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## 3A. PREPARING AN IEE

—

Location • Month Year



GLOBAL ENVIRONMENTAL  
MANAGEMENT SUPPORT

# SESSION OBJECTIVES

By the end of this session, you will be familiar with:

1. Content and organization of the IEE
2. Information required to prepare IEE
3. Process of reviewing proposed activities and evaluating potential environmental impacts
4. IEE review and approval process



Kelley Lynch

# IEE TEMPLATE

Use new standardized, cross-bureau—  
"global"—template to prepare IEEs

Includes:

- Detailed Cover Sheet
  - Summary of Findings
  - Signature Page
- Project/Activity Description
- Baseline Environmental Information
- Analysis of Potential Environmental Risk
- Environmental Determinations
- Conditions and Mitigation Measures
- Limitations/Revisions

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## INITIAL ENVIRONMENTAL EXAMINATION

**PROJECT/ACTIVITY DATA**

Project/Activity Name:	
Geographic Location(s) (Country/Region):	
Amendment (Yes/No), if Yes Indicate # (1, 2...):	
Implementation Start/End Dates (FY or M/D/Y):	
If Amended, specify New End Date:	
Solicitation/Contract/Award Number:	
Implementing Partner(s):	
Bureau Tracking ID:	
Tracking ID of Related RCE/IEE (if any):	
Tracking ID of Other, Related Analyses:	

**ORGANIZATIONAL/ADMINISTRATIVE DATA**

Implementing Operating Unit(s): (e.g. Mission or Bureau or Office)	
Other Affected Operating Unit(s):	
Lead BEO Bureau:	
Funding Operating Unit(s): (e.g. Mission or Bureau or Office)	
Funding Account(s) (if available):	
Original Funding Amount:	
If Amended, specify funding amount:	
If Amended, specify new funding total:	
Prepared by:	
Date Prepared:	

**ENVIRONMENTAL COMPLIANCE REVIEW DATA**

Analysis Type:	<input type="checkbox"/> Environmental Examination	<input type="checkbox"/> Deferral
Environmental Determination(s):	<input type="checkbox"/> Categorical Exclusion(s)	<input type="checkbox"/> Positive
	<input type="checkbox"/> Negative	<input type="checkbox"/> Deferred (per 22CFR216.3(a)(7)(iv))
IEE Expiration Date (if different from implementation end date):		
Additional Analysis/Reporting Required:		
Climate Risks Identified (#):	Low _____ Moderate _____ High _____	
Climate Risks Addressed (#):	Low _____ Moderate _____ High _____	

**THRESHOLD DETERMINATION AND SUMMARY OF FINDINGS**

PROJECT/ACTIVITY SUMMARY

Publicly available on GEMS project  
Web site:

<http://www.usaidgems.org/compliance.htm>

# IEE INCLUDES CLIMATE RISK MANAGEMENT

- Climate Risk Management (CRM) is a required element of the IEE
  - Consistent with Executive Order 13677: Climate-Resilient International Development (30 Sep. 2014)
- CRM typically integrated as part of Environmental Determinations (Section 4)
  - Global template includes a table
- CRM analysis in IEE should draw on any mission-level climate risk assessments
  - Climate mitigation approaches are added to IEE and specific measures “flowed down”
- Compels preparer to consider climate impacts on proposed activities and opportunities to strengthen resilience.



# FIVE BASIC RULES OF GOOD IEEs

- Make a determination for each activity
- Specify a mitigation (“condition”) for each impact
- Make mitigation commensurate to impacts
- Use clear, uncluttered language
- Don’t copy blindly—“cut and paste”



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# INFORMATION SOURCES

- Project documentation
  - PAD
  - Concept Note
  - Scope of Work
- Regional or country information
  - I18/I19 Assessments
  - Non USAID reports
- Existing EC documentation that is relevant to you project or activity
  - Current or previous IEEs
- Stakeholders!
- Aim for effectiveness without sacrificing quality or sound decision making



# MAKING A DETERMINATION

- Negative Determination
  - No significant adverse environmental impacts
- Negative Determination with Conditions
  - With specified mitigation and monitoring, significant adverse environmental impacts unlikely
- Positive Determination
  - Significant adverse impacts are possible
- Deferral
  - Not enough information to evaluation impacts



# ... AND IMPLICATIONS OF EACH

With approval of IEE ...

- Negative Determination
  - Activity passes environmental review
- Negative Determination with Conditions
  - With the inclusion of the specified mitigation and monitoring, the activity passes environmental review
- Positive Determination
  - Do full Environmental Assessment or redesign activity
- Deferral
  - You cannot implement the activity until the IEE is finalized



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# IEE REVIEW AND APPROVAL

- Illustrative review and approval chain is:
  - A/COR
  - Technical Team Leader/Office Director
  - Mission Env. Officer
  - Regional Env. Advisor
  - Mission Director
    - required per Reg. 216
  - Bureau Env. Officer
    - Required per Reg. 216
- IEE development can be an iterative process
  - Not a 'rubber-stamp' approval process
  - Expect MEO and BEO feedback in particular



Maggie Hallahan