the allowability of \$648,515 in questioned costs (\$277,171 ineligible, \$371,344 unsupported) identified on page 15 to 18 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
62	Closeout Financial Audit of USAID Resources Managed by [REDACTED] in	4-613-19-006-N-1	12/19/2018	USAID/Zimbabwe determine the allowability of \$358,240 in ineligible questioned costs on pages 11, 13, and	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt

	OIG Report Title	OIG Report number	Publicly Released	Recommendation	Bureau	Status and Timeline
	Zimbabwe Under Agreement AID-613-A-12-00009, January 1, 2013, to June 26, 2018			15 of the audit report and recover any amount that is unallowable.		Collection Improvement Act and Automated Directives System's policies and procedures.
63	Closeout Financial Audit of USAID Resources Managed by [REDACTED] in Zimbabwe Under Agreement AID-613-A-12-00009, January 1, 2013, to June 26, 2018	4-613-19-006-N-4	12/19/2018	USAID/Zimbabwe determine the allowability of \$224,200 in ineligible questioned costs pertaining to accrued leave and termination benefits and take appropriate actions regarding any amount that is unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
64	Financial Audit of USAID Resources Managed by Christian Aid in Multiple Countries Under Multiple Awards, April 1, 2017, to March 31, 2018	4-615-19-121-R-3	8/7/2019	OAA/CAS/CAM determine the allowability of \$193 in unsupported questioned costs on pages 19 and 21 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
65	Financial Closeout Audit of USAID Resources Managed by Tanzania Council for Social Development in Tanzania Under Grant Agreement AID-621-G-14-00005, July 1, 2015, to December 9, 2017	4-621-19-044-R-1	2/12/2019	USAID/Tanzania determine the allowability of \$243,662 in questioned costs (\$7,415 ineligible and \$236,247 unsupported) on pages 11, 14, and 17 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
66	Financial Closeout Audit of USAID Resources Managed by African	4-623-19-016-N-1	9/27/2019	USAID/Kenya and East Africa determine the allowability of \$1,089,453 in ineligible questioned	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for



	OIG Report Title	OIG Report number	Publicly Released	Recommendation	Bureau	Status and Timeline
	Development Solutions in Kenya Under Agreement AID-623-A-12-00026, January 1, 2016, to February 28, 2017			costs on page 17 of the audit report and recover any amount that is unallowable.		collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
67	Closeout Audit of USAID Resources Managed by EQUIP Liberia Under the Emergency Protection in Host Communities of Nimba and Grand Gedeh Counties Program in Liberia, Cooperative Agreement No. AID-OFDA-G-12-00124, for the Period From June 1, 2012, Through May 31, 2013 - (originally issued 12/12/16; reissued 12/14/16)	4-669-17-019-R-1	12/14/2016	USAID/M/OAA/CAS/CAM determine the allowability of \$28,739 in questioned costs (\$16,270 ineligible and \$12,469 unsupported) identified on page 9 of Akus Consult's audit report and recover from EQUIP Liberia the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
68	Financial Audit of the Costs Incurred by Echo West Under Multiple Task Orders in Pakistan, February 27, 2015, to March 2, 2016	5-391-19-001-N-1	4/26/2019	Determine the allowability of \$518,700 in questioned costs (\$27,047~ineligible and \$491,653 unsupported) identified on page 25, and further detailed on pages 26-27 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
69	Closeout Audit of the Project "Operation of the Anti-Human Trafficking Halfway Houses, and Mobilizing and Building	5-492-13-028-R-1	7/18/2013	USAID/Philippines determine the allowability and recover, as appropriate, questioned costs of \$1,412,114 (\$271,581 ineligible and \$1,140,533 unsupported) detailed on	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act

	OIG Report Title	OIG Report number	Publicly Released	Recommendation	Bureau	Status and Timeline
	Capacity of Multi-Stakeholders in Philippine Southern Backdoors and Other Identified Trafficking Hotpots (PORT Project)," USAID/Philippines' Cooperative Agreement No. 492-A-00-05-00024-00, Managed by the Visayan Forum Foundation, Inc. (VFFI), for the Period From September 30, 2005, to December 31, 2011			pages 2-3 of this memorandum.		and Automated Directives System's policies and procedures.
70	Closeout Audit of the Project "Operation of the Anti-Human Trafficking Halfway Houses, and Mobilizing and Building Capacity of Multi-Stakeholders in Philippine Southern Backdoors and Other Identified Trafficking Hotpots (PORT Project)," USAID/Philippines' Cooperative Agreement No. 492-A-00-05-00024-00, Managed by the Visayan Forum Foundation, Inc. (VFFI), for the Period From September 30, 2005, to December 31, 2011	5-492-13-028-R-2	7/18/2013	USAID/Philippines determine the allowability and recover, as appropriate, questioned cost-sharing contributions of \$671,298 (unsupported) and a shortfall in cost-sharing contributions of \$38,468 (ineligible) detailed in Finding No. 2 on pages 82 and 84 of the report.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.

	<b>OIG Report Title</b>	<b>OIG Report number</b>	<b>Publicly Released</b>	<b>Recommendation</b>	<b>Bureau</b>	<b>Status and Timeline</b>
71	Closeout Audit of USAID Resources Managed by Hope Worldwide Nigeria (HWWN) Under the Assistance and Care for Children Orphaned and at Risk (ACCORD) Project (Agreement Number AID-620-A-00-08-00111) for the Period March 13, 2008, to October 11, 2013	7-620-15-001-N-1	9/23/2015	USAID/Nigeria determine the allowability of \$5,672,236 in unsupported questioned costs (page 6 of the audit report) and recover from Hope Worldwide Nigeria the amount determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
72	Closeout Audit of USAID Resources Managed by Hope Worldwide Nigeria (HWWN) Under the Assistance and Care for Children Orphaned and at Risk (ACCORD) Project (Agreement Number AID-620-A-00-08-00111) for the Period March 13, 2008, to October 11, 2013	7-620-15-001-N-2	9/23/2015	USAID/Nigeria determine the allowability of \$399,198 in ineligible questioned costs (page 6 of the audit report) and recover from Hope Worldwide Nigeria the amount determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
73	Closeout Audit of USAID Resources Managed by Hope Worldwide Nigeria (HWWN) Under the Assistance and Care for Children Orphaned and at Risk (ACCORD) Project (Agreement Number AID-620-A-00-08-00111) for the Period March 13, 2008, to October 11, 2013	7-620-15-001-N-6	9/23/2015	USAID/Nigeria verify that Hope Worldwide Nigeria liquidate the advances identified on page 4 of the report and recover from Hope Worldwide Nigeria the amount determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.

	<b>OIG Report Title</b>	<b>OIG Report number</b>	<b>Publicly Released</b>	<b>Recommendation</b>	<b>Bureau</b>	<b>Status and Timeline</b>
74	Fund Accountability Statement Closeout Audit of Business Startup Center Bitola, Business Without Borders Project in Macedonia, Cooperative Agreement AID-165-A-00-11-00104, December 28, 2010, to November 7, 2014	8-165-19-008-N-1	3/24/2019	USAID/North Macedonia determine the allowability and collect as appropriate, \$211,456 (\$4,323 ineligible, and \$207,133 unsupported), unliquidated advances, and any excess cash, as detailed in pages 23-28 and the transmittal letter of BDO's report.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
75	Fund Accountability Statement Closeout Audit of Business Startup Center Bitola, Business Without Borders Project in Macedonia, Cooperative Agreement AID-165-A-00-11-00104, December 28, 2010, to November 7, 2014	8-165-19-008-N-2	3/24/2019	USAID/North Macedonia's determine the allowability and collect as appropriate, the shortfall amount of \$30,516, as detailed in page 11 of BDO's report.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
76	Closeout Financial Audit of the USAID/Pakistan's Agribusiness Project Managed by the Agribusiness Support Fund, Agreement AID-391-A-12-00001, July 1, 2014, to February 9, 2016	G-391-17-023-R-1	5/11/2017	USAID/Pakistan determine the allowability of ineligible questioned cost of \$192,423 discussed on page 2 of the memorandum and identified on pages 13 and 14 of the audit report and detailed on pages 15-17 and recover from the recipient any amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
77	Agency-Contracted Audit of Locally Incurred Costs in USAID Resources Managed by African Development Solutions in	4-623-18-001-N-1	11/28/2017	USAID/Kenya and East Africa determine the allowability of the \$17,468,497 in questioned costs (\$15,730,500 ineligible and \$1,737,997 unsupported) identified on	BUREAU FOR MANAGEMENT	Recommendation transferred to M/MPBP Compliance Division for Grantee/Contract appeal under ADS 303.

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	Kenya Under Agreement AID-623-A-12-00026, August 14, 2012, to December 31, 2015			pages 10, 11, 20, and 21 of Ernst & Young's audit report and recover from the African Development Solutions the amounts determined to be unallowable.		Final Action Target Date is 3.31.22
78	Agency-Contracted Audit of Locally Incurred Costs in USAID Resources Managed by African Development Solutions in Kenya Under Agreement AID-623-A-12-00026, August 14, 2012, to December 31, 2015	4-623-18-001-N-4	11/28/2017	USAID/Kenya and East Africa determine the allowability of \$4,437,040 in unsupported questioned costs based upon Ernst & Young's disclaimer of opinion and recover from the African Development Solutions any amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/MPBP Compliance Division for Grantee/Contract appeal under ADS 303.  Final Action Target Date 3/31/22
79	Audit of the Fund Accountability Statement of Michigan State University Under Grain Research and Innovation Program in Afghanistan, Cooperative Agreement AID-306-OAA-A-13-00006, January 1 to December 31, 2018	8-306-20-043-N-1	7/14/2020	USAID/Afghanistan Determine the allowability of \$25,297 in questioned costs (\$6,605 ineligible and \$18,692 unsupported) detailed on page 15 of the audit report and recover any amount that is unallowable.	BUREAU FOR ASIA	Due to the crisis in Afghanistan, the Mission is working with the OIG to determine the process for resolving and closing out its recommendations.
80	Audit of the Fund Accountability Statement of Michigan State University Under Grain Research and Innovation Program in Afghanistan, Cooperative Agreement AID-306-OAA-A-13-00006, January 1 to December 31, 2018	8-306-20-043-N-2	7/14/2020	USAID/Afghanistan Verify that Michigan State University corrects the material instance of noncompliance detailed on pages 28, 29, and 30 of the audit report.	BUREAU FOR ASIA	Due to the crisis in Afghanistan, the Mission is working with the OIG to determine the process for resolving and closing out its recommendations

	<b>OIG Report Title</b>	<b>OIG Report number</b>	<b>Publicly Released</b>	<b>Recommendation</b>	<b>Bureau</b>	<b>Status and Timeline</b>
81	Audit of the Fund Accountability Statement of Michigan State University Under Grain Research and Innovation Program in Afghanistan, Cooperative Agreement AID-306-OAA-A-13-00006, January 1 to December 31, 2018	8-306-20-043-N-3	7/14/2020	USAID/Afghanistan Determine whether the amounts awarded without open and free competition; detailed on pages 28, 29, and 30; were reasonable.	BUREAU FOR ASIA	Due to the crisis in Afghanistan, the Mission is working with the OIG to determine the process for resolving and closing out the recommendation.
82	Audit of the Fund Accountability Statement of DAI Global, LLC, Under Multiple Awards in Afghanistan, 2017-2018	8-306-20-044-N-1	7/27/2020	USAID/Afghanistan Verify that DAI Global, LLC corrects the four material instances of noncompliance detailed on pages 44 to 47 of the audit report.	BUREAU FOR ASIA	Due to the crisis in Afghanistan, the Mission is working with the OIG to determine the process for resolving and closing out the recommendation.
83	Fund Accountability Statement Audit of Turquoise Mountain Trust Under Export, Jobs and Market Linkages in Carpet and Jewelry Value Chains Project in Afghanistan, Cooperative Agreement 72030619CA00001, February 1 to December 31, 2019	8-306-21-018-R-1	2/16/2021	Verify that Turquoise Mountain Trust corrects the material instance of noncompliance detailed on pages 13 and 17 of the audit report.	BUREAU FOR ASIA	Due to the crisis in Afghanistan, the Mission is working with the OIG to determine the process for resolving and closing out the recommendation.
84	Closeout Audit of Aman Institute for Vocational Training's Management of the Karachi Youth Workforce Development Project in Pakistan,	5-391-20-053-R-1	6/23/2020	USAID/Pakistan: Determine the allowability of \$41,525 in questioned costs (ineligible) on pages 11 and 12 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives

	<b>OIG Report Title</b>	<b>OIG Report number</b>	<b>Publicly Released</b>	<b>Recommendation</b>	<b>Bureau</b>	<b>Status and Timeline</b>
	Cooperative Agreement AID-391-A-15-00005, July 1, 2018 to September 30, 2019					System's policies and procedures.
85	Financial Audit of Khyber Pakhtunkhwa Reconstruction Program in Pakistan Managed by the Provincial Reconstruction Rehabilitation & Settlement Authority, Provincial Disaster Management Authority, Grant No. 391-011, July 1, 2018 to June 30, 2019	5-391-21-011-R-2	2/1/2021	Determine the allowability of \$2,962,541 (ineligible) in questioned amounts as detailed in Table 2 on pages 4-5 of this memorandum, and recover, as appropriate.	BUREAU FOR ASIA	The Mission is currently compiling and reviewing supporting documentation to substantiate recommendation closure prior to submission to the Audit Follow-up team for confirmation closure.  Estimated Final Action Date: 3/15/2022
86	Financial Audit of Khyber Pakhtunkhwa Reconstruction Program in Pakistan Managed by the Provincial Reconstruction Rehabilitation & Settlement Authority, Provincial Disaster Management Authority, Grant No. 391-011, July 1, 2018 to June 30, 2019	5-391-21-011-R-3	2/1/2021	Determine the (1) unspent fund balances with implementing agencies as discussed in Finding 4.1.6 on page 35 of the audit report and (2) allowability and recovery, if appropriate, of these unspent funds.	BUREAU FOR ASIA	The Mission is currently compiling and reviewing supporting documentation to substantiate recommendation closure prior to submission to the Audit Follow-up team for confirmation closure.  Estimated Final Action Date: 3/15/2022
87	Improved Guidance, Data, and Metrics Would Help Optimize USAID's Private Sector Engagement	5-000-21-001-P-2	12/9/2020	USAID's Acting Deputy Administrator should develop a workforce plan for dedicated private sector engagement staff with appropriate competencies across the	BUREAU FOR DEVELOPMENT, DEMOCRACY, AND INNOVATION	The Bureau is currently socializing the workforce plan among HCTM's new leadership and other agency stakeholders, to resolve any

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				Agency, including a team established in the Bureau for Development, Democracy, and Innovation, that has adequate resources, such as staffing and leadership, to support the Agency's implementation of the private sector engagement policy.		discrepancies, before publishing the final document.  Estimated Final Action Target Date: 4/29/2022
88	Audit of USAID Resources Managed by African Development Solutions in Kenya Under Multiple Agreements, January 1 to December 31, 2014	4-000-17-132-R-2	7/26/2017	DCHA/FFP determine the allowability of the \$101,902 in unsupported questioned costs identified on page I-21 of audit firm's audit report and the difference between the indirect cost rate charges and the approved rate of 14.41 percent for agreement AID-FFP-G-14-00001 on pages I-6 to I-7 and recover from the African Development Solutions the amounts determined to be unallowable.	BUREAU FOR HUMANITARIAN ASSISTANCE	This recommendation was inadvertently omitted from the Bureau's submission of recommendations subject to collection. The Agreement Officer Representative is currently reviewing supporting documentation to determine the funds allowable and unallowable.  Estimated Final Action Target Date: August 1, 2022
89	Audit of USAID Resources Managed by African Development Solutions in Kenya Under Multiple Agreements, January 1 to December 31, 2014	4-000-17-132-R-5	7/26/2017	DCHA/FFP determine whether outstanding advances to African Development Solutions for agreement AID-FFP-G-14-00001 are excessive, and if so, request a refund for funds in excess of immediate disbursing needs in accordance with ADS 636.3.3.2.	BUREAU FOR HUMANITARIAN ASSISTANCE	The AOR is currently requesting and reviewing supporting documentation to determine if the outstanding advances are excessive and if applicable, will request a refund.  Estimated Final Action Target Date: August 1, 2022



	<b>OIG Report Title</b>	<b>OIG Report number</b>	<b>Publicly Released</b>	<b>Recommendation</b>	<b>Bureau</b>	<b>Status and Timeline</b>
90	Audit of USAID Resources Managed by African Development Solutions in Kenya Under Multiple Agreements, January 1 to December 31, 2014	4-000-17-132-R-7	7/26/2017	DCHA/FFP follow up on unexpended funds African Development Solutions owed to USAID from agreement AID-FFP-G-11-00068 that ended before December 31, 2013, as shown on page I-12 of audit firm's audit report and determine any actions necessary in accordance with the standard provisions for non-U.S., nongovernmental organizations, paragraph RAA1. h.	BUREAU FOR HUMANITARIAN ASSISTANCE	BHA will be meeting with the OIG the week of March 7 to determine if a revised management decision (corrective action plan) will be warranted to close the recommendation.  Final Action Target Date: July 29, 2022