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WEBINAR

USAID's Capacity Building **CBLD-9** Indicator
What You Need to Know

WEBINAR OVERVIEW

- About NPI and CBLD-9
- CBLD-9: About the Indicator Definition
- Reporting on CBLD-9
- Resources and Q&A

NEW PARTNERSHIPS INITIATIVE AND CBLD-9



USAID ACQUISITION AND ASSISTANCE STRATEGY (DECEMBER 2018)

“USAID will shift from viewing successful local capacity building as an organization’s ability to receive and manage federal funding directly to measuring success by the strengthened performance of local actors and local systems in achieving and sustaining demonstrable results.”



ACQUISITION AND ASSISTANCE STRATEGY

United States Agency for International Development (USAID)

December 2018

NEW PARTNERSHIPS INITIATIVE & CBLD-9

- The goal of the **New Partnerships Initiative (NPI)** is to increase USAID's development impact by elevating local leadership, fostering creativity & innovation, and mobilizing resources across agency programming.
- NPI works through innovative procurement & programming approaches to engage a range of partners and promote local capacity development.
- NPI has adopted the standard foreign assistance indicator **CBLD-9** to help measure these efforts.

— CBLD-9: ABOUT THE INDICATOR DEFINITION



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What is CBLD-9?

- CBLD-9: Percent of USG-assisted organizations with improved performance
- Developed as pilot indicator in 2018 for FY 2019
- Capacity is a form of potential made visible through performance.
- Captures both the **process** and **outcome** of organizational capacity development

APPLICABILITY: THE DENOMINATOR

- Applicable if an activity:
 - intentionally allocates resources for organizational capacity development, and
 - undergoes a deliberate performance improvement process that is documented

CONDITIONS FOR ACHIEVEMENT OF RESULTS: THE NUMERATOR

- **INTENTIONAL:** The Activity's theory of change should reflect how a process of performance improvement is predicted to improve the delivery of products or services that an organization provides.
- **PROCESS:** An organization must demonstrate that it has undergone and documented at a minimum the following steps:
 1. obtain organizational stakeholder input to define desired changes in performance,
 2. analyze and assess performance gaps,
 3. select and implement performance improvement solution(s), and
 4. monitor and measure changes in performance.
- **POSITIVE CHANGE:** To be counted in the numerator, an organization must demonstrate that its targets for performance improvement have been achieved

DISAGGREGATION

Type of organization:

- Education (higher education, secondary, primary)
- Research institutions (non-degree granting)
- Cooperative (formal and registered private sector firm that serves members voluntarily united to meet common needs and aspirations through joint ownership and democratically controlled business)
- Producer group (informal, unregistered group of producers who aggregate product to access markets)
- Faith based organizations
- Governmental agencies (at the national or sub-national levels)
- Health service delivery sites (hospital, clinic, community, pharmacies)
- Private sector firms
- Non-governmental and not-for profit organizations
- Other

REPORTING ON CBLD-9



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REPORTING NOTES

- **Baseline and targets** should be set for the overall indicator
 - Numerator (number of organizations with improved performance)
 - Denominator (number of organizations receiving capacity development support)
- Baseline should be **zero**.
- **Results:** organizations may be counted in more than one year, as long as their performance improved relative to the previous year.

ILLUSTRATION OF REPORTING RESULTS

About the Organizations			Does the organization demonstrate that it has undergone and documented a process of performance improvement, including the following steps:			
Organization Name	Organization Type	Organization Location	(1) Was organizational stakeholder input obtained to define desired performance improvement priorities?	(1)(a) Describe how and which stakeholder input was obtained.	(2) Were performance gaps analyzed and assessed?	(2)(a) Describe how performance gaps were assessed and analyzed.

ILLUSTRATION OF REPORTING RESULTS

Does the organization demonstrate that it has undergone and documented a process of performance improvement, including the following steps:				Positive Development Outcome	Calculating the Indicator	
(3) Were performance improvement solutions selected and implemented?	(3)(a) What is the area for performance improvement that was being addressed?	(4) Was the performance area monitored and measured?	(4)(a) Describe how or list the tools that were used to monitor and measure performance.	Has the organization's performance improved?	Denominator: does this meet the requirements as an effort to improve an organization's capacity?	Numerator: Was capacity improved?
					0	0
					0	0
					0	0
					0	0
					0	0
					0	0
					0	0
					0	0
					0	0
					0	0

ILLUSTRATION OF REPORTING RESULTS

OPTIONAL INDICATOR WORKSHEET FOR USAID IMPLEMENTING PARTNERS
CBLD-9: Percent of USG-assisted organizations with improved performance

Indicator Number	Indicator Name & Disaggregation Type	FY 2020		
		FY20 Target	FY20 Result	Deviation Narrative
CBLD-9	Percent of USG-assisted organizations with improved performance		75%	
CBLD-9a	Numerator		0	
CBLD-9b	Denominator		0	
CBLD-9c	Denominator: Type of organization: Education (higher education, secondary, primary)		0	
CBLD-9d	Denominator: Type of organization: Research institutions (non-degree granting)		0	
CBLD-9e	Denominator: Type of organization: Cooperative (formal and registered private sector firm that serves members voluntarily united to meet common needs and aspirations through joint ownership and democratically controlled business)		0	
CBLD-9f	Denominator: Type of organization: Producer group (informal, unregistered group of producers who aggregate product to access markets)		0	
CBLD-9g	Denominator: Type of organization: Faith based organizations		0	
CBLD-9h	Denominator: Type of organization: Governmental agencies (at the national or sub-national levels)		0	
CBLD-9i	Denominator: Type of organization: Health Service Delivery Sites (hospital, clinic, community, pharmacies)		0	
CBLD-9j	Denominator: Type of organization: Private sector firms		0	
CBLD-9k	Denominator: Type of organization: Non-governmental and not-for profit organizations		0	
CBLD-9l	Denominator: Type of organization: Other		0	

RESOURCES AND Q&A



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RESOURCES

Resource Pages

- New Partnerships Initiative (NPI) Website
- On the CBLD-9 Resource Page you can access:
 - The CBLD-9 Performance Indicator Reference Sheet
 - Frequently Asked Questions
 - The CBLD-9 data input worksheet

Support Contacts

- Inquiries by implementing partners should be communicated to and through their AORs/CORs



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THANK YOU!