CONGRESSIONAL BUDGET JUSTIFICATION

Appendix 3:
U.S. Department of State and U.S. Agency for International Development Status of Open Government Accountability Office (GAO) and Office of Inspector General (OIG) Recommendations

Fiscal Year 2022

Overview

The Department of State and USAID continue to implement oversight recommendations from the Government Accountability Office (GAO) and their respective inspector generals. Pursuant to the *Good Accounting Obligation in Government Act (*P.L. 115-414*),* this supplement to the Department and USAID's FY 2022 Congressional Budget Justifications (CBJ) provides the status of all open recommendations, anticipated timelines for implementation, and other corrective actions.

Since the last report, the Department closed and/or resolved a total of 52 open recommendations (21 GAO and 31 OIG). USAID closed/resolved 325 open recommendations (18 GAO and 307 OIG).

The appendix is presented in four categories, each sorted by the public release date of the report, from most recent to oldest:
    1. **GAO** open recommendations: **U.S Department of State**;
    2. **OIG** open recommendations: **U.S. Department of State;**
    3. **GAO** open recommendations: **USAID;**
    4. **OIG** open recommendations: **USAID.**

Department of State
Status of Open Government Accountability Office (GAO) Recommendations

Fiscal Year 2022

	GAO Report Title	GAO Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
1	Security Assistance: Actions Needed to Assess U.S. Activities and Ensure Timely Inspections of Equipment Transferred to Lebanon	GAO-20-176	Dec 18, 2019	The Secretary of State should direct the Department's relevant bureaus to work with Embassy Beirut to establish, as appropriate, and consolidate targets for each of the security-related performance indicators.	NEA	Various bureaus within the Department of State and Department of Defense have established targets for security-related performance indicators. Embassy Beirut is working with these bureaus to consolidate these existing indicators into their Integrated Country Strategy (ICS), and establish new indicators, as appropriate.
2	Security Assistance: Actions Needed to Assess U.S. Activities and Ensure Timely Inspections of Equipment Transferred to Lebanon	GAO-20-176	Dec 18, 2019	The Secretary of State should direct the Department's relevant bureaus to work with Embassy Beirut to collect and review performance data for key security-related performance indicators.	NEA	Embassy Beirut collects program-specific performance data across all security assistance programs and is conducting an ICS review to ensure that the document can be used to measure the achievement of performance targets.
3	Information Technology: Agencies Need to Fully Implement Key Workforce Planning Activities	GAO-20-129	Oct 30, 2019	The Secretary of State should ensure that the agency fully implements each of the seven key IT workforce planning activities it did not fully implement.	IRM	The Bureau of Information Resource Management (IRM), in coordination with the Bureau of Global Talent Management (GTM), is working on improving the Department's IT Workforce Planning activities, including developing an IT Strategic Workforce Plan specifically for the Civil Service and Foreign Service workforce, planning to assess competency gaps in 2021, reducing the number of IT vacancies, and establishing Workforce Working Groups with representatives from the other bureaus to ensure overall Department needs are met.
4	U.S. Assistance to Central America: Department of State Should Establish a Comprehensive Plan to Assess Progress toward Prosperity, Governance, and Security	GAO-19-590	Sep 26, 2019	The Secretary of State, working with the Administrator of the U.S. Agency for International Development, should collaborate with the Departments of Defense and Agriculture and other Departments as necessary, to develop a comprehensive approach to the monitoring	WHA	The Department already collaborates with these agencies regarding USG activities in El Salvador, Guatemala, and Honduras, and, to the extent possible, will seek to include them in the Central American M&E progress report. The *FY 2020 Strategy Report on Monitoring and Evaluation* was transmitted to Congress on October 8, 2020 and posted on State's website, https://www.state.gov/u-s-strategy-for-central-america/. GAO reviewed and responded that the report's data on indicators relate solely to State and USAID activities and do not

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				and evaluation of projects that directly support the objectives of prosperity, governance, and security, and incorporate this approach into the Strategy monitoring and evaluation plan.		include data for other government agencies, such as DOD and USDA, to reflect a comprehensive approach to monitoring and evaluation. The Department does not have the authority to direct DOD or USDA to design and implement programs, or conduct monitoring and evaluation of programs, for the funding appropriated directly to those agencies.
5	Foreign Assistance: State Department Should Take Steps to Improve Timeliness of Required Budgetary Reporting	GAO-19-600	Sep 9, 2019	**(Priority)** The Secretary of State should ensure that the Director of State's Office of U.S. Foreign Assistance Resources conducts a review of the Section 653(a) process to identify process steps that can be streamlined or eliminated and determine the time frame needed to prepare the annual Section 653(a) report. If State determines that the time frame exceeds 30 days, the office should coordinate with other appropriate officials to submit a legislative proposal to Congress to extend the mandated time frame for submitting Section 653(a) reports.	F	Prior to the enactment of the FY 2020 appropriations act, the Department began reviewing each of the steps in its iterative, coordinated, and multistep section 653(a) process, with a commitment to the goal of streamlining the process to the greatest extent possible and eliminating all unnecessary steps. This review confirmed the Department's judgement that producing a section 653(a) report reflecting a sound allocation of resources consistent with the extensive legal requirements cannot be completed within the 30-day timeframe specified in section 653(a). The Office of U.S. Foreign Assistance (F) determined that certain steps, such as directly engaging bureaus and overseas posts to solicit their input, are vital to ensuring that the Department is not only able to provide Congress with allocations of funds consistent with legal requirements, but that those allocations are also based on sound programmatic and policy decisions that reflect engagement with experts in Washington and the field. Further, F intends to coordinate with appropriate officials regarding a potential legislative proposal to Congress to recommend revising the timeframe specified in section 653(a).
6	Foreign Assistance: State Department Should Take Steps to Improve Timeliness of Required	GAO-19-600	Sep 9, 2019	The Secretary of State should ensure that the Director of State's Office of U.S. Foreign	F	The Department is working to modify its existing Foreign Assistance Coordination and Tracking System (FACTS) database to serve as the sole

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	Budgetary Reporting			Assistance Resources improves the data collection from the many sources contributing to the Section 653(a) reports, such as by enhancing their data information systems.		repository for section 653(a) data and to systematize and automate the distribution and collection of allocation information from about 200 bureaus and overseas posts. These enhancements will improve the collection of appropriations-related feedback data, allowing for less time and resources to be spent confirming data validity.
7	Foreign Assistance: State Department Should Take Steps to Improve Timeliness of Required Budgetary Reporting	GAO-19-600	Sep 9, 2019	The Secretary of State should develop a plan to address vacancies within State's Office of U.S. Foreign Assistance Resources, consulting with the USAID Administrator as appropriate.	F	Sixteen additional positions have been approved. Additionally, USAID approved the hiring for four vacant USAID positions in F/RA. The new positions, as well as USAID raising F's civil service hiring ceiling to 44 and allowing F to backfill for staff attrition, will enable us to more adequately allocate staff resources to improve the timeliness of the section 653(a) report.
8	Foreign Assistance: Federal Monitoring and Evaluation Guidelines Incorporate Most But Not All Leading Practices	GAO-19-466	Jul 31, 2019	The Department of State's U.S. Global AIDS Coordinator, in collaboration with HHS and other implementing agencies, should update the PEPFAR monitoring and evaluation policies to require these agencies to conduct impact evaluations on all pilot programs before replicating or expanding, or conduct performance evaluations for those programs and provide a justification for not conducting an impact evaluation.	S/GAC	**Implemented and under GAO review for closure**
9	Nuclear Security: The International Atomic Energy Agency Could Improve Priority Setting, Performance Measures, and Funding Stabilization	GAO-19-429	Jul 29, 2019	The Secretary of State should work with IAEA and its member states through the Board of Governors to develop detailed guidelines for prioritizing nuclear security	ISN	The Department continues to urge the IAEA to better prioritize its nuclear security activities, pushing to realize the potential of the Integrated Nuclear Security Support Plans (INSSPs) in planning, budgeting, execution, and evaluation processes. The change in senior IAEA leadership presents an

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				activities.		opportunity to prompt fresh IAEA focus on the status of its nuclear security programs. The Bureau of International Security and Nonproliferation (ISN) is working with IAEA to identify ways to better develop and implement strategic planning across the Division of Nuclear Security (NSNS), potentially including through dedicated staff.

The Department was encouraged to see in the IAEA's most recent Nuclear Security Report the emphasis on increased internal coordination and the Agency's more collaborative approach in implementation of its activities. The Report made clear that this will continue, which will help reduce duplication, streamline Agency activities, increase efficiency and effectiveness, and maximize the benefits to Member States.

Additionally, the Department is doing more to coordinate among the large donors to the Nuclear Security Fund (NSF) to optimize and enhance the way NSNS prioritizes and carries out its nuclear security activities funded via the NSF. To date, several donors have participated in a series of informal coordination meetings with the IAEA that include discussing how the Agency views its priorities for its nuclear security activities. These informal discussions are more likely to result in positive outcomes than priorities negotiated at Board of Governors meetings. |
| 10 | Nuclear Security: The International Atomic Energy Agency Could Improve Priority Setting, Performance Measures, and Funding Stabilization | GAO-19-429 | Jul 29, 2019 | The Secretary of State should work with IAEA and its member states through the Board of Governors to improve the nuclear security program's performance measures by developing | ISN | The Department agrees that more could be done to define baselines and targets, especially on activities that are mostly or fully within the IAEA's remit. The Department has continued to advocate for improved program management within the Division. This advocacy is having an impact, as is evident in the most recent Nuclear Security Report, which included |

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				baselines and measurable targets.		reference to the continued application of a results-based approach to nuclear security activities which help drive positive outcomes from Agency assistance. ISN continues to pursue better performance measures during negotiations of the next Program and Budget (2022-2025). The Department appreciates the Agency's focus on results-based management and continues to encourage systematic application of program management best practices, including comprehensively establishing performance measures, documenting baselines, setting clear goals, and measuring outcomes.
11	Nuclear Security: The International Atomic Energy Agency Could Improve Priority Setting, Performance Measures, and Funding Stabilization	GAO-19-429	Jul 29, 2019	The Secretary of State should work with IAEA and its member states through the Board of Governors to improve how the Division of Nuclear Security (DNS) reports to member states by consistently including the results of performance measures in at least one of the reports.	ISN	The Department has been working with the IAEA to improve its reporting. As a result, DNS is improving consistency and diligence in reporting on performance measures and results to Member States.
12	Nuclear Security: The International Atomic Energy Agency Could Improve Priority Setting, Performance Measures, and Funding Stabilization	GAO-19-429	Jul 29, 2019	The Secretary of State should work with IAEA and its member states through the Board of Governors to analyze options to stabilize DNS's funding within current fiscal and political constraints to enhance the sustainability of IAEA's nuclear security program.	ISN	The sustainability of the Division of Nuclear Security's budget has been, and remains, a major area of focus for the Department. The United States continues to advocate for priority areas in IAEA budget negotiations, such as nuclear security, to gain a greater share of any agreed budget increases as an alternative to shifting funds from other programs. ISN will continue its efforts to focus attention to this subject and to identify options to enhance the sustainability of the IAEA's nuclear security program, including how best to also strengthen governance of the NSF.

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13	Nuclear Security: The International Atomic Energy Agency Could Improve Priority Setting, Performance Measures, and Funding Stabilization	GAO-19-429	Jul 29, 2019	The Secretary of State should work with IAEA and its member states through the Board of Governors to strengthen the agency's central coordinating role by following key practices for collaboration.	ISN	The Department is working with Member States and the IAEA to improve collaboration among nuclear security stakeholders and strengthen the Agency's central coordinating role. The IAEA does not have the mandate to enforce goals, resources, or responsibilities on NSSCs as they are not Agency-operated institutions. Despite some limits on its collaborative abilities, the IAEA is undertaking many collaborative and coordinating actions, including some called for in the GAO report.
14	Cybersecurity: Agencies Need to Fully Establish Risk Management Programs and Address Challenges	GAO-19-384	Jul 25, 2019	The Secretary of State should update the department's policies to require (1) an organization-wide risk assessment, (2) an organization-wide strategy for monitoring control effectiveness, (3) system-level risk assessments, (4) the use of risk assessments to inform security control tailoring, and (5) the use of risk assessments to inform POA&M prioritization.	IRM	IRM is working on updating the applicable policies and procedures to integrate risk at all three levels -- organization, bureau, and information systems -- into the Department's Information Security Program.
15	Cybersecurity: Agencies Need to Fully Establish Risk Management Programs and Address Challenges	GAO-19-384	Jul 25, 2019	**(Priority)** The Secretary of State should establish and document a process for coordination between cybersecurity risk management and enterprise risk management functions.	IRM	To further align with federal requirements and guidelines, the Department established the Cyber Risk Management program at the end of FY 2018 to implement a Department-wide cyber risk management strategy. This program will also coordinate the updates to the Department's policies to address the risk-based policy gaps identified during GAO's review.
16	Nonimmigrant Investors: Actions Needed to Improve E-2 Visa Adjudication and Fraud Coordination	GAO-19-547	Jul 17, 2019	The Assistant Secretary of State for Consular Affairs should provide additional training or related resources to	CA	The Department accepted this recommendation in part. The Bureau of Consular Affairs (CA) plans to address by increasing the frequency of webinars, workshops, and cables on this subject. Consular

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				consular officers and locally employed staff on adjudicating E-2 visas, to cover topics that include the E-2 eligibility requirements and understanding business- and tax-related documents.		officers already have a basic understanding of local financial/tax documents because of their relevance in assessing the public charge ground of ineligibility. The Department relies on the knowledge of locally employed staff, some of whom have previous experience as accountants, auditors, and tax advisors, to assist consular officers. However, the Department does not currently possess specialized expertise and resources to conduct training on a vast and evolving landscape of business and tax related documents. CA will continue to encourage and facilitate posts to develop their own internal training programs on business- and tax related documents to better assist nonimmigrant visa units in adjudicating E2 applicants.
17	Nonimmigrant Investors: Actions Needed to Improve E-2 Visa Adjudication and Fraud Coordination	GAO-19-547	Jul 17, 2019	The Assistant Secretary of State for Consular Affairs should develop minimum standards for E-2 company registration programs, such as standards for how often companies are to be re-vetted.	CA	CA continues to work on revising 9 FAM 402.9 to set a minimum standard for how often companies must be re-vetted by posts that have an E-2 company registration program.
18	Nonimmigrant Investors: Actions Needed to Improve E-2 Visa Adjudication and Fraud Coordination	GAO-19-547	Jul 17, 2019	The Assistant Secretary of State for Consular Affairs should develop and implement a process to ensure that posts maintain required E-2 visa application documentation.	CA	9 FAM 402.9 has been modified to reflect this policy change and the practice has been implemented by all U.S. embassies and consulates. CA continues to work with Consular System and Technology to make changes to the system that will allow for all documents to be electronically stored.
19	Data Center Optimization: Additional Agency Actions Needed to Meet OMB Goals	GAO-19-241	Apr 11, 2019	The Secretary of State should take action to meet the data center closure targets established under DCOI by OMB.	IRM	The Department had no planned data center closures for FY 2020 due to COVID-19 and the ongoing disruption of normal day-to-day activities. However, State exceeded the revised target by closing two-tiered data centers this year both located Outside the Continental U.S. (OCONUS), DCOI-DC-576767

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						and DCOI-DC-576768.
20	Data Center Optimization: Additional Agency Actions Needed to Meet OMB Goals	GAO-19-241	Apr 11, 2019	The Secretary of State should take action to meet the data center optimization metric targets established under DCOI by OMB.	IRM	The Department had no planned data center closures for FY 2020 due to COVID-19. However, State exceeded the revised target by closing two-tiered data centers this year both located Outside the Continental U.S. (OCONUS), DCOI-DC-576767 and DCOI-DC-576768.
21	Cloud Computing: Agencies Have Increased Usage and Realized Benefits, but Cost and Savings Data Need to Be Better Tracked	GAO-19-58	Apr 4, 2019	The Secretary of State should ensure that the CIO establishes a consistent and repeatable mechanism to track savings and cost avoidances from the migration and deployment of cloud services.	IRM	By Q3 of FY 2021 the Department will incorporate a series of tags in the IT Capital Planning Investment Control system for bureaus to use to identify cost savings or avoidances from the migration and deployment of cloud services. In practice it remains an open question whether cloud services yield net operational savings when escalating subscription and license costs are factored in.
22	Foreign Asset Reporting: Actions Needed to Enhance Compliance Efforts, Eliminate Overlapping Requirements, and Mitigate Burdens on U.S. Persons Abroad	GAO-19-180	Apr 1, 2019	The Secretary of State, in coordination with the Secretary of the Treasury and Commissioner of Social Security, should establish a formal means to collaboratively address ongoing issues—including issues accessing financial services and employment and obtaining SSNs—that U.S. persons living abroad encounter from implementation of FATCA reporting requirements.	CA	Treasury is responsible for leading efforts to establish such a mechanism; however, COVID-19 restrictions in 2020 have prevented Treasury, IRS, State and SSA from meeting in person. Nevertheless, State continues to interact virtually with the interagency on issues regarding SSNs and customer service and to deal with individual critical issues when they occur.
23	UN Peacekeeping Operations: State Should Take Additional Steps to Work with the UN to Improve Effectiveness and Performance Information	GAO-19-224	Mar 19, 2019	The Secretary of State should continue to work with the Permanent Representative to the United Nations to ensure that UN peacekeeping operations fully meet principles of effective	IO	Implemented and under GAO review for closure.

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				peacekeeping.		
24	UN Peacekeeping Operations: State Should Take Additional Steps to Work with the UN to Improve Effectiveness and Performance Information	GAO-19-224	Mar 19, 2019	The Secretary of State should work with the Permanent Representative to the United Nations to ensure that the United Nations provides information to member states on the estimated costs of mandated peacekeeping tasks to provide better cost information when the Security Council adjusts peacekeeping mandates.	IO	Implemented and under GAO review for closure.
25	UN Peacekeeping Operations: State Should Take Additional Steps to Work with the UN to Improve Effectiveness and Performance Information	GAO-19-224	Mar 19, 2019	The Secretary of State should continue to work with the Permanent Representative to the United Nations to ensure that the United Nations takes additional steps to address member states' concerns about complete and timely information on the performance of United Nations peacekeeping operations.	IO	Implemented and under GAO review for closure.
26	Cybersecurity Workforce: Agencies Need to Accurately Categorize Positions to Effectively Identify Critical Staffing Needs	GAO-19-144	Mar 12, 2019	**(Priority)** To complete the appropriate assignment of codes to their positions performing IT, cybersecurity, or cyber-related functions, in accordance with the requirements of the Federal Cybersecurity Workforce Assessment Act of 2015, the Secretary of State should take steps to review the assignment of the "000" code to any positions in the department in the 2210 IT management	GTM	The Department's HR Service Providers (HRSP) have completed the review of cyber codes for approximately 209 position descriptions. While we are progressing slower than we had hoped, each HRSP continues to make progress. The major challenges we are encountering are conflicting priorities and identifying other corrections that need to be made to the identified position descriptions while evaluating the cyber codes. We will likely extend our timeline for phase 2 completion to the end of QTR 3 FY 2021, and the launch phase 3 in QTR 4 of FY 2021.

From a corporate perspective we continue to work |

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				occupational series, assign the appropriate NICE framework work role codes, and assess the accuracy of position descriptions.		on the education and marketing portion of the plan. We intend to finalize training material for IT hiring managers and start scheduling sessions by the end of QTR 2 FY 2021.
27	Security Assistance: U.S. Agencies Should Establish a Mechanism to Assess Caribbean Basin Security Initiative Progress	GAO-19-201	Feb 27, 2019	The Secretary of State should ensure that INLs Office of Western Hemisphere Programs develops and implements a data management system for centrally collecting reliable program monitoring data for all INL-funded CBSI activities through its current program monitoring contract or by some other means.	INL	Implemented and under GAO review for closure
28	Colombia: U.S. Counternarcotics Assistance Achieved Some Positive Results but State Needs to Review the Overall U.S. Approach	GAO-19-106	Dec 12, 2018	The Secretary of State should, in consultation with other U.S. agencies involved in counternarcotics efforts in Colombia, conduct an evaluation of the long-term effectiveness of eradication and interdiction in reducing the cocaine supply.	INL	A copy of the Interagency Agreement (IAA) was provided to GAO. The recommendation will remain open until the evaluation is completed, which is expected to be completed by the end of 2021. However, due to COVID-19 travel restrictions, implementation has been delayed at least a year.
29	Colombia: U.S. Counternarcotics Assistance Achieved Some Positive Results but State Needs to Review the Overall U.S. Approach	GAO-19-106	Dec 12, 2018	The Secretary of State should, in consultation with other U.S. agencies involved in counternarcotics efforts in Colombia, undertake a comprehensive review of the U.S. counternarcotics approach in Colombia and identify what changes, if any, should be made to the types and combination of U.S. activities, taking into	INL	A copy of the IAA was provided to GAO. The recommendation will remain open until the evaluation is completed, which is expected to be completed by the end of 2021. However, due to COVID-19 travel restrictions, implementation has been delayed at least a year.

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				consideration how the relative benefits and limitations between eradication, interdiction, and alternative development may impact the effectiveness of these efforts.		
30	Embassy Construction: Pace Is Slower Than Projected, and State Could Make Program Improvements	GAO-18-653	Sep 25, 2018	**(Priority)** The Secretary of State should determine the estimated effects of cost inflation on planned CSCP embassy construction capacity and time frames and update this information for stakeholders on a regular basis, such as through the annual budgeting process.	OBO	The Bureau of Overseas Buildings Operations (OBO) collected data on the effects of inflation on construction projects over the past few years and is providing this information to stakeholders through the budget process. To close the recommendation, GAO has suggested the Department incorporate this data into the FY 2023 Congressional Budget Justification, which will be published in early 2022. OBO does include this data in its budget documentation. Given other OBO funding priorities, such as staffing or residential housing, the annual budget request may reflect absorption of inflationary factors.
31	Central American Police Training: State and USAID Should Ensure Human Rights Content Is Included as Appropriate, and State Should Improve Data	GAO-18-618	Sep 5, 2018	The Secretary of State should ensure that the Bureau of International Narcotics and Law Enforcement Affairs (INL) designs internal control mechanisms to ensure human rights content is included in INL-funded police training for El Salvador, Guatemala, and Honduras as appropriate.	INL	INL provided GAO with sample language will be included as standard language in our interagency agreements relating to police training. GAO requested copies of guidance, agreement templates, or other internal control mechanisms to help ensure such human rights content is consistently included in police training.
32	Central American Police Training: State and USAID Should Ensure Human Rights Content Is Included as Appropriate, and State Should Improve Data	GAO-18-618	Sep 5, 2018	The Secretary of State should ensure that the Bureau of International Narcotics and Law Enforcement Affairs (INL) develops and implements a process to collect more reliable data on the number of police trained through INL-funded efforts in	INL	INL is in the process of rolling out an in-depth M&E contract throughout the region. One of the key components of this contract is the development of country-specific indicators. One of the requested indicators from INL/Tegucigalpa is to collect data on police training, specifically number of police officers trained by INL disaggregated by rank. GAO has requested the template, list of metrics/measures to be collected, and preliminary data already collected

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				El Salvador, Guatemala, and Honduras.		related to police training.
33	Native American Cultural Property: Additional Agency Actions Needed to Assist Tribes with Repatriating Items from Overseas Auctions	GAO-18-537	Aug 6, 2018	The Secretary of State should direct State's members of the interagency working group for protection of Native American cultural property to implement selected leading collaboration practices, such as taking steps to agree on outcomes and objectives, clarify roles and responsibilities, and document these decisions.	ECA	The Department provided a statement of its roles and responsibilities with other working group members. GAO is seeking additional documentation on outcomes and objectives for the group's work. The COVID-19 pandemic has delayed further implementation.
34	Native American Cultural Property: Additional Agency Actions Needed to Assist Tribes with Repatriating Items from Overseas Auctions	GAO-18-537	Aug 6, 2018	The Secretary of State should direct State's members of the interagency working group for protection of Native American cultural property to collaborate with the interagency working group members from other agencies to assess, in consultation with Indian tribes, whether and how amending the U.S. legal framework governing the export, theft, and trafficking of Native American cultural items would facilitate the repatriation of these items from auctions overseas and report its findings to Congress.	ECA	The interagency working group developed a draft legal assessment and draft legislative options. The interagency working group also conducted a listening session with tribal members in November 2019 and a tribal consultation in January 2020. The COVID-19 pandemic has delayed further implementation.
35	Federal Chief Information Officers: Critical Actions Needed to Address Shortcomings and Challenges in Implementing Responsibilities	GAO-18-93	Aug 2, 2018	The Secretary of State should ensure that the department's IT management policies address the role of the CIO for key responsibilities in the six areas	IRM	IRM provided GAO a copy of their Corrective Action Plan in early 2020, which included timeline and milestones to identify and update all policies impacted by this recommendation. To close the recommendation, GAO needs to review the updated

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				we identified.		policies to see each of these key responsibilities assigned to the CIO.
36	Information Technology: Agencies Need to Involve Chief Information Officers in Reviewing Billions of Dollars in Acquisitions	GAO-18-42	Jan 10, 2018	The Secretary of State should ensure that IT acquisition plans or strategies are reviewed and approved according to OMB's guidance.	IRM	GAO has requested additional evidence of a policy in place that requires the CIO (or designee) to review and approve IT acquisition plans or strategies and evidence that the review is taking place, to close this recommendation.
37	Democracy Assistance: State Should Improve Accountability Over Funding; USAID Should Assess Whether New Processes Have Improved Award Documentation	GAO-18-136	Dec 14, 2017	**(Priority)** The Secretary of State should direct the Bureau of International Narcotics and Law Enforcement Affairs to identify and address factors that affect the reliability of its democracy assistance data, such as miscoded or missing data.	CGFS	Throughout FY 2020, the Bureau of the Comptroller and Global Financial Services (CGFS) and the Bureau of Administration (A) have collaborated to provide DATA Act compliance support to improve the quality of data reported to FPDS-NG for all overseas acquisitions, including INL procurements, through the following efforts: • Developing a more user-friendly report to provide post-specific variance data and suggested corrective actions, distributed through the A/OPE DATA Act SharePoint. • Implementing system enhancements to help prevent variances, such as updates to FPDS-NG integration to reduce the amount of manual data entry required and limiting the permission to prevent integration from the procurement system to the financial system, both of which were previously identified as primary drivers behind variance. • Providing hands-on support for post by answering questions directed to a shared mailbox and communicating best practices during quarterly office hours sessions. • Sending targeted communications to high variance posts, holding one-on-one working sessions, and distributing management scorecards to help struggling posts proactively implement compliance best practices. These initiatives will continue in FY 2021 to further reduce reporting variances through corrective

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						actions, as well as encourage best practices to proactively avoid generating variances. Additionally, CGFS and A have partnered with F to support the Foreign Assistance Data Review (FADR) effort to increase the data quality of foreign assistance funded requests in response to federal mandates such as the Foreign Aid Transparency and Accountability Act (FATAA).
38	Federal Contracting: Additional Management Attention and Action Needed to Close Contracts and Reduce Audit Backlog	GAO-17-738	Sep 28, 2017	To enhance management attention to closing out contracts, the Secretary of State should develop a means at the agency level to track data on the entirety of the number and type of contracts eligible for closeout, where the contracts are in the closeout process, and establish goals and performance measures for closing contracts.	A	The Bureau of Administration's Office of the Procurement Executive (A/OPE) has updated the contract writing system in FY 2020 in coordination with CGFS. A/OPE has synced up systems and the A/OPE contract closeout team's business process so they are closing out contracts and updating the GFMS system to track these better and more accurately. A/OPE and CGFS produced GFMS validation rules to ensure that Contracting Officers include all option periods when issuing multiple year contracts. A/OPE and CGFS established a new datafile and selection method in GFMS for Contracting Officer's Representatives (COR). A/OPE and CGFS are actively examining additional features and system rules related to contract closeout.
39	Overseas Allowances: State Should Assess the Cost-Effectiveness of Its Hardship Pay Policies	GAO-17-715	Sep 13, 2017	**(Priority)** The Undersecretary of Management should assess the cost-effectiveness of State's policies and procedures for stopping and starting hardship pay for employees who temporarily leave their assigned overseas posts.	CGFS	The Department is taking a two-pronged approach to reviewing this issue. First, the Department is conducting a comprehensive review of all costs associated with the processing of hardship and other associated allowance and differential cables through reviews of post-level and central expenditures. In response to OIG recommendations, the Bureau of Administration's Office of Allowances standardized its Cost-of-Living Allowance process to eliminate bias by

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						outsourcing the data collection. As a result of the objective real time data collection (due yearly), the Department may save an average of $1.5 million a year in payroll - in addition to Post's savings for the numerous regular hours/overtime hours Post incurred to collect, reconcile and submit the data to the Department and the time to process that data to develop an index for posts around the world. Second, the Department is costing out alternative methods of addressing allowance and differential costs that reduce the manual effort on the Department but address the need to support costs incurred by Foreign Service personnel overseas. Meetings with Foreign Service staff to understand variances in the current model were held in the summer of 2019. Highlights from those sessions are being incorporated into the proposal to coincide with the costing from the first phase. Proposal is expected to be complete by the end of quarter 1 CY 2021.
40	Overseas Allowances: State Should Assess the Cost-Effectiveness of Its Hardship Pay Policies	GAO-17-715	Sep 13, 2017	**(Priority)** The Department's Comptroller should analyze available diplomatic cable data from overseas posts to identify posts at risk of improper payments for hardship pay, identify any improper payments, and take steps to recover and prevent them.	CGFS	The Department continues to identify, document, and seek repayment of the overpayment of allowances and differences as they occur as part of the notification of arrivals and departures. We continue to communicate the importance of timely actions to the regional bureaus and posts to ensure underpayments and overpayments do not occur. As arrival and departure data is critical to identifying and recovering improper payments, CGFS supports the roll out of GTM's Overseas Personnel System (OPS). OPS centralizes the collection of this data and allows for the possibility of faster digestion of the arrival and departure information in CGFS for calculation or formulation of improper payment notification and risk analysis.

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						To fully implement the recommendation, GTM must complete worldwide deployment of OPS, expected in CY 2021, and both GTM and CGFS would need to complete integration work to enable arrival and departure data to flow in an automated fashion between the two systems. CGFS would then need to provide documentation that the system allows it to identify and prevent improper payments more easily. Preliminary integration of data from implemented posts is in progress and installation to production is expected by the end of quarter 1 CY 2021 while the OPS roll-out continues.
41	Refugees: Actions Needed by State Department and DHS to Further Strengthen Applicant Screening Process and Assess Fraud Risks	GAO-17-706	Jul 31, 2017	To provide reasonable assurance that USRAP applicant fraud prevention and detection controls are adequate and effectively implemented, the Secretaries of Homeland Security and State should conduct regular joint assessments of applicant fraud risk across USRAP.	PRM	In February 2019, State and DHS provided GAO the interim progress report on their efforts to assess applicant fraud risk across USRAP. The final report is expected to be completed by September 30, 2021. To fully address the recommendation, State and DHS are expected to conduct regular fraud risk assessments across USRAP.
42	Data Center Optimization: Agencies Need to Address Challenges and Improve Progress to Achieve Cost Savings Goal	GAO-17-448	Aug 15, 2017	The Secretary of State should take action to, within existing OMB reporting mechanisms, complete plans describing how the agency will achieve OMB's requirement to implement automated monitoring tools at all agency-owned data centers by the end of fiscal year 2018.	IRM	The Department has successfully deployed centralized automated monitoring boundary tools at all its Enterprise Data Centers. The Department plans to deploy centralized automated monitoring and management tools across non-enterprise data centers.
43	Federal Chief Information Security Officers: Opportunities Exist to Improve Roles and Address Challenges to Authority	GAO-16-686	Aug 26, 2016	To ensure that the role of the CISO is defined in department policy in accordance with FISMA 2014, the Secretary of	IRM	In October 2020, the Department announced the establishment of a Department-wide Chief Information Security Officer (CISO) program and accountable senior executive, hereafter referred to as

	GAO Report Title	GAO Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
				State should define the CISO's role in department policy for ensuring that the department has procedures for incident detection, response, and reporting.		the Enterprise CISO (E-CISO). Both the Chief Information Officer (CIO) and the Bureau of Diplomatic Security (DS) Acting Assistant Secretary have collaborated to clarify and assign cybersecurity roles and responsibilities between IRM and DS. The CIO has oversight of Department cybersecurity efforts, while DS cybersecurity authorities relate to law enforcement, counterintelligence, safeguarding of classified information, and physical and technical security. The Enterprise CISO position was filled in May 2021. GAO, as requested, will be provided a copy of the 12 FAH policy when finalized, to close the recommendation.
44	Foreign Assistance: Actions Needed to Improve Transparency and Quality of Data on ForeignAssistance.gov	GAO-16-768	Aug 24, 2016	**(Priority)** To improve the quality of the data published on ForeignAssistance.gov and help ensure consistency in published information, the Secretary of State should, in consultation with the Director of OMB and the USAID Administrator, develop additional guidance that takes into consideration current challenges to updating ForeignAssistance.gov with verified data.	F	In May 2020, State and USAID submitted a joint report to Congress outlining a plan to consolidate the two federal websites reporting foreign assistance spending. State and USAID will establish a joint data governance structure to reduce discrepancies in data, bolster the capacity of agencies to submit data, and ensure the accuracy and quality of data. Once the governance structure is finalized, State will provide a copy to GAO to close this recommendation.
45	IT Dashboard: Agencies Need to Fully Consider Risks When Rating Their Major Investments	GAO-16-494	Jun 2, 2016	To better ensure that the Dashboard ratings more accurately reflect risk, the Secretary of State should direct the CIO to factor active risks into their IT Dashboard CIO ratings.	IRM	GAO has requested additional documentation showing how risk exposure calculations are factored into CIO ratings. IRM is developing a policy and guidance on how CIO risk ratings are calculated.
46	IT Dashboard: Agencies Need to Fully Consider Risks When	GAO-16-494	Jun 2, 2016	To better ensure that the Dashboard ratings more	IRM	GAO has requested additional documentation showing how risk exposure calculations are factored

	GAO Report Title	GAO Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
	Rating Their Major Investments			accurately reflect risk, the Secretary of State should direct the CIO to ensure that their CIO ratings reflect the level of risk facing an investment relative to that investment's ability to accomplish its goals.		into CIO ratings. IRM is developing a policy and guidance on how CIO risk ratings are calculated.
47	Security Assistance: U.S. Government Should Strengthen End-Use Monitoring and Human Rights Vetting for Egypt	GAO-16-435	Apr 12, 2016	**(Priority)** To strengthen compliance with the Leahy laws and implementation of State's human rights vetting process and to help ensure that U.S. funded assistance is not provided to Egyptian security forces that have committed gross violations of human rights, as State works to implement a revised version of the International Vetting and Security Tracking system (INVEST) system that is expected to help facilitate equipment vetting, the Secretary of State should develop time frames for establishing corresponding policies and procedures to implement a vetting process to help enable the U.S. government to provide a more reasonable level of assurance that equipment is not transferred to foreign security forces, including those in Egypt, when there is credible information that a unit has committed a gross violation of	DRL	In October 2019, the Department fielded a revised, cloud-based version of the International Vetting and Security Tracking (INVEST) system called INVEST-cloud (INVESTc). INVESTc is now successfully integrated and in use in Washington and at all posts worldwide that conduct Leahy vetting. INVESTc includes features to help facilitate equipment vetting when the end-user of the equipment can be identified and vetted in INVESTc prior to transfer. The Department has also developed and begun to implement on a by-country basis, supplemental Leahy implementation procedures to review allegations of gross violations of human rights (GVHR) against the recipient country's security forces independent of Leahy vetting in INVESTc. If any unit is determined to be credibly implicated in a GVHR through these processes, the Department will notify the applicable government that such unit is ineligible for U.S. assistance unless and until those responsible for the GVHR are held accountable in accordance with the Leahy law and Department policy. The Department completed such supplemental Leahy implementation procedures for Egypt in 2020 and continues to develop and implement similar procedures for other countries.

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				human rights.		In addition, the Department is currently working to complete and publish in 2021 updated global INVESTc guidance on equipment vetting. This guidance is being developed to enhance the Department's compliance with the Leahy law, GAO's recommendation, and updated Leahy Duty to Inform requirements.
48	Telecommunications: Agencies Need Better Controls to Achieve Significant Savings on Mobile Devices and Services	GAO-15-431	May 21, 2015	To help the department effectively manage spending on mobile devices and services, the Secretary of State should ensure an inventory of mobile devices and services is established department-wide (i.e., all components' devices and associated services are accounted for).	IRM	IRM provided the inventory of all mobile devices that connect to the network via the GO mobile program. GAO has requested an inventory that shows, by device, the service terms and rates associated with each device. IRM is working on a more detailed inventory list.
49	Telecommunications: Agencies Need Better Controls to Achieve Significant Savings on Mobile Devices and Services	GAO-15-431	May 21, 2015	To help the department effectively manage spending on mobile devices and services, the Secretary of State should ensure a reliable department-wide inventory of mobile service contracts is maintained.	IRM	IRM provided a GO manager (formerly MobiChord) screenshot that lists the three commercial contract carriers, AT&T, Verizon, and T-Mobile. GAO has requested a mobile service contracts inventory report that includes the contract information required by OMB guidance. The guidance required mobile service contract information, such as the number of voice minutes, gigabytes of data, and text messages allowed per line per month, the typical cost per line per month, and the number of devices on the service plan. IRM is working on a more detailed inventory list.
50	Summer Work Travel Program: State Department Has Taken Steps to Strengthen Program Requirements, but Additional Actions Could Further Enhance Oversight	GAO-15-265	Feb 11, 2015	To enhance State's efforts to protect SWT participants from abuse and the SWT program from misuse, the Secretary of State should direct the Bureau of Education and Cultural Affairs to establish a mechanism to ensure that	ECA	The fee study was completed in 2019. However, the Department cannot implement the recommendation until the Summer Work Travel (SWT) rule is published. The Department is waiting on approval by OIRA to publish the SWT final rule.

	GAO Report Title	GAO Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
				sponsors provide complete and consistent lists of fees that participants must pay.		
51	Summer Work Travel Program: State Department Has Taken Steps to Strengthen Program Requirements, but Additional Actions Could Further Enhance Oversight	GAO-15-265	Feb 11, 2015	To enhance State's efforts to protect SWT participants from abuse and the SWT program from misuse, the Secretary of State should direct the Bureau of Education and Cultural Affairs to establish a mechanism to ensure that information about these participant fees is made publicly available.	ECA	The Department published a notice for proposed rulemaking on January 12, 2017. When finalized, this would require each sponsor to include in its recruiting material, and post on its main Web site (e.g., with a visible link to such a page on the sponsors homepage), examples of the typical monthly budgets of exchange visitors placed in various regions of the United States to illustrate wages (based on the required weekly minimum of 32-hours of work at a typical host placement) balanced against itemized fees and estimated costs. The Department is waiting on approval by OIRA to publish the SWT final rule.
52	Summer Work Travel Program: State Department Has Taken Steps to Strengthen Program Requirements, but Additional Actions Could Further Enhance Oversight	GAO-15-265	Feb 11, 2015	To enhance State's efforts to protect SWT participants from abuse and the SWT program from misuse, the Secretary of State should direct the Bureau of Education and Cultural Affairs to establish detailed criteria that will allow State to assess the sufficiency and appropriateness of opportunities for cultural activities outside the workplace that sponsors provide to SWT participants.	ECA	The Department published a notice for proposed rulemaking on January 12, 2017. When finalized, this would require sponsors and their host entities to create cultural opportunities at least once per month. The proposed rule also notes that State will issue guidance outlining best practices for cross-cultural programming. The Department is waiting on approval by OIRA to publish the SWT final rule.
53	Critical Technologies: Agency Initiatives Address Some Weaknesses, but Additional Interagency Collaboration Is Needed	GAO-15-288	Feb 10, 2015	To ensure a consistent and more collaborative approach to the protection of critical technologies, the Secretaries of Commerce, Defense, Homeland Security, State, and the Treasury; as well as the	PM	This interagency recommendation was closed by GAO as not implemented. GAO credited State with having collaboration mechanisms within individual programs, but GAO had no information to indicate that any high-level interagency coordination is ongoing among the larger group of agencies. Per GAO, DOD was expected to lead the interagency

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				Attorney General of the United States, who have lead and stakeholder responsibilities for the eight programs within the critical technologies portfolio, should take steps to promote and strengthen collaboration mechanisms among their respective programs while ongoing initiatives are implemented and assessed. These steps need not be onerous; for example, they could include conducting an annual meeting to discuss their programs, including the technologies they are protecting, their programs' intent, any new developments or changes planned for their programs, as well as defining consistent critical technologies terminology and sharing important updates.		effort.
54	Organizational Transformation: Enterprise Architecture Value Needs to Be Measured and Reported	GAO-12-791	Sep 26, 2012	To enhance federal agencies' ability to realize enterprise architecture benefits, the Secretary of State should fully establish an approach for measuring enterprise architecture outcomes, including a documented method (i.e., steps to be followed) and metrics that are measurable, meaningful, repeatable, consistent, actionable, and aligned with	IRM	In February 2020, the Department developed an Enterprise Architecture (EA) plan. In December 2020, the Department also developed an Enterprise Architecture Measurement Methodology, which defines the iterative process for measuring and improving EA. This five-step measurement methodology helps align the EA activities to the Department's goals, identifies the measures for assessing the EA activities, provides the guidance for collecting and analyzing the EA activities metrics, communicate those results, and finally, monitor and improve the EA activities. To close this recommendation, GAO has requested additional

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				the agency's enterprise architecture's strategic goals and intended purpose.		evidence of metrics to measure outcomes, i.e., the results of such products and services.

Appendix 3:
Department of State
Status of Open Office of Inspector General (OIG) Recommendations

Fiscal Year 2022

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
1	Review of Allegations of Improper Passport Seizures at Embassy Sana'a, Yemen	ESP-19-01	10/31/2018	The Secretary of State should clarify the role of the Office of the Legal Adviser as the senior legal authority for the Department and consider whether attorneys in other offices should report directly to the Legal Adviser.	Secretary of State	The Department has addressed this recommendation. Department leadership approved a joint proposal from Consular Affairs (CA) and the Office of the Legal Adviser (L) to realign the legal offices previously housed within CA into L. As a result, all the attorneys who worked on the issues identified in the OIG report in CA now report to the Assistant Legal Adviser for Consular Affairs, whose work is directly overseen by the Legal Adviser. The Department will request the OIG close this recommendation.
2	Review of Allegations of Politicized and Other Improper Personnel Practices Involving the Office of the Secretary	ESP-20-01	11/12/2019	The Bureau of Human Resources should ensure that all political and presidential appointees receive training on prohibited personnel practices and related Department policies.	Secretary of State	The Department established a One Team course and administered the course to new schedule C employees on four occasions: August 2019, November 2019, February 2020, and August 2020. With the Administration change in January 2021, all new schedule C employees will be provided with guidance on the Department's personnel practices. The Department will request the OIG close this recommendation.
3	Review of Allegations of Politicized and Other Improper Personnel Practices Involving the Office of the Secretary	ESP-20-01	11/12/2019	The Secretary of State should consider whether disciplinary action is appropriate for any Department employee who failed to comply with FAM provisions regarding the use of non-merit factors in personnel decisions.	Secretary of State	The Department follows policies in 3 FAM 4300 when making case-specific decisions regarding personnel and disciplinary action. Should any Department employee fail to comply FAM provisions, the Department will consider whether disciplinary action is appropriate, using the 3 FAM 4300 as a guide. The Department will request the OIG close this recommendation.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
4	Audit of Monitoring and Evaluating Department of State Foreign Assistance in the Philippines	AUD-MERO-19-39	9/20/2019	OIG recommends that the Office of U.S. Foreign Assistance Resources enforce evaluation policies by developing and implementing an oversight plan to verify that bureaus and offices that are administering foreign assistance funding are implementing and adhering to the Foreign Aid Transparency and Accountability Act of 2016, the Foreign Affairs Manual (18 FAM 301.4), and the corresponding Guidance for the Design, Monitoring and Evaluation Policy at the Department of State, January 2018. This plan should include, at a minimum, a requirement to verify that definitions of "programs" and "large programs" are consistent with the FAM and a requirement to verify that Bureau Evaluation Plans are developed and implemented in accordance with existing guidance and by the established deadlines.	Office of U.S. Foreign Assistance Resources	The Office of Foreign Assistance has provided OIG with an implementation plan and documentation of oversight of bureau compliance and development of evaluation plans. The Office of Foreign Assistance also has drafted a FAM update regarding the definition of foreign assistance 'programs' and 'large programs', which is pending approval.
5	Audit of Cooperative Agreement Sub-Award Recipients Supporting the U.S. President's Emergency Plan for AIDS Relief	AUD-SI-19-43	9/25/2019	OIG recommends that the Office of the U.S. Global AIDS Coordinator and Health Diplomacy develop and implement quality control guides such as quality control checklists to facilitate accurate performance reporting and record retention at the sub-award recipient level for future cooperative agreements and grants, in accordance with the U.S. Department of State Standard Terms and Conditions.	Office of the U.S. Global AIDS Coordinator and Health Diplomacy	The Office of U.S. Global AIDS Coordinator and Health Diplomacy (S/GAC) is developing quality control guides, such as quality control checklists to facilitate accurate performance reporting and record retention at the sub-award recipient level for future cooperative agreements and grants, in accordance with the U.S. Department of State Standard Terms and Conditions. S/GAC plans to use the guides for any future cooperative agreements or grants. OIG has indicated they will close the recommendation once the guides have been used in practice. S/GAC has not issued any new cooperative agreements or grants recently.

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6	Audit of Cooperative Agreement Sub-Award Recipients Supporting the U.S. President's Emergency Plan for AIDS Relief	AUD-SI-19-43	9/25/2019	OIG recommends that the Office of the U.S. Global AIDS Coordinator and Health Diplomacy—to the extent practicable and for the purpose of fulfilling the vocational training related to the Mercy Corps Determined, Resilient, Empowered, AIDS-free, Mentored, and Safe Partnership Innovation Challenge project in Uganda—provide supply vouchers to the young women who successfully completed the vocational training.	Office of the U.S. Global AIDS Coordinator and Health Diplomacy	The Office of U.S. Global AIDS Coordinator and Health Diplomacy (S/GAC) agrees in theory with this recommendation, but after careful consideration, it is not feasible or practical to implement this recommendation since the project awards have already been closed. S/GAC will request the OIG close this recommendation.
7	Audit of Cooperative Agreement Sub-Award Recipients Supporting the U.S. President's Emergency Plan for AIDS Relief	AUD-SI-19-43	9/25/2019	OIG recommends that the Office of the U.S. Global AIDS Coordinator and Health Diplomacy develop and implement quality control guides such as quality control checklists to facilitate the timely submission of financial reports at the sub-award recipient level for future cooperative agreements and grants, in accordance with the U.S. Department of State Standard Terms and Conditions.	Office of the U.S. Global AIDS Coordinator and Health Diplomacy	The Office of U.S. Global AIDS Coordinator and Health Diplomacy (S/GAC) developed the checklists, but as of January 2021, still needs to implement a quality controls guide. This is in progress.
8	Audit of Cooperative Agreement Sub-Award Recipients Supporting the U.S. President's Emergency Plan for AIDS Relief	AUD-SI-19-43	9/25/2019	OIG recommends that the Office of the U.S. Global AIDS Coordinator and Health Diplomacy develop and issue guidance to inform current and future award and sub-award recipients about the cost principles for award recipients outlined in the Code of Federal Regulations.	Office of the U.S. Global AIDS Coordinator and Health Diplomacy	As of January 2021, the Office of U.S. Global AIDS Coordinator and Health Diplomacy (S/GAC) is developing guidance to inform current and future award and sub-award recipients about the cost principles outlined in the Code of Federal Regulations.
9	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	Embassy New Delhi, in coordination with the Bureau of Counterterrorism and Countering Violent Extremism and the Bureau of South and Central Asian Affairs, should prepare a position description for the regional counterterrorism coordinator position, number 10262001, that contains an accurate statement of responsibilities.	Bureau of Counterterrorism and Countering Violent Extremism	This recommendation was assigned to the Bureau of Counterterrorism and Countering Violent Extremism (CT) in April 2020. The recommendation outcome is tied to a Bureau inspection recommendation on regional counterterrorism coordinator positions; resolution has been delayed due to COVID and the change in administration. The Bureau aims to have a decision on the future of these positions by early Spring

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						2021 to allow closure of this recommendation.
10	Audit of the Department of State Implementation of Policies Intended to Counter Violent Extremism	AUD-MERO-19-27	6/26/2019	OIG recommends that the Bureau of Counterterrorism and Countering Violent Extremism seek designation from the Secretary of State to be the controlling authority on countering violent extremism issues and policy.	Bureau of Counterterrorism and Countering Violent Extremism	An information memo outlining implementation guidance for the Bureau of Counterterrorism and Countering Violent Extremism's (CT) exercise of its CVE policy roles per the 2016 FAM establishing the CT/CVE Office has been fully cleared. CT will submit to the Secretary or his designee at which point CT will request OIG to close this recommendation.
11	Audit of the Department of State Implementation of Policies Intended to Counter Violent Extremism	AUD-MERO-19-27	6/26/2019	OIG recommends that the Bureau of Counterterrorism and Countering Violent Extremism, in coordination with the Office of the Legal Adviser, provide written guidance for developing and implementing programs and projects intended to rehabilitate and reintegrate former violent extremists into society in a manner consistent with U.S. laws prohibiting material support to terrorists and terrorist organizations.	Bureau of Counterterrorism and Countering Violent Extremism	The Bureau of Counterterrorism and Countering Violent Extremism (CT) performed technical due diligence and consultations around State to outline this guidance. CT has drafted a policy framework for State review. Based on the framework, CT will draft and clear the policy paper in 2021, at which point CT will request the recommendation to be closed.

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12	Audit of the Department of State Implementation of Policies Intended to Counter Violent Extremism	AUD-MERO-19-27	6/26/2019	OIG recommends that the Bureau of Counterterrorism and Countering Violent Extremism, in coordination with the Office of U.S. Foreign Assistance Resources, the Office of the Under Secretary of State for Public Diplomacy and Public Affairs, Office of Policy, Planning, and Resources (PPR), and the Bureau of Budget and Planning, develop and implement a single definition for what constitutes a countering violent extremism program or project.	Bureau of Counterterrorism and Countering Violent Extremism	The Bureau of Counterterrorism and Countering Violent Extremism (CT) developed a single definition for what constitutes a countering violent extremism program or project in August 2020. CT briefed and disseminated it widely across State throughout the fall of 2020. In 2021 the Office of U.S. Foreign Assistance (F) is expected to approve this as a finalized Key Issue Definition governing foreign assistance-funded CVE programming, as well as R/PPR's confirmation of PD-funded programming, at which point CT will request OIG to close this recommendation.
13	Audit of the Department of State Implementation of Policies Intended to Counter Violent Extremism	AUD-MERO-19-27	6/26/2019	OIG recommends that the Bureau of Counterterrorism and Countering Violent Extremism, in coordination with the Office of U.S. Foreign Assistance Resources, the Office of the Under Secretary of State for Public Diplomacy and Public Affairs, Office of Policy, Planning, and Resources, and the Bureau of Budget and Planning, establish a process to verify that grants and cooperative agreements awarded for the purpose of countering violent extremism comply with the definition established in Recommendation 6 as to what constitutes a countering violent extremism program or project.	Bureau of Counterterrorism and Countering Violent Extremism	The Office of U.S. Foreign Assistance (F) has a process for reviewing foreign assistance-funded projects against their Key Issue Definitions to verify they meet those criteria; The Bureau of Counterterrorism and Countering Violent Extremism (CT) will coordinate State review of these attributions, as it has been doing annually, to allow closure of this recommendation. CT is working with the Under Secretary for Public Diplomacy and Public Affairs (R) to review and provide input on CVE-related, draft Embassy Public Diplomacy plans. This will ensure that missions planning PD-funded, CVE activities have CT guidance and can plan activities accordingly.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
14	Audit of the Department of State Implementation of Policies Intended to Counter Violent Extremism	AUD-MERO-19-27	6/26/2019	OIG recommends that the Bureau of Counterterrorism and Countering Violent Extremism, in coordination with the Office of U.S. Foreign Assistance Resources, the Office of the Under Secretary of State for Public Diplomacy and Public Affairs, Office of Policy, Planning, and Resources, and the Bureau of Budget and Planning, develop and implement procedures to ensure that bureaus and missions report only awards and expenditures that meet the definition of a countering violent extremism established in Recommendation 6 as to what constitutes a countering violent extremism program or project.	Bureau of Counterterrorism and Countering Violent Extremism	The Office of U.S. Foreign Assistance (F) Key Issue Definition for CVE will be available for review by all bureaus, offices, and embassies that operate with foreign assistance funds as they design and report on proposed CVE programming. The Bureau of Counterterrorism and Countering Violent Extremism (CT) is working with Under Secretary for Public Diplomacy and Public Affairs (R) to embed the definition within R processes and systems as applicable. Once these steps have taken place, CT will request OIG to close this recommendation.
15	Evaluation of the Antiterrorism Assistance Explosive Detection Canine Program – Health and Welfare	ESP-19-06	9/6/2019	OIG recommends that the Bureau of Counterterrorism, in coordination with the Bureau of Diplomatic Security, develop and negotiate written agreements related to the canine program with partner nations that ensure there is a mutual understanding regarding the health, welfare, and retirement of the provided canines.	Bureau of Counterterrorism and Countering Violent Extremism	The Department of State continues to negotiate agreements to secure written commitments from host governments related to canine program health, welfare, and retirement standards and expectations. The Department concluded one agreement but continues to experience delays due to COVID-19 pandemic and its effects globally. The Department of State will continue to engage partner nations throughout 2021 to finalize such agreements and allow closure of this recommendation.
16	Audit of Monitoring and Evaluating Department of State Foreign Assistance in the Philippines	AUD-MERO-19-39	9/20/2019	OIG recommends that the Bureau of Counterterrorism and Countering Violent Extremism (CT) require the Department of Justice to pay the salary of the Resident Legal Advisor in a manner that reflects the actual amount of time spent working on CT's behalf on the Resident Legal Advisor Program.	Bureau of Counterterrorism and Countering Violent Extremism	The Bureau of Counterterrorism and Countering Violent Extremism (CT) began including language in all Interagency Agreements stating that all salary costs for a Resident Legal Advisor be charged according to agreed upon budgets and reflect the amount of time an Advisor works on CT programs. CT is providing a sample IAA and will request OIG to close this recommendation.

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17	Audit of Monitoring and Evaluating Department of State Foreign Assistance in the Philippines	AUD-MERO-19-39	9/20/2019	OIG recommends that the Bureau of Counterterrorism and Countering Violent Extremism document its reviews of quarterly financial reports and obtain, review, and document supporting financial documentation for the Resident Legal Advisor Program for reconciliation purposes, in accordance with Procurement Information Bulletin No 2014-05.	Bureau of Counterterrorism and Countering Violent Extremism	The Bureau of Counterterrorism and Countering Violent Extremism (CT) has developed a document that addresses the review of quarterly financial reports including how they will be conducted and the source documents to be used. This review process is currently implemented; CT will request OIG to close this recommendation.
18	Audit of Monitoring and Evaluating Department of State Foreign Assistance in the Philippines	AUD-MERO-19-39	9/20/2019	OIG recommends that the Bureau of Counterterrorism and Countering Violent Extremism develop and implement procedures to verify that all award recipients comply with approved budget plans or obtain permission via formal amendments or written agreements to deviate from approved budget plans.	Bureau of Counterterrorism and Countering Violent Extremism	The Bureau of Counterterrorism and Countering Violent Extremism (CT) has instituted additional language in all Interagency Agreements that requires any adjustments to summary budget line items of ten percent or greater must be agreed to in advance and will require a modification to the agreement. In addition, as OIG has focused specifically on the Department of Justice Office of Overseas Prosecutorial Development, CT has also implemented quarterly meetings with budget and programmatic counterparts with that agency as an additional check point for any potential budget deviations to be pre-approved. CT is providing a sample to OIG and will request closure of this recommendation.
19	Audit of the Department of State Vetting Process for Syrian Non-Lethal Assistance	AUD-MERO-17-01	11/10/2016	OIG recommends that the Bureau of Democracy, Human Rights, and Labor distribute the consolidated vetting guidance from Recommendation 1 to implementing partners to make them aware of Department vetting requirements.	Bureau of Democracy, Human Rights, and Labor	On behalf of the Department, the Bureau of Administration, Office of the Procurement Executive (A/OPE) is developing consolidated vetting guidance. A/OPE is in the final stages of development of this guidance and intends to distribute it to all bureaus. Once the Department issues a consolidated, codified policy for Syria vetting with detailed guidance and processes, the Bureau of Democracy, Human Rights, and Labor (DRL) will distribute updated Department

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						guidance to its implementing partners to make them aware of the Department's vetting requirements.
20	Audit of the Department of State Vetting Process for Syrian Non-Lethal Assistance	AUD-MERO-17-01	11/10/2016	OIG recommends that the Bureau of Democracy, Human Rights, and Labor develop and implement internal controls to verify that its implementing partners are submitting information on required individuals for vetting in accordance with the consolidated vetting guidance from Recommendation 1.	Bureau of Democracy, Human Rights, and Labor	On behalf of the Department, Bureau of Administration, Office of the Procurement Executive (A/OPE) is developing consolidated vetting guidance. A/OPE is in the final stages of development and intends to distribute it to all bureaus. Once the Department issues a consolidated, codified policy for Syria vetting with detailed guidance and processes, the Bureau of Democracy, Human Rights, and Labor (DRL) will develop and implement internal controls to verify that its partners are submitting information on required individuals in accordance with the guidance outlined by A/OPE. Once the Department issues a consolidated, codified policy for Syria vetting with detailed guidance and processes, DRL will incorporate those vetting processes in its standard Programming Policies and Procedures Manual and provide training to all Grants Officer Representatives (GORs) and Technical Program Officers (TPOs) to ensure that the appropriate internal controls are in place and being adhered to.
21	Audit of the Department of State Vetting Process for Syrian Non-Lethal Assistance	AUD-MERO-17-01	11/10/2016	OIG recommends that the Bureau of Democracy, Human Rights, and Labor include in future award agreements the vetting requirements for Syria programs.	Bureau of Democracy, Human Rights, and Labor	The Bureau of Democracy, Human Rights, and Labor (DRL), along with the Grants Officers from the Office of Acquisitions Management (AQM) assigned to manage the grants and cooperative agreements for DRL, have included vetting requirements as part of the Bureau's revised Risk Assessment template, which requires the

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						Grants Officer Representative to include and adhere to the appropriate vetting policy if the grant is issued. Additionally, for countries in which vetting is required, those vetting requirements are outlined in the grant/cooperative agreement whenever an award is issued by DRL and AQM. Once the Department issues a consolidated, codified policy for Syria vetting with detailed guidance and processes, DRL will ensure that the updated vetting policy is included in all new grants and cooperative agreements as required by the vetting policy.
22	Inspection of the Bureau of Democracy, Human Rights, and Labor's Foreign Assistance Program Management	ISP-I-19-12	10/29/2018	The Bureau of Democracy, Human Rights, and Labor, in coordination with the Bureau of Administration, should finalize a service level agreement clarifying the Bureau of Administration's responsibilities for grants support.	Bureau of Democracy, Human Rights, and Labor	The Bureau of Democracy, Human Rights, and Labor and the Office of Acquisitions Management continue to work towards resolution on a service level agreement between the two bureaus. The bureaus anticipate having such an agreement completed by the third quarter in Fiscal Year 2021.
23	Inspection of the Bureau of Democracy, Human Rights, and Labor's Foreign Assistance Program Management	ISP-I-19-12	10/29/2018	The Bureau of Democracy, Human Rights, and Labor should modify its grants processes to accurately record expenditures in the Department's financial system.	Bureau of Democracy, Human Rights, and Labor	The Bureau of Democracy, Human Rights, and Labor (DRL) continues to work with the Bureau of the Comptroller and Global Financial Services (CGFS) on enterprise-wide procedural changes to the way expenditure information is reported and tracked in the Payment Management System (PMS) and the Global Financial Management System (GFMS), with implementation tentatively scheduled for FY 2021. It is important to continue to note that the Department does not own the PMS system and our ability to get the Bureau of Health and Human Services (HHS) to successfully implement OIG recommended changes, enterprise-wide, is

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						subject to HHS limitations. DRL is currently working with the Office of the Legal Adviser (L) and HHS on finalizing the memo.
24	Inspection of the Bureau of Democracy, Human Rights, and Labor's Foreign Assistance Program Management	ISP-I-19-12	10/29/2018	The Bureau of Democracy, Human Rights, and Labor, in coordination with the Bureau of the Comptroller and Global Financial Services and the Office of the Legal Adviser, should determine whether grants payment irregularities identified for award number S-LMAQM-17-CA-1232 constitute a violation of the Anti-Deficiency Act and, if so, report the violation.	Bureau of Democracy, Human Rights, and Labor	The Bureau of Democracy, Human Rights, and Labor (DRL) continues to work with the Bureau of the Comptroller and Global Financial Services (CGFS) and the Office of the Legal Adviser (L) to finalize a formal memo outlining the DRL assessment that it was not anti-deficient. DRL will provide OIG with the final, cleared memo once cleared by all stakeholders.
25	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that the Bureau of International Narcotics and Law Enforcement Affairs (INL) update the "INL Financial Management Handbook" to include guidance related to recording assets purchased with INL project funding or transferred to INL from another agency that are not being donated to a host government in the Integrated Logistics Management System.	Bureau of International Narcotics and Law Enforcement Affairs	The Bureau of International Narcotics and Law Enforcement Affairs (INL) updated its INL Financial Management Handbook to include a supplemental chapter which establishes guidelines for the use of proceeds from aircraft, parts and equipment sold through the General Services Administration. This will ensure aircraft sale proceeds are utilized to the maximum extent possible for the benefit of the Department, while still adhering to regulatory guidance.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
26	Audit of Monitoring and Evaluating Department of State Foreign Assistance in the Philippines	AUD-MERO-19-39	9/20/2019	OIG recommends that the Office to Monitor and Combat Trafficking in Persons (J/TIP) determine whether the objective to "provide short-term emergency shelter for up to 44 minor boys and girls with a high/therapeutic caregiver to child ratio" in the Protecting At-risk children Vulnerable to Exploitation cooperative agreement should be revised. If so, J/TIP should request that the Grants Officer (a) update the cooperative agreement accordingly and (b) ensure that the amount of funding disbursed to the award recipient is consistent with the services received.	Office to Monitor and Combat Trafficking in Persons	The Trafficking in Persons (TIP) Office determined that the amount of funds disbursed to the award recipient was consistent with the services received during a site on October 22, 2019. This project ended on June 30, 2020, and subsequently the TIP Office has reviewed the final reports and will be closing out the award shortly.
27	Audit of Monitoring and Evaluating Department of State Foreign Assistance in the Philippines	AUD-MERO-19-39	9/20/2019	OIG recommends that the Office to Monitor and Combat Trafficking in Persons review the Protecting At-risk children Vulnerable to Exploitation cooperative agreement and correct any deficiencies identified with the budget amendment processes, such as requiring all amendments to be approved and signed in the State Assistance Management System.	Office to Monitor and Combat Trafficking in Persons	The Trafficking in Persons (TIP) Office reviewed all amendment actions and determined no additional deficiencies were identified with the amendment process, including budget amendments, within the State Assistance Management System. The TIP Office will be requesting the OIG formally close this recommendation.
28	Audit of Monitoring and Evaluating Department of State Foreign Assistance in the Philippines	AUD-MERO-19-39	9/20/2019	OIG recommends that the Office to Monitor and Combat Trafficking in Persons (a) conduct a review of all expenditures invoiced under the Protecting At-risk children Vulnerable to Exploitation cooperative agreement to date and (b) identify and recover all expenditures determined to be unallowable.	Office to Monitor and Combat Trafficking in Persons	The Trafficking in Persons (TIP) Office conducted a thorough review of expenditures during a site visit, which took place on October 22, 2019. No expenditures were deemed unallowable, and as a result, the TIP Office did not need to recover any costs and will be closing out the award shortly. The TIP Office will be requesting the OIG formally close this recommendation.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
29	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that the Under Secretary for Management develop and implement a plan to enforce the centralized management and oversight of all Department aviation programs and assets, including oversight of and approval by the Aviation Governing Board, on all decisions related to providing aviation services, in accordance with the Foreign Affairs Manual.	Office of the Under Secretary for Management	The Aviation Governing Board charter was revised in December 2018 and the Aviation Safety Management Systems and Flight Program Standards were approved by the Aviation Governing Board in February 2020. The Aviation Strategic Plan was approved by the Aviation Governing Board in July 2020. The Aviation Governing Board Working Group drafted a revision to the Foreign Affairs Manual policy, and it is now in final Department clearance.
30	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that the Aviation Governing Board (AGB), in coordination with the Bureau of International Narcotics and Law Enforcement Affairs (INL), revise Volume 2 of the Foreign Affairs Manual (FAM) 800 to clarify that INL is the sole provider of aviation services for the Department of State and any requests for exceptions to, or waiver of this policy, along with a written justification, must be submitted to and approved by the AGB. The FAM revision should include a requirement for a periodic review of the decision to determine whether it remains beneficial.	Office of the Under Secretary for Management	The Aviation Governing Board charter was revised in December 2018. The Aviation Safety Management Systems and Flight Program Standards was approved by the Aviation Governing Board in February 2020. The Aviation Strategic Plan was approved by the Aviation Governing Board in July 2020. The Aviation Governing Board Working Group drafted a revision to the Foreign Affairs Manual policy, and it is now in final Department clearance.
31	(U) Management Assistance Report: Modification and Oversight of the Bureau of Medical Services' Contract for Aeromedical Biocontainment Evacuation Services Violated	AUD-SI-19-11	12/21/2018	OIG recommends that the Deputy Under Secretary for Management direct that all Department of State aviation services, except those for logistics support of nonrecurring and unpredictable requirements managed by the Bureau of Administration, be assigned to the Bureau of International Narcotics and Law Enforcement Affairs, Office of Aviation, to support Department of State compliance with applicable Federal aviation regulations and requirements.	Office of the Under Secretary for Management	The Aviation Governing Board charter was revised in December 2018 and the Aviation Safety Management Systems and Flight Program Standards was approved by the Aviation Governing Board in February 2020. The Aviation Strategic Plan was approved by the Aviation Governing Board in July 2020. The Aviation Governing Board Working Group drafted a revision to the Foreign Affairs Manual policy, and it is now in final Department clearance.

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	Federal Requirements					
32	Evaluation of the Bureau of Diplomatic Security's Aegis Construction Contract at Camp Eggers, Afghanistan	ESP-19-04	7/26/2019	OIG recommends that the Office of the Undersecretary for Management review the Bureau of Diplomatic Security's construction capabilities and adopt a policy that identifies the specific circumstances under which the construction clause in the Worldwide Protective Services (WPS) contract may be used for construction projects and that includes the designation of formal roles of the Bureau of Diplomatic Security, the Office of Acquisitions Management, and the Bureau of Overseas Buildings Operations with respect to such projects.	Office of the Under Secretary for Management	The Bureau of Diplomatic Security and the Bureau of Administration agree to further refine the policy and take steps to incorporate it into the Foreign Affairs Manual: 12 FAM 285.1 (U) Contracts and Task Orders. The Bureau of Diplomatic Security has drafted an update to the Foreign Affairs Manual policy (12 FAM 280), and it is now in final Department clearance.
33	Management Assistance Report: Open Audit Recommendations Awaiting Final Action and Closure	AUD-AOQC-19-35	9/5/2019	OIG recommends that the Under Secretary for Management (a) direct the Department entities responsible for the recommendations identified in this report to respond to OIG within 30 days of the issuance of this report with the status of actions taken to implement the recommendations and (b) periodically, but no more than every 90 days thereafter, follow up with those entities on the status of their corrective actions with recommendations that have yet to be implemented and closed.	Office of the Under Secretary for Management	This OIG report directed the Office of the Under Secretary for Management to follow up on 22 outstanding recommendations made by the OIG to Department bureaus in prior OIG reports. As of January 2021, 15 recommendations have been closed and six remain in progress.

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34	Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas	AUD-FM-17-51	8/31/2017	OIG recommends the Bureau of Administration re-determine and update by the end of FY 2018 post allowance rates using independent economic data where such data for post exist. Once the rates have been updated, the Bureau of Administration should determine the amount saved by implementing the new rates. OIG determined that using independent, economic data would have saved the Department approximately $18.2 million between FY 2013 and FY 2015 for six posts tested (that is, funds that could have been put to better use).	Bureau of Administration	The Office of Allowances (A/OPR/ALS) outsourced all post allowance data collection on September 30, 2019 to a third-party contractor. The COLA contractor submitted the 2019 global rates to A/OPR/ALS on February 29, 2020 (per the base year requirements) and again on August 1, 2020 (Option Year 1). The Bureau of Administration implemented the new COLA rates with an effective date of October 11, 2020. This timing provided the Bureau the opportunity to compare two sets of COLA rates from the contractor for data integrity and to coordinate implementation across the Department. The amount saved will be calculated and reported to the OIG in the Bureau of Administration's next response.
35	Inspection of U.S. Mission to Somalia	ISP-I-19-09	10/29/2018	The Bureau of Administration, in coordination with the U.S. Mission to Somalia and the Bureau of African Affairs, should finalize all risk mitigation plans for third-party contracts as required by Department guidance.	Bureau of Administration	The Office of the Procurement Executive (OPE) has diligently worked with stakeholders to draft risk mitigation plans for efforts supporting Mission Somalia. As of January 2021, eleven plans have been approved, three are in or ready for clearances, and four contracts identified in the report have either been cancelled or determined to not require a report. OPE plans to request the OIG close this recommendation during FY 2021, Quarter 2.
36	Audit of the Department of State Vetting Process for Syrian Non-Lethal Assistance	AUD-MERO-17-01	11/10/2016	OIG recommends that the Under Secretary for Management consolidate and codify all current Syria vetting policies issued by the Department and bureaus into one detailed guidance explaining specifically how the vetting process should be carried out for Syrian awards. This consolidated guidance should be distributed to all bureaus once completed.	Office of the Procurement Executive	The Department submitted the proposed rulemaking to the Office of Management and Budget (OMB) in August 2020 for their review, prior to the proposed rulemaking being published for public comment. While awaiting feedback from OMB, A/OPE subject matter experts are working to finalize the Department's Vetting Policy (FAM) in order to circulate

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						it among Department stakeholders for comment and clearance. The Department currently expects to finalize the Vetting Policy for final publication no later than June 2021.
37	Management Assistance Report: Incorporation of Clause Requiring Contractor Cooperation with the Office of Inspector General	ESP-18-02	3/1/2018	The Bureau of Administration, Office of the Procurement Executive, should amend the Department of State Acquisition Regulation to require contracting officers to include a provision requiring contracting officers to insert a clause in all current and future contracts stating: "(a) This contract incorporates by reference 1 FAM 053.2-5, which mandates the contractor's and/or any subcontractor's duty to cooperate fully with Office of Inspector General personnel. (b) Cooperation includes: (1) Complete, prompt, and free access to all files (in any format), documents, premises, and employees, except as limited by law, including access to records, premises, and employees; (2) Statements, both oral and written, including statements under oath or affirmation; (3) Technical consultation, examination, and assistance regarding information or evidence being collected or developed; (4) Such other information and assistance as may be requested in order to complete the OIG activity."	Office of the Procurement Executive	The Department is in process of finalizing a submission to Office of Management and Budget (OMB) in January 2021 for the proposed rulemaking being published for public comment. The Department is hopeful that the OMB review will be completed by mid-2021 and any comments received from interested parties will be delivered to the Department no later than FY 2021, Quarter 4. The Office of the Procurement Executive believes this recommendation could be closed during FY2022 upon completion of publication of the final rule and amendment to the Department of State Acquisition Regulations (DOAR).

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
38	Management Assistance Report: Cost Controls for Food Services Supporting Department of State Operations in Iraq Require Attention	AUD-MERO-18-31	3/5/2018	OIG recommends that, if the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management does not seek reimbursement for $4.1 million in unauthorized meals paid to PAE Government Services, Inc. in response to Recommendation 12, the Procurement Executive at the Bureau of Administration, Office of the Procurement Executive implement ratification procedures in accordance with Department of State Acquisition Regulations 601.602-3-70, "[Ratification] Procedures," for Baghdad Life Support Services contract SAQMMA13D0120 food services task order SAQMMA14F0721.	Office of the Procurement Executive	The Office of the Procurement Executive's (OPE) Office of Acquisitions Management, in cooperation with the Bureau of Near East Affairs, has completed its review of questioned costs. As a result, no further action is required, and the Department will not require ratification of any costs. OPE will notify the OIG of its findings and request closure of recommendations 12 and 13.
39	Evaluation of the Bureau of Diplomatic Security's Aegis Construction Contract at Camp Eggers, Afghanistan	ESP-19-04	7/26/2019	OIG recommends that the Bureau of Administration develop policies requiring the inclusion of Division 1 General Requirements in all future contracts that include a construction component.	Office of the Procurement Executive	The Office of the Procurement Executive (OPE) has drafted an acquisition policy directive, called a Procurement Information Bulletin (PIB), to implement this recommendation. The PIB is in clearance with Department equities and OPE anticipates issuing the policy during FY2021, Quarter 2.
40	Audit of Cooperative Agreement Sub-Award Recipients Supporting the U.S. President's Emergency Plan for AIDS Relief	AUD-SI-19-43	9/25/2019	OIG recommends that the Bureau of Administration, Office of the Procurement Executive, in coordination with the Office of U.S. Foreign Assistance Resources, Resources and Appropriations Division, calculate an estimate of the anticipated savings within the first year of including the standard provision related to obtaining reimbursements for value added taxes in grants and cooperative agreements.	Office of the Procurement Executive	The Office of the Procurement Executive (OPE), in coordination with the Office of U.S. Foreign Assistance Resources (F), provided its final anticipated update to the OIG in January 2021, and requested the OIG close this recommendation. The Department estimates the anticipated savings in FY2020, the first year of a new standard provision related to obtaining reimbursements for value added taxes in grants and cooperative agreements, is at minimum $244,402.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
41	Management Assistance Report: Cost Controls for Food Services Supporting Department of State Operations in Iraq Require Attention	AUD-MERO-18-31	3/5/2018	OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management (a) determine whether the $4.1 million in questioned costs for unauthorized meals paid to PAE Government Services, Inc. under Baghdad Life Support Services contract SAQMMA13D0120 food services task order SAQMMA14F0721 as identified by OIG are allowable and (b) recover any costs determined to be unallowable.	Office of Acquisitions Management	The Office of the Procurement Executive's (OPE) Office of Acquisitions Management, in cooperation with the Bureau of Near East Affairs, has completed its review of questioned costs. As a result, no further action is required, and the Department will not require ratification of any costs. OPE will notify the OIG of its findings and request closure of recommendations 12 and 13.
42	Audit of the Bureau of European and Eurasian Affairs Administration and Oversight of Selected Contracts and Grants	AUD-CGI-18-50	8/13/2018	OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, develop and implement procedures to verify that Contracting Officers are monitoring Contracting Officer's Representatives files in accordance with Procurement Information Bulletin No. 2014-10.	Office of Acquisitions Management	The Office of the Procurement Executive (OPE) anticipates providing documentation demonstrating development and implementation of recommended procedures no later than end of FY2021, Quarter 3. Documentation will include communications, policies, and completed file inspections being planned and executed by the Office of Acquisitions Management (AQM).
43	Audit of the Bureau of European and Eurasian Affairs Administration and Oversight of Selected Contracts and Grants	AUD-CGI-18-50	8/13/2018	OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, develop and implement procedures to verify that Contracting Officers are developing quality assurance surveillance plans for all service contracts and monitoring Contracting Officers Representatives adherence to the quality assurance surveillance plans.	Office of Acquisitions Management	During FY2020, Quarter 1 the Office of the Procurement Executive (OPE) deployed a COR delegation tool that for applicable contracts includes a mandatory paragraph covering QASPs. In conjunction with this tool, OPE anticipates reissuing PIB 2014-10 to incorporate discussion of the COR tool and its use by contracting officers. OPE anticipates reissuing the PIB in FY2021, Quarter 3 upon which OPE anticipates requesting the OIG close the recommendation.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
44	Management Assistance Report: Results of 2014 Audit of Bureau of Diplomatic Security Worldwide Protective Services Contract Task Orders 2, 9, and 11	AUD-MERO-19-23	4/22/2019	OIG recommends that the Bureau of Administration, Office of the Procurement Executive, Office of Acquisitions Management—if it is determined that the questioned costs for Defense Base Act insurance overhead and general and administrative charges associated with insurance premiums in Recommendation 1 were unallowable—review all Department open task orders associated with International Development Solutions, LLC, its parent company, Constellis, LLC, and its subsidiaries, as shown in Table 2, to identify all unallowable invoicing charges for overhead and general and administrative charges associated with Defense Base Act insurance premiums and recover those costs determined to be unallowable.	Office of Acquisitions Management	The Office of the Procurement Executive (OPE) is in process of completing final negotiation of a settlement agreement that will document allowability and cost recovery during FY2021, Quarter 2. OPE anticipates completion of the settlement agreement and recovery of any identified costs no later than end of FY2021, Quarter 4.
45	Audit of the Department of State's FY 2019 Implementation of the Digital Accountability and Transparency Act of 2014	AUD-FM-20-05	11/8/2019	OIG recommends that the Bureau of Administration, Office of Operations, Office of Language Services, discontinue its business practice of aggregating information related to multiple task orders under blanket purchase agreements into one transaction when entering data in the Federal Procurement Data System – Next Generation. Specifically, data related to each task order should be entered separately and only actual data should be used for all data elements, including, but not limited to, Action Date, Period of Performance Start Date, Period of Performance Current End Date, and Period of Performance Potential End Date.	Office of Language Services	The Office of Language Services and the Bureau of the Comptroller and General Financial Services (CGFS) have implemented the resolution to OIG's recommendation. After final documentation is provided to OIG, pending correction of errors in reporting Period of Performance Dates to the Federal Procurement Data System-Next Generation, the Office of Language Services will request OIG to close this recommendation.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
46	Review of Allegations of Improper Passport Seizures at Embassy Sana'a, Yemen	ESP-19-01	10/31/2018	The Bureau of Consular Affairs, Office of Passport Services, in conjunction with the Bureau of Diplomatic Security, should develop centralized, searchable databases to track and manage passport revocation cases, as well as retentions of passports and other documents seized on suspicion of fraud when citizens apply for consular services, or under other circumstances, and to track confiscations of such documents if they are seized on grounds other than retention authority.	Bureau of Consular Affairs	The Bureau of Consular Affairs (CA) 8 FAM/FAH and 12 FAM/FAH updates are necessary to satisfy this recommendation. These FAM/FAH updates are currently in clearance with the requisite directorates. Once published, CA will request OIG to close this recommendation.
47	Review of Allegations of Improper Passport Seizures at Embassy Sana'a, Yemen	ESP-19-01	10/31/2018	The Bureau of Consular Affairs should coordinate with the Office of the Legal Adviser to issue guidance and, if necessary, amend the Foreign Affairs Manual to clarify (1) The differences between retention and confiscation of a passport and any other authority that exists to take a passport, the circumstances under which each is authorized, the types of documentation or data entries the Department must create and maintain in exercising each authority, the notifications and advisements that must be given to the document holders in each case, and the fact that retentions must be limited to a specific temporary period. (2) The circumstances in which individuals whose passports are retained, confiscated, or revoked while overseas are entitled to limited validity passports to return to the United States.	Bureau of Consular Affairs	The Bureau of Consular Affairs (CA) 8 FAM/FAH and 12 FAM/FAH updates are necessary to satisfy this recommendation. These FAM/FAH updates are currently in clearance with the requisite directorates. Once published, CA will request OIG to close this recommendation.
48	Inspection of the Bureau of Consular Affairs, Kentucky Consular Center	ISP-I-19-36	8/27/2019	The Bureau of Consular Affairs, in coordination with the Bureau of Administration, should conduct a space utilization study of the Kentucky Consular Center's Appalachian Processing Center and implement a plan for its cost-effective use or repurposing.	Bureau of Consular Affairs	CA, in consultation with GSA, is looking for other uses or tenants for the Appalachia Processing Center and talking to relevant agencies.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
49	Audit of the Department of State Travel Card Program	AUD-CGI-16-48	9/27/2016	OIG recommends that the Bureau of the Comptroller and Global Financial Services develop, implement, and publish policies in the Foreign Affairs Manual and/or Foreign Affairs Handbook governing cash advances on Department-issued travel cards, specifically regarding the timing and dollar value of cash advances that may be obtained.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) drafted changes to the FAM and distributed these changes to Department stakeholders for clearances. Once published, CGFS will request OIG to close this recommendation.
50	Audit of the Department of State Travel Card Program	AUD-CGI-16-48	9/27/2016	OIG recommends that the Bureau of the Comptroller and Global Financial Services establish controls to identify Department employees eligible to receive a travel card who travel more than two times in a 12-month period and verify that they obtain and use a Department-issued travel card for all official travel-related expenses.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) drafted changes to the FAM which have been distributed to Department stakeholders for clearances. Once published, CGFS will request OIG to close the recommendation. Additionally, CGFS developed a Non-Usage MicroSoft Access Tool that identifies cardholders that traveled and did not use the travel card as well as DOS employees who traveled and should obtain/use a travel card. This tool is currently being piloted in CGFS and is intended to be deployed to the Department once the FAM is updated.
51	Audit of the Department of State Travel Card Program	AUD-CGI-16-48	9/27/2016	OIG recommends that the Bureau of the Comptroller and Global Financial Services develop and implement split disbursement procedures as required by the Office of Management and Budget for all Department-issued travel card holders.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) will work with Carlson Wagonlit (CWT) and Citi on implementation of a split disbursement solution. CGFS estimates that analysis to determine the Department solution for split disbursement will be completed no later than Q2 of FY2021. Upon completion of CGFS analysis, a timeline for implementation will be determined.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
52	Department of State Has Administrative Leave Policies but Lacks Complete and Accurate Data on the Use of Leave	ESP-16-04	9/30/2016	The Bureau of the Comptroller and Global Financial Services, in conjunction with the Bureau of Human Resources, should ensure that its new payroll systems have the ability to collect information regarding the justification for why administrative leave is granted.	Bureau of the Comptroller and Global Financial Services	The new payroll system will be going live in Pay Period 05 for CY 2021. During the year, as a result of the COVID pandemic, additional sub-codes for administrative leave were added to the time and attendance systems. The Bureau of the Comptroller and Global Financial Services (CGFS) will draft a response with supporting documentation to show the new sub-codes for administrative leave; this will be provided to OIG in conjunction with the implementation of the new payroll system.
53	Audit of Department of State FY 2016 Compliance With Improper Payments Requirements	AUD-FM-17-42	5/12/2017	OIG recommends that the Bureau of the Comptroller and Global Financial Services develop and implement a method to obtain information on improper payments identified and recovered by other Department of State bureaus and offices through contract and grant oversight activities, such as contract and grant closeout procedures, during the applicable fiscal year.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) drafted the data call and presented it in the FY 2020 Agency Financial Report. CGFS will request OIG to close this recommendation.
54	Audit of Department of State FY 2016 Compliance With Improper Payments Requirements	AUD-FM-17-42	5/12/2017	OIG recommends that the Bureau of the Comptroller and Global Financial Services develop and implement a process to report all improper payments identified by sources outside payment recapture audits for the applicable period in the annual Agency Financial Report. The process should require the Bureau of the Comptroller and Global Financial Services to present this information even if corresponding collection information is not readily available unless written instructions advising otherwise is obtained from the Office of Management and Budget.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) drafted the data call and presented it in the FY 2020 Agency Financial Report. CGFS will request OIG to close this recommendation.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
55	Compliance Follow-up Review: Department of State Has Not Implemented Recommendations Pertaining to Official Residence Expense Staff Salaries	ISP-C-17-32	8/9/2017	The Bureau of the Comptroller and Global Financial Services, in coordination with the Bureau of Human Resources, should issue consistent guidance to overseas missions on the proper procedures for paying Official Residence Expense staff salaries within 30 days of the issuance of this report.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) continues to review proposed guidance with Department officials and plans to issue guidance and procedures once drafted and cleared by the appropriate bureaus.
56	Compliance Follow-up Review: Department of State Has Not Implemented Recommendations Pertaining to Official Residence Expense Staff Salaries	ISP-C-17-32	8/9/2017	The Bureau of the Comptroller and Global Financial Services, in coordination with the Bureau of Human Resources, should revise and reissue consistent Foreign Affairs Manual and Foreign Affairs Handbook guidance on paying Official Residence Expense staff salaries within 6 months of the issuance of this report.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) continues to review proposed guidance with Department officials and plans to issue guidance and procedures once drafted and cleared by the appropriate bureaus.
57	Inspection of Embassy Riyadh and Constituent Posts, Saudi Arabia	ISP-I-18-17	5/11/2018	Embassy Riyadh, in coordination with the Bureau of the Comptroller and Global Financial Services, should implement procedures to require U.S. direct-hire employees to comply with Department of State Standard Regulation 532 a)2) and collect overpayments made in cases of non-compliance.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) will continue to work with Embassy Riyadh, as necessary; however, this recommendation should not be continued as open on the part of CGFS. Action is required by Embassy Riyadh to initiate any collection of overpayments. It is the responsibility of Post to notify CGFS when an individual is traveling to the United States; Global Compensation (GC) reviews the individual's allowance and determines if an overpayment has occurred. Without notification from Post, no action can be initiated by CGFS/GC.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
58	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that the Bureau of the Comptroller and Global Financial Services, in coordination with the Bureau of International Narcotics and Law Enforcement Affairs, develop updated formal policies, procedures, and implementing guidance to ensure that Department of State aviation assets, including assets donated by other agencies, are accurately valued when initially obtained and the correct value is recorded in the accounting system.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) is working with the Bureau of International Narcotics and Law enforcement Affairs (INL) regarding the status of their financial handbook which will provide documentation to close out this recommendation.
59	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that the Bureau of the Comptroller and Global Financial Services, in coordination with the Bureau of International Narcotics and Law Enforcement Affairs, develop policies, procedures, and implementing guidance to update the valuation of an aircraft after undergoing other-than-routine maintenance, including both programmed depot maintenance and phase maintenance.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) and the Bureau of International Narcotics and Law Enforcement (INL) completed guidance and published updates to the FAM. Updates in INL's Financial Management Handbook and Property Handbook are pending. Once complete, INL will finalize, clear, and publish these updates.
60	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that the Bureau of the Comptroller and Global Financial Services, in coordination with the Bureau of International Narcotics and Law Enforcement Affairs, develop and implement policies, procedures, and implementing guidance to determine when an aircraft should be considered "In Service" and "Not in Service" and to update the status of the aircraft in the Integrated Logistics Management System.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) and the Bureau of International Narcotics and Law Enforcement (INL) completed guidance and published updates to the FAM. Updates in INL's Financial Management Handbook and Property Handbook are pending. Once complete, INL will finalize, clear, and publish these updates.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
61	Audit of the Execution of Security-Related Construction Projects at U.S. Embassy Kabul, Afghanistan	AUD-MERO-19-40	9/20/2019	OIG recommends that the Bureau of the Comptroller and Global Financial Services evaluate and report on the feasibility of establishing an appropriate function code or sub-object code in both the Global Financial Management System and Regional Financial Management System that will allow the Department to discretely track all physical security upgrade projects and expenditures and, if determined to be feasible, establish the appropriate function or sub-object code.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) is planning to meet with the Bureau of Diplomatic Security (DS) and the Office of Overseas Building and Operations (OBO) to evaluate the feasibility of a new function code. Preliminary discussions indicate that this function code would not be feasible. CGFS plans to complete the feasibility discussion with DS and OBO in 2021.
62	Audit of the Execution of Security-Related Construction Projects at U.S. Embassy Kabul, Afghanistan	AUD-MERO-19-40	9/20/2019	OIG recommends that the Bureau of the Comptroller and Global Financial Services grant Financial Management Center staff at Embassy Kabul read-only access to the Global Financial Management System and that financial management staff at the Bureaus of Overseas Buildings Operations and Diplomatic Security be given read-only access to the Regional Financial Management System in order to facilitate the generation and reconciliation of data on physical security upgrade projects and expenditures.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) granted GMFS access to the Office of Overseas Building and Operations (OBO) and Diplomatic Security (DS). CGFS will request OIG to close this recommendation.
63	Audit of the Department of State's FY 2019 Implementation of the Digital Accountability and Transparency Act of 2014	AUD-FM-20-05	11/8/2019	OIG recommends that the Bureau of the Comptroller and Global Financial Services, in coordination with the Bureau of Administration, develop and implement a corrective action plan that addresses the causes attributed to the deficiencies with the overseas transactions.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS), in close coordination with offices within the Bureau of Administration, has made substantial progress over the last two years related to the deficiencies identified with overseas transactions. To further improve the processes for overseas users and accuracy of overseas transactions, the Bureau of Administration has developed new business processes and implemented system updates that complete OIG's recommendations. CGFS will request to close this recommendation.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
64	Audit of the Department of State's FY 2019 Implementation of the Digital Accountability and Transparency Act of 2014	AUD-FM-20-05	11/8/2019	OIG recommends that the Bureau of the Comptroller and Global Financial Services develop and implement a process in the Global Financial Management System that documents modifications of obligation amounts due to exchange rate fluctuations.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) determined that the challenges identified during the DATA Act audit would be resolved when enhancements to the Regional Financial Management System (RFMS/M) related to obligations in local currency, itemization of accounting lines in RFMS/M, and the integration of the itemized accounting lines to GFMS were designed and implemented. CGFS initiated the Local Currency Obligation and Revaluation Project in FY 2020. This project will leverage a few pilot posts, as well as engage directly with Bureaus and other key stakeholders to define and document current business processes within posts actively using local currency and the subsequent budgetary processes, tools, and impacts. Revaluation processes are also in scope with the Outstanding Revalued Amount (ORA) and Revalued Adjustment Amount (RAA), being the primary focus. These two measures will promote transparency around system capabilities and general cash flow with revaluation. The Project will leverage the data collected and assessed to formulate standardized business processes and tools to support Department-wide obligating and revaluating in local currency at Posts. This effort is still in the early stages of planning and will require additional planning before an estimated completion date can be established.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
65	Audit of the Department of State's FY 2019 Implementation of the Digital Accountability and Transparency Act of 2014	AUD-FM-20-05	11/8/2019	OIG recommends that the Bureau of the Comptroller and Global Financial Services update the data elements identified as high risk in its Data Quality Plan, at a minimum, deficiencies included in this report should be used as one of the factors used in determining high risk.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) performed its yearly review and revision of the entire Data Quality Plan (DQP) to identify, monitor and assess internal control structures designed to mitigate identified risk. In addition to revised processes, CGFS updated its assessments of high-risk data elements in the DQP. The DQP has been updated to reflect CGFS's current risk assessment.
66	Audit of the Bureau of Diplomatic Security's Administration of the Armored Vehicle Program	AUD-SI-17-21	2/10/2017	OIG recommends that the Bureau of Diplomatic Security, in coordination with the Bureau of Administration, develop and implement a methodology to facilitate the armored vehicle request process. The methodology should include metrics relating to response times, fulfillment of requests, and status updates for the requesting post or bureau.	Bureau of Diplomatic Security	Bureau of Diplomatic Security (DS) has requested OIG close this recommendation. Armored Vehicle (AV) Program is pleased to announce that MyPost is now live, effective Friday, January 8, 2021. This provides posts with access to useful real time data pertaining to their armored vehicle fleets, providing them with a level of granularity they have not previously had. Post will be able to view their inventory, request new assets, a review of what is in their pipeline, as well as their shipment status. In addition, the AV Program has added an additional performance measure for tracking AV request response time to the FY2021 AV Program Plans through the Diplomatic Security Planning Structure (DSPS) and will be reported quarterly through the Quarterly Performance Report (QPR). In addition to tracking AV request response time, AV is also reporting quarterly on the metric for fulfillment metric through a performance indicator/milestone through the QPR for FY2021 Program Plans, which requires that the vehicle be armored and scheduled for shipment within 18 months. This performance measurement

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						was implemented in FY2020.
67	Audit of the Bureau of Diplomatic Security's Administration of the Armored Vehicle Program	AUD-SI-17-21	2/10/2017	OIG recommends that the Bureau of Diplomatic Security, in coordination with the Bureau of Administration, develop and implement a centralized tool to track requests for armored vehicles. This tool should be able to maintain a complete list of requests and the status of the efforts to fulfill the requests.	Bureau of Diplomatic Security	Bureau of Diplomatic Security (DS) has requested OIG close this recommendation. Armored Vehicle (AV) Program is pleased to announce that MyPost is now live, effective Friday, January 8, 2021. This provides posts with access to useful real time data pertaining to their armored vehicle fleets, providing them with a level of granularity they have not previously had. Post will be able to view their inventory, request new assets, a review of what is in their pipeline, as well as their shipment status. In addition, the AV Program has added an additional performance measure for tracking AV request response time to the FY2021 AV Program Plans through the Diplomatic Security Planning Structure (DSPS) and will be reported quarterly through the Quarterly Performance Report (QPR). AV monitors vehicle requests by distributing assigned region/program to analysts tracking the allocation, obligation award processing, and shipment for each request.
68	Audit of the Bureau of Diplomatic Security's Administration of the Armored Vehicle Program	AUD-SI-17-21	2/10/2017	OIG recommends that the Bureau of Diplomatic Security develop and implement guidance for overseas posts on the construction and use of shelters for armored vehicles.	Bureau of Diplomatic Security	The new Armored Vehicle (AV) Foreign Affairs Handbook (FAH), which will include specific considerations post should take to provide sufficient cover to armored vehicles, is pending clearance within DS, and is expected to clear DS by the end of Q2 FY21. The new AV FAH is expected to complete Department clearances and be released by the end of Q3 FY 2021. In addition, 20 STATE 68954 Annual AV Maintenance 2020 All Diplomatic and Consular Posts (ALDAC) was released on July 17, 2020 and reminds posts of their

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						responsibility to provide covered parking. Posts are also required to report on their covered parking availability in their Annual AV Emergency Action Committee cable (EAC) as per 20 STATE 68952 Annual AV Procurement Planning Guidance ALDAC.
69	Audit of the Bureau of Diplomatic Security's Administration of the Armored Vehicle Program	AUD-SI-17-21	2/10/2017	OIG recommends that the Bureau of Diplomatic Security update the current armored vehicle policy to define specifically what types of maintenance must be performed under an embassy employee's observation.	Bureau of Diplomatic Security	A draft update to 12 Foreign Affairs Manual (FAM) 380 includes specific language to address this recommendation. The FAM update is in Department clearance. DS anticipates Department clearance before the end of Q2 FY 2021. In addition, maintenance language has been incorporated into the new Armored Vehicle (AV) Foreign Affairs Handbook (FAH), expected to be released by the end of FY21 Q3. Also, 20 STATE 68954 Annual AV Maintenance 2020 All Diplomatic and Consular Posts (ALDAC) was released on July 17, 2020 and provides specific recommendations for maintenance.
70	Evaluation of the Department of State's Security Clearance Process	ESP-17-02	7/19/2017	The Bureau of Diplomatic Security, Office of Personnel Security and Suitability, in coordination with the Bureau of Human Resources, should establish clear responsibilities for the processing of security clearance requests in order to reduce or eliminate unnecessary delays in the clearance process and require appropriate training for all Human Resources personnel involved in the process.	Bureau of Diplomatic Security	To decrease the background investigation process timeline, the Bureau of Diplomatic Security (DS) and Global Talent Management (GTM) began a pilot, with special thanks to the Bureau of Administration for creating the technology tools to facilitate, where GTM identifies a candidate to DS, and a DS customer service provider facilitates the initiation of their background investigation, including walking them through the government's standardized e-QIP system and forms. While the current data is based on a limited sample size, so far, the pilot is yielding significant results, finalizing complete and accurate security packages in

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						under two weeks. DS and GTM are working to expand this program to other HR service providers.
71	Evaluation of the Department of State's Security Clearance Process	ESP-17-02	7/19/2017	The Bureau of Diplomatic Security should perform a workforce analysis of its employees who perform security clearance work to ensure that it has the proper staffing levels in place to meet its timeliness goals.	Bureau of Diplomatic Security	In order to strategically integrate additional personnel, as well as improve overall effectiveness of the organization, the Bureau of Diplomatic Security (DS) sought the expertise of an external source for in-depth review and analysis of the organization to ensure that DS gained mandatory efficiencies, attained improvements in clearance process workflows, and significantly reduced security clearance timelines. Accordingly, the DS Office of Personnel Security and Suitability modified its organizational structure to better align with each division's duties and respective missions. Realignment of divisional responsibilities recommended by the external source will better enable the Department to meet timeliness goals, capitalize on process improvements currently being piloted and proposed, and refocus on the mission of DS – ultimately improving timeliness of the security clearance process and, in turn, meeting Department priorities.

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72	Evaluation of the Department of State's Security Clearance Process	ESP-17-02	7/19/2017	The Bureau of Diplomatic Security, Office of Personnel Security and Suitability should determine the actual cost of the security clearance work it performs for other Government agencies in order to fully recoup its expended funds, in accordance with the Economy Act and Department policy.	Bureau of Diplomatic Security	Bureau of Diplomatic Security (DS) currently does not have the technology or resources in place to conduct such an evaluation but is actively pursuing the capability. Currently, DS refers to and baselines the cost of security clearance work from the annual publication of security clearance pricing by the Office of Personnel Management (OPM). DS utilizes the reimbursement of funds from its customers through interagency agreements (IAAs), to offset costs incurred by the program.
73	Management Assistance Report: Deficiencies Reported in Cyber Security Assessment Reports Remain Uncorrected	ISP-17-39	7/26/2017	The Bureau of Diplomatic Security, in coordination with the Bureau of Information Resource Management and regional bureaus, should require implementation of Cyber Security Assessment report recommendations and establish a process to track and verify that overseas posts comply with those recommendations.	Bureau of Diplomatic Security	The Bureau of Diplomatic Security (DS), in consultation with the Bureau of Information Resource Management, Office of Information Assurance, have drafted a white paper on the overall design for creating a DS non-registered systems vulnerability findings tracker database (dB), which is undergoing internal peer review.

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74	Management Assistance Report: The Bureau of Diplomatic Security's Office of Training and Performance Standards Should Improve Property Management Over Equipment Provided During High-Threat Training	AUD-SI-18-49	8/10/2018	OIG recommends that the Bureau of Diplomatic Security, Training Directorate, Office of Training and Performance Standards, in coordination with the Bureau of Diplomatic Security, Countermeasures Directorate, Office of Physical Security Programs, Defensive Equipment and Armored Vehicle Division, develop and implement an action plan to develop procedures that require property issued to employees for an extended period of time comply with charge out policies included in Volume 14 of the Foreign Affairs Manual, Section 425.3-4(c). At a minimum, these procedures should include recording data related to issuing the property (e.g., the date of issuance, the name and signature of the individual responsible for the property, and the item serial numbers, as appropriate) and factors related to performing periodic checks on location or condition of the property and on returning the equipment (e.g., the date of return and the condition of the property).	Bureau of Diplomatic Security	Bureau of Diplomatic Security (DS) continues to work on the e-signable DS-584 forms and will provide documentation once the process is fully implemented. Additionally, DS is working with the Bureau of Administration on a disposal policy so that the remaining recommendation can be closed out. The program office expects to have the requested documentation for the final report in early 2021.
75	Review of Allegations of Improper Passport Seizures at Embassy Sana'a, Yemen	ESP-19-01	10/31/2018	The Bureau of Consular Affairs and the Bureau of Diplomatic Security should ensure that all ARSO-Is receive appropriate training on the clarifications described in Recommendation 3 and identify a single point of contact for ARSO-Is and other DS agents seeking legal guidance.	Bureau of Diplomatic Security	The Department continues the process of formalizing procedures for Diplomatic Security Service (DSS) agents to follow regarding the confiscation, retention, or seizure of passports. The relevant Foreign Affairs Manual and Foreign Affairs Handbook provisions are in clearance.
76	Audit of the Execution of Security-Related Construction Projects at U.S. Embassy Kabul, Afghanistan	AUD-MERO-19-40	9/20/2019	OIG recommends that the Bureau of Diplomatic Security, in coordination with the Bureau of Overseas Buildings Operations, amend the 2015 Memorandum of Agreement Regarding Construction Services for Physical Security Upgrades in Kabul, Afghanistan, to more clearly define roles and responsibilities for physical security construction in Afghanistan with a specific focus on the types and locations of construction projects to be	Bureau of Diplomatic Security	The Bureau of Diplomatic Security has updated the Foreign Affairs Manual, 12 FAM 280 and 12 FAH-3 H-110 and recommends OIG close this recommendation, as complete.

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				managed by each bureau as well as the Kabul Regional Security Office in Afghanistan.		
77	Audit of the Execution of Security-Related Construction Projects at U.S. Embassy Kabul, Afghanistan	AUD-MERO-19-40	9/20/2019	OIG recommends that the Bureau of Diplomatic Security, in coordination with the Bureau of Overseas Buildings Operations, take steps to develop standardized designs for physical security structures for use at volatile posts in high-threat, high-risk areas around the world.	Bureau of Diplomatic Security	The Bureau of Diplomatic Security (DS) plans to update an appendix in 12 FAH-5 to address this recommendation in FY 2021. The update is estimated to be published in Q3-Q4 of FY 2021.
78	Inspection of Embassy Monrovia, Liberia	ISP-I-17-12	5/24/2017	Embassy Monrovia, in coordination with the Bureau of Human Resources, should update its local employee staff human resources handbook and local compensation plan to reflect the Government of Liberia's labor law.	Bureau of Global Talent Management	The Bureau of Global Talent Management (GTM) is working with post to address the last remaining local compensation plan (LCP) revision, a change to mandatory retirement age.
79	Management Assistance Report: Department Can Take Steps Toward More Effective Executive Direction of Overseas Missions	ISP-17-38	7/26/2017	The Director General of the Foreign Service and Director of Human Resources should issue additional Foreign Affairs Manual and Foreign Affairs Handbook guidance requiring all chiefs of mission and deputy chiefs of mission to implement structured First- and Second-Tour employee programs in collaboration with First- and Second-Tour employees at their posts.	Bureau of Global Talent Management	The Bureau of Global Talent Management (GTM) has made progress on revising the Foreign Affairs Manual (FAM). The revisions needed to comply with this recommendation are now part of a more comprehensive update to the FAM and are currently in the pre-clearance stage. GTM expects to begin Department level clearances during Quarter 2 of FY 2021 and will provide a copy of the revised policy once the updated FAM is published.
80	Inspection of Embassy Lisbon and Consulate Ponta Delgada, Portugal	ISP-I-18-22	5/4/2018	The Bureau of Human Resources should respond to Embassy Lisbon's request for proposed changes to the local compensation plan.	Bureau of Global Talent Management	The Bureau of Global Talent Management (GTM) continues to work with Post on revisions to the local compensation plan (LCP) and provided post management with draft revisions to Sections 4, 8, and 11. Once post concurs, GTM will initiate interagency clearance of the LCP revisions. GTM expects to authorize the LCP revisions by the end of February 2021, pending post concurrence and interagency clearance.

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81	Inspection of the Office of Foreign Missions	ISP-I-19-21	5/8/2019	The Bureau of Human Resources, in coordination with the Office of Foreign Missions, should conduct an organizational assessment of the Office of Foreign Missions and implement any recommendations resulting from the assessment.	The Office of Foreign Missions (OFM)	The Office of Foreign Missions (OFM) evaluated and reorganized the structure of the Bureau. The action plan to reorganize was approved on June 18, 2020 and since then, OFM has been working with various offices to implement: OFM has submitted to the Office of Global Talent Management (GTM) all positions that require advertisement and candidate placement. OFM's FAH section (4 FAH-1 H-420) was edited to reflect OFM's reorganized structured and published in September 2020. OFM also submitted the revised tracked changes to OFM's FAM section (1 FAM 277) in October 2020; this is currently pending. OFM communicated the change in structure to the foreign mission community on January 8, 2021.
82	Inspection of Embassy Paramaribo, Suriname	ISP-I-19-20	7/24/2019	Embassy Paramaribo, in coordination with the Bureau of Human Resources, should correct the deficit in the locally employed staff pension plan in accordance with Department guidance.	Bureau of Global Talent Management	The Bureau of Global Talent Management (GTM) is working with post to convert the locally employed staff pension plan to a local service provider that is compliant with local law. Funds to address the deficit were provided to post by the Bureau of Western Hemisphere Affairs and placed in a suspense deposit account (SDA) until a new plan could be implemented. Post has selected a vendor to service the new plan and GTM is working with post, the Office of the Legal Adviser, and the vendor to finalize the contract terms.

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83	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management establish and implement a plan to review IT investment reorganizations that occurred since FY 2010 to ensure that the investments resulting from the reorganizations comply with Office of Management and Budget requirements for information technology investments.	Bureau of Information Resource Management	This recommendation is part of an Office of Inspector General (OIG) Compliance Follow up Review (CFR). IRM provided the requested documentation to close this recommendation during the CFR process. This included information on Capital Planning and Investment Control objectives, metrics tracked, and reviews conducted relating to investment reorganizations. IRM is awaiting a decision by the OIG on closure of this recommendation.
84	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management develop and implement a process to (a) identify and review all bureau-specific IT investment methodologies (ones currently in place as well as ones that will be developed in the future); (b) determine whether the bureau-specific IT investment methodologies comply with Office of Management and Budget Circular A-130; and, if they do not comply, (c) provide bureaus with guidance regarding the modifications needed to fully comply and verify that the methodologies were modified as necessary. This effort should include reviewing the standard forms used by each bureau during the IT selection process to ensure consistency and compliance with Office of Management and Budget Circular A-130.	Bureau of Information Resource Management	This recommendation is part of an OIG Compliance Follow up Review (CFR). Following consultation with the Bureau of Budget and Planning, the Bureau of Information Resource Management (IRM) provided documentation during the CFR process to close out this recommendation. This included process maps that depict the intersection between the budget and IT capital planning processes. IRM is awaiting a decision by the OIG on closure of this recommendation.
85	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management develop and implement policies and procedures to oversee and enforce requirements for bureaus and offices to avoid duplicative IT investments.	Bureau of Information Resource Management	This recommendation is part of an Office of Inspector General (OIG) Compliance Follow up Review (CFR). The Bureau of Information Resource Management (IRM) provided documentation during the CFR process. This included policy and procedures to enforce requirements to avoid duplicative IT investments. IRM is awaiting a decision by OIG on closure of

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						this recommendation.
86	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management develop and implement a process to perform periodic, but no less than annual, reviews of the entire agency IT portfolio to enforce bureau accountability and identify potential duplicative systems.	Bureau of Information Resource Management	This recommendation is part of an Office of Inspector General (OIG) Compliance Follow up Review (CFR). The Bureau of Information Resource Management (IRM) provided documentation relating to its portfolio review procedures. IRM is awaiting a decision by OIG on closure of this recommendation.
87	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	For duplicative systems that are identified by the new process implemented to perform periodic reviews of the entire agency IT portfolio (Recommendation 12), OIG recommends that the Bureau of Information Resource Management develop and implement a strategy to combine, eliminate, or replace duplicative systems, as practicable.	Bureau of Information Resource Management	This recommendation is part of an Office of Inspector General (OIG) Compliance Follow up Review (CFR). The Bureau of Information Resource Management (IRM) provided its completed IT Modernization Plan requested by the OIG during the CFR process. IRM is awaiting a decision by OIG on closure of the recommendation in its CFR report.
88	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management develop and implement a strategy to perform semiannual or more frequent reviews of bureau-funded IT contracts to identify new IT investments developed as part of the contracts.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) provided the OIG documentation relating to the reviews it conducts, including evidence and details on Chief Information Officer (CIO) review of acquisition actions. IRM is awaiting a decision by OIG on closure of the recommendation.
89	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management (a) develop and implement a policy requiring bureaus and offices to provide details of IT investments, programs, and projects in iMatrix and (b) develop and disseminate guidance specifying the level of detail necessary for each investment, including general descriptions and technical capabilities.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) provided OIG with copies of its training and guidance that coincides with OMB's published requirements, as requested by the CFR. IRM is awaiting a decision by OIG on closure of the recommendation.

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90	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management develop and issue a policy stating that bureaus must update the information on non-major investments in iMatrix quarterly, rather than only when the reports are due to be submitted to the Office of Management and Budget.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) is working to complete a policy update. IRM is focused on developing automated solutions that limit the need for users to provide manual updates to non-major investment's information in iMatrix. Importantly, IRM implemented reviews of IT acquisition actions. This review provides additional data for analyzing investment spending and performance for non-major investments beyond manual reporting.
91	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management, in coordination with the Bureau of Budget and Planning, develop and implement a process to verify that all bureau and office IT investment managers and budget analysts complete the respective training courses related to IT capital planning and reporting that are provided annually.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) continues to administer certification requirements and provide appropriate training accessible to investment managers. IRM continues to work with FSI on tailoring and incentivizing additional training that accommodates the Department's geographically disperse and telework operations. In FY 2021, IRM and BP will assess further steps to harmonize relevant training.
92	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management, in coordination with the Bureau of Budget and Planning, develop and implement a process to validate the completeness of the data in iMatrix. At a minimum, this process should include an analysis of IT expenditures in the financial management system to ensure expenditures are reported in iMatrix, as needed.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) has implemented new procedures to validate the completeness of data in iMatrix, including procedures for reviewing expenditures versus planning data. IRM conducted its first review and analysis of expenditure versus planning data using a sample dataset of the IT portfolio in FY2021, Quarter 1. These steps have not yet been validated by BP.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
93	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management, in coordination with the Bureau of Budget and Planning, develop and implement a process to validate the accuracy of data in iMatrix. This could include developing and implementing analytical procedures to identify anomalies in iMatrix data.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) has implemented new procedures to validate the completeness of data in iMatrix, including procedures for reviewing expenditures versus planning data. IRM conducted its first review and analysis of expenditure versus planning data using a sample dataset of the IT portfolio in FY2021, Quarter 1. These steps have not yet been validated by BP.
94	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management develop and implement a policy requiring bureaus and offices to submit source documents to support the information entered into iMatrix.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) provides guidance to the Department that is consistent with the Office of Management and Budget's (OMB) Circulars A-11 and A-130, as well as the A-11's IT Budget – Capital Planning Guidance. OMB's guidance instructs agencies "develop, maintain, and submit within five business days (upon OMB request) ...investment artifacts for all major investments, as applicable." In compliance with current OMB guidance, all Major IT Investments must ensure the documentation is available upon request. IRM works with the Major Investments to ensure that any changes are reflected in the OMB required documentation. IRM will request the OIG close this recommendation.
95	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management develop and implement a process to verify that bureaus and offices are submitting source documents to support the information entered into iMatrix in accordance with the policy developed that requires bureaus and offices to submit source documents that support the information entered into iMatrix.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) provides guidance to the Department that is consistent with the Office of Management and Budget's (OMB) Circulars A-11 and A-130, as well as the A-11's IT Budget – Capital Planning Guidance. OMB's guidance instructs agencies "develop, maintain, and submit within five business days (upon OMB request) ...investment artifacts for all

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						major investments, as applicable." In compliance with current OMB guidance, all Major IT Investments must ensure the documentation is available upon request. IRM works with the Major Investments to ensure that any changes are reflected in the OMB required documentation. IRM will request the OIG close this recommendation.
96	Management Assistance Report: The Process to Authorize and Track Information Technology Systems Needs Improvement	AUD-IT-17-56	8/29/2017	OIG recommends that the Bureau of Information Resource Management develop and implement a corrective action plan that addresses how the Department will comply with Department policy on the Systems Authorization Process. The corrective action plan should identify the root cause of compliance failures, action steps to resolve such compliance failures, improvement benchmarks and a timeframe for completion, and an escalation process to hold system owners accountable.	Bureau of Information Resource Management	The updated Federal Information Security Modernization Act (FISMA) Inventory Backlog Corrective Action Plan to access and accredit the backlog of FISMA system is in final development. The plan development is scheduled for completion in Q3 FY2021. Execution of the plan will commence in Q3 FY 2021 and will likely extend into Q4 FY 2022. Assessment and unacceptable risk mitigation are expected to extend through FY2022 and possibly into FY2023 due to changes in the security priorities, limitations on resources for system assessments, changes to security standards and variation of resource levels at the subject system owner organizations. The plan incorporates System/Business Owner operational imperatives, System/Business organizational resource availabilities, assessor resources, ongoing implementation of NIST 800-53 R5 modified control requirements, emerging future threats, and other applicable factors that may impact execution.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
97	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement a detailed program plan for the Information Technology Configuration Control Board process that includes clear goals and attainable objectives and defines areas of authority and responsibility.	Bureau of Information Resource Management	Approval of the new Information Technology Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is still in review and clearance. The Bureau of Information Resource Management (IRM) anticipates the start of implementation end of Q3 FY2021. Relevant Foreign Affairs Manual/Foreign Affairs Handbook entries will be subsequently updated upon the approval of the ITCCB charter, as needed.
98	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement a process to establish and periodically update a list of system, product, or software owners who will be authorized to make change requests for their system, product, or software. The list should be made available to users and members of the Information Technology Configuration Control Board through the Information Technology Configuration Control Board website or applicable policies and procedures outlined in Recommendation 12.	Bureau of Information Resource Management	Approval of the new Information Technology Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is still in review and clearance. The Bureau of Information Resource Management (IRM) anticipates the start of implementation end of Q3 FY2021. Relevant Foreign Affairs Manual/Foreign Affairs Handbook entries will be subsequently updated upon the approval of the ITCCB charter, as needed.
99	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management determine what documentation is needed to support a change request and modify the policies and procedures outlined in Recommendation 12 or other guidance, such as the submitters guide, provided to change request submitters to reflect the documentation that is required for a complete and accurate change request submission.	Bureau of Information Resource Management	Approval of the new Information Technology Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is still in review and clearance. The Bureau of Information Resource Management (IRM) anticipates the start of implementation end of Q3 FY2021. Relevant Foreign Affairs Manual/Foreign Affairs Handbook entries will be subsequently updated upon the approval of the ITCCB charter, as needed.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
100	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement guidance for change requests to require and include: (a) minimum testing standards for change requests, (b) instructions that testing be performed in advance of the change request being submitted and that the testing documentation be submitted as part of the change request process, and (c) a clearly defined technical review of the testing documentation that is submitted to verify the documentation complies with minimum standards.	Bureau of Information Resource Management	Approval of the new Information Technology Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is still in review and clearance. The Bureau of Information Resource Management (IRM) anticipates the start of implementation end of Q3 FY2021. Relevant Foreign Affairs Manual/Foreign Affairs Handbook entries will be subsequently updated upon the approval of the ITCCB charter, as needed.
101	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management remove the default proceed ability for Technical Reviewers in the Virtual Information Technology Configuration Control Board application.	Bureau of Information Resource Management	Approval of the new Information Technology Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is still in review and clearance. The Bureau of Information Resource Management (IRM) anticipates the start of implementation end of Q3 FY2021. Relevant Foreign Affairs Manual/Foreign Affairs Handbook entries will be subsequently updated upon the approval of the ITCCB charter, as needed.
102	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management formally notify all Technical Reviewers that default proceeds are no longer allowed and that all Technical Reviewers must review all change requests and either approve, stop, or reject the change request. Policies and procedures outlined in Recommendation 12 or other guidance should be updated to reflect this change to the process.	Bureau of Information Resource Management	Effective July 2020, this process has been changed and there is no longer a default proceed. All reviewers are expected to complete their review within 10 days. Notifications have been changed to indicate review is overdue. All reviewers must approve for a change request to move forward. IRM will request the OIG close this recommendation.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
103	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement a quality assurance assessment process for all change requests going through the enterprise-wide Information Technology Configuration Control Board. At a minimum, the quality assurance process should include periodic evaluation of open "stops," reviews to ensure retention of all relevant documentation, and a final check prior to adding change to the baseline to ensure all pertinent process controls occurred at a minimum.	Bureau of Information Resource Management	When a STOP is issued by a Subject Matter Expert (SME) reviewer using the Virtual Information Technology Configuration Control Board (ITCCB) Application, an email is automatically generated to the Submitters with a copy to ITCCB Management email address. On the Dashboard for the submitter, the submission will be listed in VITCCB under the 'My Stops' section. Clarification on standardized reporting of all requests beyond normal processing times to DCIO and Chief Enterprise Architect is expected as part of Charter approval. IRM will request the OIG close this recommendation.
104	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management define the roles, responsibilities, and technical skillsets for each technical review and voting area and develop and implement a vetting process to verify Technical Reviewers and Voters have the knowledge, skills, and abilities to perform their assigned duties related to the Information Technology Configuration Control Board process.	Bureau of Information Resource Management	Approval of the new Information Technology Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is still in review and clearance. The Bureau of Information Resource Management (IRM) anticipates the start of implementation end of Q3 FY2021. Relevant Foreign Affairs Manual/Foreign Affairs Handbook entries will be subsequently updated upon the approval of the ITCCB charter, as needed.
105	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement a process to verify that Technical Reviewers and Voters have formally appointed alternatives.	Bureau of Information Resource Management	The Bureau of Information Resource Management's (IRM) standard process includes having formal letters signed by executive sponsor at the Bureau (for Sponsor or Voter) or Office level (for Subject Matter Expert Technical Reviewers) as appropriate. This is part of the IT CCB charter, which is currently in clearance. Once the charter is approved IRM will update the FAM/FAH.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
106	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement complete and consistent policies and procedures and supplemental guidance, such as a Submitter's Guide, for the Information Technology Configuration Control Board process. The policies, procedures, and guidance should, at a minimum, include guidance on roles and responsibilities, detailed procedure steps for submitters, minimum testing requirements, instructions on how Technical Reviewers and Voters should conduct their review, the appropriate use of "stops," and established timelines for the process.	Bureau of Information Resource Management	The existing Standard Operating Procedures and Submitter's Guide will be further improved, and formal training will be developed. Approval of the new Information Technology Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is still in review and clearance, still in review and clearance. IRM anticipates the start of implementation end of Q3 FY 2021 with a new target milestone of FY 2021 for completion of review. Relevant FAM/FAH entries will be subsequently updated upon the approval of the ITCCB charter, as needed.
107	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement a process to periodically review and validate the accuracy and completeness of the data in the Virtual Information Technology Configuration Control Board database and to correct data integrity, omissions and inaccuracies existing between the new and old databases and when identified going forward. As part of this effort, the Bureau of Information Resource Management should ensure that the old database is available solely as a read-only reference resource and that new data cannot be entered into that database.	Bureau of Information Resource Management	Although commitment of formal controls for review and update of Information Technology Configuration Control Board (ITCCB) data is awaiting draft Charter approval, ITCCB has implemented an "Approved Until" field in Virtual ITCCB Application and expects to confirm all existing records NLT Q4 FY 2021. ITCCB is also normalizing and reviewing quality of data for reporting product approvals via IRM's Office of Enterprise Network Management's risk scoring application for products.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
108	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement required, periodic, training for Information Technology Configuration Control Board management and personnel, Bureau Sponsors, Technical Reviewers, Voters, and change request submitters involved in the Information Technology Configuration Control Board process.	Bureau of Information Resource Management	The existing Standard Operating Procedures and Submitter's Guide will be further improved, and formal training will be developed. Approval of the new Information Technology Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is still in review and clearance, still in review and clearance. IRM anticipates the start of implementation by the end of Q3 FY 2021. Relevant FAM/FAH entries will be subsequently updated upon the approval of the ITCCB charter, as needed.
109	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement a formal process to (a) monitor the status of all change requests throughout each stage of the change request process and (b) notify stakeholders when a request is nearing the end of a deadline or when an event occurs that may affect the deadline for a change request.	Bureau of Information Resource Management	The Bureau of Information Resource Management changed this process in November 2019. All reviewers are expected to complete their review within 10 days. Additionally, notifications have been changed to indicate when a review is overdue, and all reviewers must approve a request in order for it to move forward. IRM will request to close this recommendation.
110	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement policies and procedures to hold officials accountable for failure to meet established deadlines in the Information Technology Configuration Control Board change request process. Once completed, the policies, procedures, and supplemental guidance discussed in Recommendation 12 should be updated.	Bureau of Information Resource Management	The Bureau of Information Resource Management changed this process in November 2019. All reviewers are expected to complete their review within 10 days. Additionally, notifications have been changed to indicate when a review is overdue, and all reviewers must approve a request in order for it to move forward. IRM will request to close this recommendation.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
111	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement a formal process to periodically gather, assess, and report on its change request review process timeliness metrics and to make those results available to its stakeholders and customers in addition to appropriate bureau officials.	Bureau of Information Resource Management	The Bureau of Information Resource Management changed this process in November 2019. All reviewers are expected to complete their review within 10 days. Additionally, notifications have been changed to indicate when a review is overdue, and all reviewers must approve a request in order for it to move forward. IRM will request to close this recommendation.
112	Inspection of the Bureau of Information Resource Management's Office of Governance, Resource, and Performance Management	ISP-I-18-15	4/24/2018	The Bureau of Information Resource Management, in coordination with the Bureau of Human Resources, should update all employee-supervisor assignments to reflect current roles and responsibilities within the Office of Governance, Resource, and Performance Management.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) is nearing completion of the realignment of personnel resources to ensure the Office of Governance, Resources, and Performance Management is aligned to meet mission objectives. Current Position Descriptions (PDs) have been updated and final approval of the associated Foreign Affairs Manual entry is in progress.
113	Inspection of the Bureau of Information Resource Management's Office of Governance, Resource, and Performance Management	ISP-I-18-15	4/24/2018	The Bureau of Information Resource Management should implement a tool to centrally track information technology software acquisitions Department-wide.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) Sourcing Management (IRM/BMP/ITA/SSM) has activated the Software Asset Management (SAM) tool. Discovery data from the SAM tool uses the data from the CMDB to centrally track software acquisitions Department-wide in the network segments captured by the ServiceNow discovery team. The IRM SAM program consolidates software licensing allocations, usage, and acquisitions together with licensing strategies for reporting and optimizing the value of software spent as part of a Software Asset Management program. IRM will request OIG to close this recommendation.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
114	Inspection of the Bureau of Information Resource Management's Office of Governance, Resource, and Performance Management	ISP-I-18-15	4/24/2018	The Bureau of Information Resource Management should implement procedures to ensure regularly scheduled reviews and updates to the Department's information technology management policies and procedures in Volume 5 of the Foreign Affairs Manual and its associated Foreign Affairs Handbooks.	Bureau of Information Resource Management	As of December 31, 2020, the Strategy, Planning, and Budget Office within the Bureau of Information Resource Management (IRM) has assumed responsibility and will work to develop a plan to ensure periodic updates are completed on policy/procedure within Volume 5 of the FAM/FAH.
115	Inspection of the Bureau of Information Resource Management's Office of Governance, Resource, and Performance Management	ISP-I-18-15	4/24/2018	The Bureau of Information Resource Management should revise and promulgate the bureau process for timely handling of responses to U.S. Government Accountability Office recommendations.	Bureau of Information Resource Management	As of December 31, 2020, the handling of GAO responses now falls under the responsibility of Office of External Affairs within the Bureau of Information Resource Management (IRM//EA). This office reports directly to the PDCIO which will greatly increase the timely handling of GAO responses. IRM is in the process of realigning personnel resources to EA. Once personnel resources have been fully realigned, IRM will request OIG close this recommendation.
116	Inspection of the Bureau of Information Resource Management's Office of Governance, Resource, and Performance Management	ISP-I-18-15	4/24/2018	The Bureau of Information Resource Management should update all Department guidance to reflect the Office of Governance, Resource, and Performance Management's responsibility for the Information Technology Configuration Control Board.	Bureau of Information Resource Management	The Bureau of Resource Management (IRM) is in the process of realigning personnel resources, updating the FAM, and updating the ITCCB charter.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
117	Audit of the Department of State's Local Configuration Control Boards	AUD-IT-19-36	7/24/2019	OIG recommends that the Bureau of Information Resource Management require that all IT configuration changes approved by the Local Configuration Control Boards at overseas posts be tested before implementation, in accordance with Federal requirements and Department of State policies.	Bureau of Information Resource Management	The final steps of realigning the Information Technology Configuration Control Board (ITCCB) within the Bureau of Information Resource Management Office of Business Management and Planning is underway, expected to be completed end of Q2 FY 2021. As part of this effort, a new Chief Architect (CA) for the Enterprise was brought on board. The new governance process will include a redesign of all the configuration boards, their membership, and their voting authority.
118	Audit of the Department of State's Local Configuration Control Boards	AUD-IT-19-36	7/24/2019	OIG recommends that the Bureau of Information Resource Management require Local Configuration Control Boards to perform and document security impact analyses on all configuration change requests before approval, in accordance with National Institute of Standards and Technology guidance.	Bureau of Information Resource Management	The final steps of realigning the Information Technology Configuration Control Board (ITCCB) within the Bureau of Information Resource Management Office of Business Management and Planning is underway, expected to be completed end of Q2 FY 2021. As part of this effort, a new Chief Architect (CA) for the Enterprise was brought on board. The new governance process will include a redesign of all the configuration boards, their membership, and their voting authority.
119	Audit of the Department of State's Local Configuration Control Boards	AUD-IT-19-36	7/24/2019	OIG recommends that the Bureau of Information Resource Management provide guidance to Local Configuration Control Boards on the documentation regarding IT configuration change requests that must be retained at a post.	Bureau of Information Resource Management	The final steps of realigning the Information Technology Configuration Control Board (ITCCB) within the Bureau of Information Resource Management Office of Business Management and Planning is underway, expected to be completed end of Q2 FY 2021. As part of this effort, a new Chief Architect (CA) for the Enterprise was brought on board. The new governance process will include a redesign of all the configuration boards, their membership, and their voting authority.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
120	Audit of the Department of State's Local Configuration Control Boards	AUD-IT-19-36	7/24/2019	OIG recommends that the Bureau of Information Resource Management develop and issue standard operating procedures for overseas posts' Local Configuration Control Boards to follow when reviewing, approving, and implementing IT configuration change requests. These standard operating procedures should establish and implement a process that provides for the evaluation, approval, and documentation of IT change requests in accordance with Department of State policies and National Institute of Standards and Technology requirements.	Bureau of Information Resource Management	The final steps of realigning the Information Technology Configuration Control Board (ITCCB) within the Bureau of Information Resource Management Office of Business Management and Planning is underway, expected to be completed end of Q2 FY 2021. As part of this effort, a new Chief Architect (CA) for the Enterprise was brought on board. The new governance process will include a redesign of all the configuration boards, their membership, and their voting authority.
121	Audit of the Department of State's Local Configuration Control Boards	AUD-IT-19-36	7/24/2019	OIG recommends that the Bureau of Information Resource Management develop and implement a methodology to oversee Local Configuration Control Board (LCCB) activities, including LCCB approval of IT configuration change requests at the local level. This methodology should include specific procedures for verification of the LCCB's testing of approved changes, security impact analyses, and retention of required documentation.	Bureau of Information Resource Management	The final steps of realigning the Information Technology Configuration Control Board within the Bureau of Information Resource Management Office of Business Management and Planning are underway, expected to be completed end of Q2 FY 2021. As part of this effort, a new Chief Architect (CA) for the Enterprise was brought on board. The new governance process will include a redesign of all the configuration boards, their membership, and their voting authority.
122	Audit of the Department of State's Local Configuration Control Boards	AUD-IT-19-36	7/24/2019	OIG recommends that the Bureau of Information Resource Management (IRM) formally designate oversight responsibility for Local Configuration Control Board activities to a specific position or office within IRM and establish a formal mechanism for communicating the oversight roles and responsibilities.	Bureau of Information Resource Management	Approval of the new Information Technology Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is in review and clearance, with a new target milestone of FY 2021 for completion of review. Relevant Foreign Affairs Manual/Foreign Affairs Handbook entries will be subsequently updated upon the approval of the ITCCB charter, as needed.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
123	Compliance Follow-up Audit of the Process To Request and Prioritize Physical Security-Related Activities at Overseas Posts	AUD-ACF-16-20	12/15/2015	OIG recommends that the Bureau of Overseas Buildings Operations, in coordination with the Bureau of Diplomatic Security, develop and implement formal standardized processes to prioritize physical security-related deficiencies at posts by category, such as major physical security upgrades, forced-entry/ballistic-resistant projects, and minor physical security upgrades. The prioritizations should be performed based on a comprehensive list of all physical security needs and should be periodically updated based on changes in risk factors or posts' needs. The processes used to perform the prioritizations should be documented and repeatable. In addition, in developing the processes, consideration should be given to how the Overseas Security Policy Board standards will be utilized, what risk factors will be considered, and what impact upcoming major rehabilitation projects or new construction would have on the prioritized rankings.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) completed the initial training in December 2020 on the software developed to satisfy this recommendation. OBO is now working with the software vendor to develop prioritization models for two sub-components of the list of security upgrade needs. OBO expects results for these two components in February 2021 and completion of the final comprehensive prioritization model by third quarter FY 2021, subject to the impacts and challenges of COVID-19, including the need to access classified data. OBO is also drafting the corresponding standard operating procedure (SOP) to identify and prioritize security upgrade needs. OBO expects to complete the draft by February 2021 and submit for review and publication by May 2021.
124	Audit of Bureau of Overseas Buildings Operations' Oversight of New Construction Projects at the U.S. Embassy in Kabul, Afghanistan	AUD-MERO-18-17	1/30/2018	OIG recommends that the Bureau of Overseas Buildings Operations issue a Construction Alert defining which building equipment and systems must be fully commissioned prior to substantial completion and update its Policy and Procedures Directive for the Commissioning and Transition to Occupancy of Overseas Facilities (P&PD CM 01) to include those requirements.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) is updating the Policy Directive (PD) and Standard Operating Procedures (SOP) pertaining to Project Countdown and Closeout; Commissioning; Transition to Occupancy; Certification, Accreditation, and Treated Environment Acceptance; and Certificate of Occupancy. These updated documents are currently under internal review and will incorporate the changes in this recommendation. The target implementation date for the PD and SOPs is March 2021.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
125	Audit of Bureau of Overseas Buildings Operations' Oversight of New Construction Projects at the U.S. Embassy in Kabul, Afghanistan	AUD-MERO-18-17	1/30/2018	OIG recommends the Bureau of Overseas Buildings Operations require project directors to certify that all required building equipment and systems are fully commissioned prior to issuing the certificate of substantial completion.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) is updating the Policy Directive (PD) and Standard Operating Procedures (SOP) pertaining to Project Countdown and Closeout; Commissioning; Transition to Occupancy; Certification, Accreditation, and Treated Environment Acceptance; and Certificate of Occupancy. These updated documents are currently under internal review and will incorporate the changes in this recommendation. The target implementation date for the PD and SOPs is March 2021.
126	Audit of Bureau of Overseas Buildings Operations' Oversight of New Construction Projects at the U.S. Embassy in Kabul, Afghanistan	AUD-MERO-18-17	1/30/2018	OIG recommends that the Bureau of Overseas Buildings Operations establish and implement internal controls to verify that all required documentation in support of commissioning testing is completed prior to substantial completion. This should include all pre-functional checks, functional performance tests, and integrated systems tests to ensure that building equipment and systems are functioning as intended.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) is updating the Policy Directive (PD) and Standard Operating Procedures (SOP) pertaining to Project Countdown and Closeout; Commissioning; Transition to Occupancy; Certification, Accreditation, and Treated Environment Acceptance; and Certificate of Occupancy. These updated documents are currently under internal review and will incorporate the changes in this recommendation.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
127	Audit of Bureau of Overseas Buildings Operations' Oversight of New Construction Projects at the U.S. Embassy in Kabul, Afghanistan	AUD-MERO-18-17	1/30/2018	OIG recommends that the Bureau of Overseas Buildings Operations update its Policy and Procedures Directive for the Commissioning and Transition to Occupancy of Overseas Facilities (P&PD CM 01) to include procedures for identifying and approving instances in which it is appropriate to issue the certificate of substantial completion before commissioning has been fully completed. Specifically, these protocols should include mechanisms that (a) require a formal waiver be issued by the construction executive to proceed with substantial completion and occupancy even though commissioning is not yet complete, (b) establish milestones for completing the commissioning process after substantial completion and occupancy, and (c) execute a contract modification requiring the contractor to grant an extended warranty for those systems that were not commissioned at the time of substantial completion.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) is updating the Policy Directive (PD) and Standard Operating Procedures (SOP) pertaining to Project Countdown and Closeout; Commissioning; Transition to Occupancy; Certification, Accreditation, and Treated Environment Acceptance; and Certificate of Occupancy. These updated documents are currently under internal review and will incorporate the changes in this recommendation. The target implementation date for the PD and SOPs is March 2021.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
128	Audit of Bureau of Overseas Buildings Operations' Oversight of New Construction Projects at the U.S. Embassy in Kabul, Afghanistan	AUD-MERO-18-17	1/30/2018	OIG recommends that the Bureau of Overseas Buildings Operations update its Policy and Procedures Directive for the Commissioning and Transition to Occupancy of Overseas Facilities (P&PD CM 01) as well as its Guide to Excellence in Diplomatic Facilities to ensure that references to the commencement of the warranty period are consistent with FAR 52.246-21, Warranty of Construction. Specifically, existing policies and procedures should be updated to indicate that the warranty period begins at final acceptance unless the Government takes possession of any part of the work before final acceptance, in which case, the warranty shall begin at the date the Government takes possession. The Bureau of Overseas Buildings Operations should also explicitly define when the Government officially takes possession of the completed work, including whether possession occurs at substantial completion or at the time of occupancy.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) is updating the Policy Directive (PD) and Standard Operating Procedures (SOP) pertaining to Project Countdown and Closeout; Commissioning; Transition to Occupancy; Certification, Accreditation, and Treated Environment Acceptance; and Certificate of Occupancy. These updated documents are currently under internal review and will incorporate the changes in this recommendation. The target implementation date for the PD and SOPs is March 2021.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
129	Audit of Bureau of Overseas Buildings Operations' Oversight of New Construction Projects at the U.S. Embassy in Kabul, Afghanistan	AUD-MERO-18-17	1/30/2018	OIG recommends that the Bureau of Overseas Buildings Operations establish requirements in its Policy and Procedures Directive for the Commissioning and Transition to Occupancy of Overseas Facilities (P&PD CM 01) for the preparation and submission of key project documents for newly constructed facilities, including (a) owner's project requirements, (b) a Basis of Design document, (c) systems manuals, (d) a commissioning plan, and (e) a final commissioning report. These documents should be prepared and submitted at the appropriate interval of construction for each building or facility constructed by the Bureau of Overseas Buildings Operations. Additionally, the requirements should indicate the parties responsible for preparation, review, and approval of each of the key project documents.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) is updating the Policy Directive (PD) and Standard Operating Procedures (SOP) pertaining to Project Countdown and Closeout; Commissioning; Transition to Occupancy; Certification, Accreditation, and Treated Environment Acceptance; and Certificate of Occupancy. These updated documents are currently under internal review and will incorporate the changes in this recommendation. The target implementation date for the PD and SOPs is March 2021.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
130	Audit of Bureau of Overseas Buildings Operations' Oversight of New Construction Projects at the U.S. Embassy in Kabul, Afghanistan	AUD-MERO-18-17	1/30/2018	OIG recommends that the Bureau of Overseas Buildings Operations update its Policy and Procedures Directive for the Commissioning and Transition to Occupancy of Overseas Facilities (P&PD CM 01) to require its project directors and facility managers to establish a memorandum of agreement 9 months prior to the estimated substantial completion target date to facilitate the building turnover process. This memorandum of agreement should, at a minimum, (a) define the type of access that Facility Management personnel and operations and management contractors should be given to new buildings prior to substantial completion; (b) specify relevant documentation, such as punch lists, lists of equipment to be maintained, and commissioning documentation that should be provided to facility managers and operations and management contractors; and (c) establish timelines for providing building access and documentation to facility personnel and operations and management contractors prior to substantial completion and occupancy.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) is updating the Policy Directive (PD) and Standard Operating Procedures (SOP) pertaining to Project Countdown and Closeout; Commissioning; Transition to Occupancy; Certification, Accreditation, and Treated Environment Acceptance; and Certificate of Occupancy. These updated documents are currently under internal review and will incorporate the changes in this recommendation. The target implementation date for the PD and SOPs is March 2021.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
131	Audit of Bureau of Overseas Buildings Operations' Oversight of New Construction Projects at the U.S. Embassy in Kabul, Afghanistan	AUD-MERO-18-17	1/30/2018	OIG recommends that the Bureau of Overseas Buildings Operations update its Policy and Procedures Directive for the Commissioning and Transition to Occupancy of Overseas Facilities (P&PD CM 01) to require its project directors and facility managers to hold a pre-turnover meeting approximately 60 days prior to substantial completion. The entire project team should be included in this meeting with participants discussing the status of construction, commissioning, required turnover documentation, and the planned schedule and outstanding actions required to ensure a smooth and successful turnover of facilities.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) is updating the Policy Directive (PD) and Standard Operating Procedures (SOP) pertaining to Project Countdown and Closeout; Commissioning; Transition to Occupancy; Certification, Accreditation, and Treated Environment Acceptance; and Certificate of Occupancy. These updated documents are currently under internal review and will incorporate the changes in this recommendation. The target implementation date for the PD and SOPs is March 2021.
132	Inspection of Embassy Banjul, The Gambia	ISP-I-19-04	11/21/2018	The Bureau of Overseas Buildings Operations, in coordination with Embassy Banjul and the Bureau of Consular Affairs, should renovate Embassy Banjul's Consular Section to comply with Department standards.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) revised the scope of work for the Consular Section project to include minor structural upgrades with full specifications for the upgrades and prepared a Current Working Estimate (CWE). An OBO Project Authorization Document (PAD) was processed and completed in August 2020. The final document needed to proceed to solicitation is the OBO building permit. OBO accepted the request for permitting in September 2020. As of January 2021, OBO has not yet procured the building permit due to delays related to COVID-19.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
133	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	The Bureau of Overseas Buildings Operations should conduct a technical review of the design plans for property numbers X01021 in New Delhi; X9990, X9991, X9992, X9993, X9999, and X29001 in Kolkata; and R1007 and R1012 in Mumbai, in accordance with Department standards, and work with Embassy New Delhi to remedy any modifications that OBO is unable to retroactively approve.	Bureau of Overseas Buildings Operations	Given this new environment of remote work and the uncertain timeframe when all employees may return to the office, post plans to move any remaining staff to the New Embassy Compound when it is completed and has not requested any further interim space planning assistance from the Bureau of Overseas Buildings Operations (OBO). OBO received the Kolkata structures as-built drawings from post at the end of December 2020 and expects to complete the review by the end of second quarter FY 2021. The process will include a preliminary review of the plans, an Architectural/Engineering evaluation, and an OBO "backcheck" (resolution of technical comments between OBO and post). The outcome of this process will determine if OBO can process a permit or if post must submit a revised design package.
134	Management Assistance Report: Modernizing Processes To Maintain Overseas Buildings Operations Commissioning Documentation Is Needed	AUD-MERO-19-31	6/13/2019	OIG recommends that the Bureau of Overseas Buildings Operations (1) identify industry best practices for automating commissioning documentation, (2) develop an electronic commissioning platform, and (3) conduct a pilot program using the electronic platform that would allow commissioning tests to be created digitally and test results saved to an online repository.	Bureau of Overseas Buildings Operations	As of September 2020, the Bureau of Overseas Buildings Operations (OBO) determined that it will utilize ACONEX, a commercial off-the-shelf project management tool, to support the electronic commissioning process to address this recommendation. Implementation began in October 2020, and Phase 1 of this project scheduled for completion by the end of FY 2021.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
135	Management Assistance Report: Modernizing Processes To Maintain Overseas Buildings Operations Commissioning Documentation Is Needed	AUD-MERO-19-31	6/13/2019	Until such time as Recommendation 3 is fully implemented, OIG recommends that the Bureau of Overseas Buildings Operations update its Construction Management Guidebook to include instructions on how to establish the file structure to organize construction project records. These instructions should address, for example, whether documents should be maintained in tabs or folders and what categories of documents should be included. These categories should include the contract award, contract modifications, payment records, progress schedules, submittals, and commissioning tests and related documents, and closeout documentation.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) is working to update the Construction Management (CM) Guidebook to include instructions on how to establish the file structure to organize construction project records. The updated CM Guidebook is scheduled for publication in May 2021.
136	Management Assistance Report: Modernizing Processes To Maintain Overseas Buildings Operations Commissioning Documentation Is Needed	AUD-MERO-19-31	6/13/2019	Until such a time as Recommendation 3 is fully implemented, OIG recommends that the Bureau of Overseas Buildings Operations establish a centralized, Compact Disk library to maintain and manage the custody of construction project records submitted by commissioning agents on Compact Disks.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) is working to update the Construction Management (CM) Guidebook to include instructions on how to establish the file structure to organize construction project records. The updated CM Guidebook is scheduled for publication in May 2021.
137	Inspection of Embassy Port-au-Prince, Haiti	ISP-I-19-18	6/17/2019	The Bureau of Overseas Buildings Operations, in coordination with Embassy Port-au-Prince, should connect the "Stecher-Roumain" housing compound to the local power grid, and put funds of up to $3.03 million over 5 years to better use.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) completed the Phase 1 site visit in November 2020 and the Survey Report is currently under review. The Phase 2 Design-Build award date is expected in mid-March 2021 with an estimated duration of 16 months. The performance schedule has been impacted by COVID-19 restrictions and OBO continues to monitor progress and

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						communicate updates to post.
138	Inspection of Embassy Paramaribo, Suriname	ISP-I-19-20	7/24/2019	The Bureau of Overseas Buildings Operations, in coordination with Embassy Paramaribo, should address the roof leaks in the new embassy compound and mitigate the resulting health hazards within 180 days.	Bureau of Overseas Buildings Operations	As of January 2021, due to the COVID pandemic, the roof contractors continue to face travel restrictions to complete the repairs. The remaining repairs will be completed when travel restrictions to and from Paramaribo are eased enough to allow normal flow of personnel and repair parts.
139	Audit of the Bureau of Overseas Buildings Operations Commissioning of Diplomatic Housing at U.S. Embassy Kabul, Afghanistan	AUD-MERO-19-37	8/22/2019	OIG recommends that the Bureau of Overseas Buildings Operations, in conjunction with the Bureau of Administration, Office of Acquisitions Management, assess the required frequency of commissioning agent contract deliverables to determine whether an adjustment to the frequency is needed and, if so, revise the commissioning agent's scope of work.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) met with the OIG in September 2020 to determine how to address this recommendation and continues to review and determine the appropriate updates to the Commissioning Statement of Work templates.
140	Audit of the Bureau of Overseas Buildings Operations Commissioning of Diplomatic Housing at U.S. Embassy Kabul, Afghanistan	AUD-MERO-19-37	8/22/2019	OIG recommends that the Bureau of Overseas Buildings Operations update all commissioning plans to reflect the modifications made to construction contracts as a result of implementing the recommendations pertaining to the delivery of documents needed to support the purpose of the contract and the commissioning process and the frequency of the contract deliverables (Recommendations 1 and 2).	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) met with the OIG in September 2020 to determine how to address and close this recommendation. Once Recommendation 2 of the same report is finalized, OBO will incorporate the updated guidance from both Recs. 1 and 2 into the updated Construction Management (CM) Guidebook. The updated CM Guidebook is scheduled for publication in May 2021.

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141	Audit of the Bureau of Overseas Buildings Operations Commissioning of Diplomatic Housing at U.S. Embassy Kabul, Afghanistan	AUD-MERO-19-37	8/22/2019	OIG recommends that the Bureau of Overseas Buildings Operations develop and implement tailored terms and conditions for construction contracts involving multiple buildings requiring necessary documents and deliverables, such as Operations and Maintenance manuals, to be provided for each individual facility at Substantial Completion, Beneficial Occupancy, and building turnover.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) met with the OIG in September 2020 to determine how to address and close this recommendation. OBO updated Section 017705 in the Division 1 Specifications to meet the intent of the recommendation. In addition, a forthcoming update to the Construction Management (CM) Guidebook will address this recommendation. The updated CM Guidebook is scheduled for publication in May 2021.
142	Audit of the Bureau of Overseas Buildings Operations Commissioning of Diplomatic Housing at U.S. Embassy Kabul, Afghanistan	AUD-MERO-19-37	8/22/2019	OIG recommends that the Bureau of Overseas Buildings Operations (OBO) review all active construction contracts that were awarded prior to the 2015 and 2016 Construction Alerts to determine whether they have been modified to require Integrated Systems Tests. If not, OBO should ensure that the contracts are modified to include them.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) met with the OIG in September 2020 to determine how to address and close this recommendation. OBO is confirming that integrated systems tests were completed for projects awarded prior to 2015 and 2016 construction alerts. For the five posts in question, as of January 2021, three are complete, one is expected to be complete by April 2021, and one is delayed due to pandemic.
143	Audit of the Execution of Security-Related Construction Projects at U.S. Embassy Kabul, Afghanistan	AUD-MERO-19-40	9/20/2019	OIG recommends that the Bureau of Overseas Buildings Operations (a) evaluate its current approach to executing physical security construction projects, (b) identify potential mechanisms for further streamlining the execution of physical security upgrades designed to address urgent security threats, and (c) revise its process for executing physical security construction projects accordingly.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) is drafting a Standard Operating Procedure explaining the process for requesting, reviewing, and streamlining physical security construction projects in Kabul and other high threat posts. OBO anticipates this document to be finalized and disseminated in second quarter FY 2021.
144	Inspection of Embassy Maseru, Lesotho	ISP-I-20-01	10/2/2019	The Bureau of Overseas Buildings Operations, in coordination with Embassy Maseru, should bring the doors in the President's Emergency Plan for AIDS Relief building into compliance with Department standards.	Bureau of Overseas Buildings Operations	As of November 2020, the new doors awaiting installation were damaged in a warehouse fire at post. The Bureau of Overseas Buildings Operations (OBO) is planning to procure and ship replacement doors to Maseru and then arrange for

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						installation (depending on COVID-related travel restrictions at the time). OBO estimates this will be completed by May 2021.
145	Inspection of Embassy N'Djamena, Chad	ISP-I-20-02	11/7/2019	The Bureau of Overseas Buildings Operations, in coordination with Embassy N'Djamena and the Bureau of African Affairs, should develop and implement a strategy that prioritizes the disposal of Embassy N'Djamena's excess real property, in order to put funds estimated at $7.1 million to better use.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Buildings Operations (OBO) and Embassy N'Djamena are in the process of the sale of the General Services Office Annex. Additionally, in July 2020, OBO authorized the marketing and sale of the former Chief of Mission Residence/Chancery compound. OBO will continue to provide status updates to the OIG via regular compliance updates.
146	Inspection of Embassy Libreville, Gabon	ISP-I-19-16	6/19/2019	The Bureau of African Affairs should follow Department guidelines to seek authorization to establish an office in São Tomé and Príncipe.	Bureau of African Affairs	The Bureau of African Affairs shifted its strategy at the end of 2020 and is now working with the Office of the Under Secretary of Management to implement the new 2 FAM process, which is the process to official open a diplomatic post. The 2 FAM process was just revised in December 2020.
147	Audit of the Department of State Implementation of Policies Intended To Counter Violent Extremism	AUD-MERO-19-27	6/26/2019	OIG recommends that the Bureau of African Affairs develop and implement standard operating procedures to align its regional strategy objectives, sub-objectives, and performance indicators for countering violent extremism with Department of State and Bureau of Counterterrorism and Countering Violent Extremism strategies, goals, and objectives.	Bureau of African Affairs	The Bureau of African Affairs (AF) has developed and is implementing standard operating procedures to align its regional strategy objectives, sub-objectives, and performance indicators for countering violent extremism with Department of State and Bureau of Counterterrorism and Countering Violent Extremism (CT) strategies, goals, and objectives. AF's response to the OIG is pending CT's transmission of an Information Memo to the Secretary outlining its processes for oversight and coordination of Countering Violent Extremism programs.

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148	Inspection of Embassy Addis Ababa, Ethiopia	ISP-I-18-18	5/25/2018	Embassy Addis Ababa, in coordination with the Bureau of Administration, should implement property management internal controls, in accordance with Department standards.	Embassy Addis Ababa	All fuel gauge sensors are calibrated and working properly for the 30 residences in the Pilot Program. The program is used extensively to monitor the real-time generator fuel gauge levels and determine residences that require fuel delivery before completely running out of fuel. The additional 50 Residential Fuel Monitoring Devices are still awaiting installation, which has been delayed due to COVID-19.
149	Inspection of Embassy Addis Ababa, Ethiopia	ISP-I-18-18	5/25/2018	Embassy Addis Ababa should implement a real property management program that is fully compliant with Department requirements.	Embassy Addis Ababa	The Ground Fault Circuit Interrupter installations were suspended due to COVID restrictions over safety/health concerns at post and must be completed in order to complete the related POSHO residence inspections. It is not possible to maintain the required amount of social distancing during installation if people are quarantined in their residences. Post hopes conditions will improve sufficiently in 2021 and the installations can resume.
150	Inspection of Embassy Addis Ababa, Ethiopia	ISP-I-18-18	5/25/2018	The Bureau of Overseas Buildings Operations, in coordination with Embassy Addis Ababa, should implement a plan to address the embassy's safety, health, and environmental management deficiencies.	Embassy Addis Ababa	Post held a semi-annual SHEM committee meeting in December 2020 and developed a plan to address remaining SHEM deficiencies. The meeting agenda/minutes were submitted to OIG as documentation. The POSHO certifications continue to be on hold due to Ground Fault Circuit Interrupter installations which were suspended due to COVID limitations at post.
151	Inspection of Embassy Addis Ababa, Ethiopia	ISP-I-18-18	5/25/2018	Embassy Addis Ababa, in coordination with the Bureau of African Affairs, should conduct a detailed and thorough review of locally employed staff position descriptions.	Embassy Addis Ababa	This long-term project is still in progress, with many vacant positions continuing to be classified. The remaining positions to be classified are pending with the Africa Regional Classification Center (AFRCC). It has been reported to Post that due to ongoing implementation of the Overseas Personnel Systems (OPS) in the Bureau of

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						African Affairs, AFRCC's priority continues to be classification or records needed for OPS migration and is experiencing a backlog due to COVID-19. Since 2018, 167 positions have been classified; nine are currently pending classification.
152	Inspection of Embassy Addis Ababa, Ethiopia	ISP-I-18-18	5/25/2018	Embassy Addis Ababa, in coordination with the Bureau of Human Resources, should update and translate its Local Employee Handbook in accordance with Department guidance.	Embassy Addis Ababa	The Local Employee Handbook has been translated and was submitted to OIG in December 2020 as documentation. Post has requested OIG to close this recommendation and is awaiting their decision.
153	Inspection of Embassy Addis Ababa, Ethiopia	ISP-I-18-18	5/25/2018	Embassy Addis Ababa should stop managing personal internet service for embassy employees and collect $99,324 from employees who had internet service at their residences that was paid by the embassy in FY 2017 and put those funds to better use.	Embassy Addis Ababa	Post continues to work on this. $57,328.90 has been successfully collected. $19,165.25 has been approved to be written off. Post has submitted $12,104.77 to the accounting center for approval. Post has been unable to locate five former employees with a total of $3,266.35 and is seeking guidance.
154	Inspection of Embassy Banjul, The Gambia	ISP-I-19-04	11/21/2018	Embassy Banjul, in coordination with the Bureau of the Comptroller and Global Financial Services, should collect outstanding salary advances of $2,000 due from former employees and put those funds to better use.	Embassy Banjul	Post has resolved approximately all outstanding advances except for one, which is around $500. This final voucher has been sent to the office of the Comptroller and post is working closely to get it resolved.
155	Inspection of Embassy Djibouti, Djibouti	ISP-I-18-14	4/18/2018	Embassy Djibouti should implement a comprehensive residential fuel delivery program that complies with Department standards.	Embassy Djibouti	General Services Office and Facilities teams re-initiated the process of identifying, procuring, and installing a new system to monitor fuel usage. Work was impacted by two significant flooding events (November 2019 and April 2020), Senior GSO curtailment and COVID-19 resource constraints. Post anticipates completing this process in 2021.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
156	Inspection of Embassy Djibouti, Djibouti	ISP-I-18-14	4/18/2018	Embassy Djibouti, in coordination with Bureau of African Affairs, should implement a comprehensive program for updating locally employed staff position descriptions in accordance with Department standards.	Embassy Djibouti	In conjunction with the Overseas Personnel System (OPS) migration, the Human Resources Officer (HRO) ensured that all position records are in the classification system. Following the OPS migration, HRO reviewed and updated all position descriptions in the system and continues to implement standard job descriptions where appropriate. The Bureau of African Affairs will request the OIG close this recommendation.
157	Inspection of Embassy Djibouti, Djibouti	ISP-I-18-14	4/18/2018	Embassy Djibouti, in coordination with the Bureau of Overseas Buildings Operations, should establish and implement a plan to appropriately store flammable materials.	Embassy Djibouti	Hazardous Material Storage containers have been installed and are being used inside the warehouse and throughout the compound in designated areas to properly store Hazardous Materials and to separate from other chemicals. The Bureau of African Affairs will request the OIG close this recommendation.
158	Inspection of Embassy Djibouti, Djibouti	ISP-I-18-14	4/18/2018	Embassy Djibouti should conduct annual information technology contingency plan testing of the unclassified and classified networks in accordance with Department standards.	Embassy Djibouti	As of January 2021, COVID-19 and staffing gaps have resulted in no progress to report at this time. Mission has prioritized resources to maintain critical Embassy operations.
159	Inspection of Embassy Libreville, Gabon	ISP-I-19-16	6/19/2019	Embassy Libreville should verify and document that grant recipients were eligible to receive $272,600 in foreign assistance funds and that the funds were used for the intended purpose. If the recipients are deemed ineligible or funds were not used for the intended purpose, Embassy Libreville should recover the funds in accordance with the grant agreements.	Embassy Libreville	Post has collected the necessary documentation from Sao Tome and Gabon. Documentation for each grantee is complete. All funds were used in accordance with grant agreements however, uploads into the accounting system have not been completed. Once complete, Post will provide OIG with evidence and request closure of the recommendation.
160	Inspection of Embassy Libreville, Gabon	ISP-I-19-16	6/19/2019	Embassy Libreville should update its consular internet pages to ensure the information complies with Department standards.	Embassy Libreville	As of January 2021, the Embassy has updated and translated content for eight consular webpages and is working with the Public Affairs Office to upload new content.

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161	Inspection of Embassy Luanda, Angola	ISP-I-17-19	6/1/2017	Embassy Luanda, in coordination with the Bureau of Overseas Buildings Operations, should relocate employees to residences that meet Department fire standards.	Embassy Luanda	Post is transitioning from these non-compliant apartments to fully compliant standalone residences. Of Post's currently leased residences, 72% now fully meet Department fire safety standards and 28% do not. As of January 2021, the transition effort has been slowed due to COVID-19 related delays in both finding appropriate houses, and in contractors doing the work needed before the first tenant can move in.
162	Inspection of Embassy Luanda, Angola	ISP-I-17-19	6/1/2017	Embassy Luanda should strengthen its controls over residential fuel deliveries in accordance with Department standards to include establishing a receiving clerk to oversee deliveries.	Embassy Luanda	Post installed a residential fuel monitoring system and subsequently experienced operational issues. Due to COVID-19, which cut air links between South Africa and Angola for months, the vendor has not been able to return to Angola to assist with resolution but has agreed to do so soon after travel restrictions are eased. Post has identified an existing position which will assume responsibility as receiving clerk when the system is fully operational.
163	Inspection of Embassy Maseru, Lesotho	ISP-I-20-01	10/2/2019	Embassy Maseru, in coordination with the Bureau of African Affairs, should correct the network cabling infrastructure to comply with Department standards.	Embassy Maseru	Embassy Maseru originally scheduled the re-cabling project for June 2020. However, all Regional Information Management Center (RIMC) support is suspended until further notice due to COVID. Post is continuing to monitor any changes in the schedule and hopes to complete the project when RIMC resumes operations. Due to the nature of the work, it can only be done by RIMC. Post has already procured the equipment and hopes to complete the project in 2021.
164	Inspection of Embassy Nairobi, Kenya	ISP-I-19-08	10/26/2018	Embassy Nairobi should clear its electronic filing backlog in accordance with Department guidelines.	Embassy Nairobi	Post has closed 5,232 of the backlogged eFiles. The remaining 2,505 eFiles require access to archived financial management files/folders to retrieve missing documentation. Post projects to close-out all legacy eFiles by April 1, 2021.

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165	Inspection of Embassy Nairobi, Kenya	ISP-I-19-08	10/26/2018	Embassy Nairobi should conduct a utilization survey and sell or dispose of excess inventory in accordance with Department standards and put up to $14 million to better use.	Embassy Nairobi	Post has disposed of all $14 million excess property as contained in the original 2018 OIG recommendation, provided a utilization survey as subsequently requested, and has a recurring streamlined process in place through auctions to maintain appropriate levels in the warehouse. Expected timeframe of receipt of proceeds from the final sale is mid-January 2021.
166	Inspection of Embassy Nairobi, Kenya	ISP-I-19-08	10/26/2018	Embassy Nairobi should review all unliquidated obligations with no activity for over one year, deobligate those that are no longer valid in accordance with Department standards and put up to $1.7 million to better use.	Embassy Nairobi	Of the original Unliquidated Obligations (ULO) amounts, $8,168 remains. OIG added two additional ULO's totaling $83,014 that pertain to Mogadishu. Of the $8,168 remaining Nairobi ULO's, all pertain to the Residential security program and that office continues to work with the vendor(s) to close these outstanding ULOs.
167	Inspection of Embassy N'Djamena, Chad	ISP-I-20-02	11/7/2019	Embassy N'Djamena, in coordination with the Bureau of Educational and Cultural Affairs, should implement a strategic plan for the new embassy compound's American Center that meets Department standards.	Embassy N'Djamena	The strategic plan is finalized. The American Center is currently open and in use for limited guest, socially distanced, masked activities, in accordance with Post's current COVID-19 mitigation policies and procedures. The Bureau of African Affairs will request OIG close this recommendation.
168	Inspection of Embassy N'Djamena, Chad	ISP-I-20-02	11/7/2019	Embassy N'Djamena should comply with applicable Department overseas motor vehicle safety requirements for chauffeurs and incidental drivers under chief of mission authority.	Embassy N'Djamena	Post has established a spreadsheet to track driver training and medical clearances. The spreadsheet is monitored by the General Services Officer. The GSO has also established an electronic training file for each driver. Copies of the tracker, training certificates, and driver clearances are provided in supporting documentation. The Bureau of African Affairs will request OIG close this recommendation.

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169	Inspection of Embassy N'Djamena, Chad	ISP-I-20-02	11/7/2019	Embassy N'Djamena should implement procurement and property management processes in accordance with Department standards.	Embassy N'Djamena	Post has completed a sealed bid auction; however, the auction was delayed to November 2-6, 2020. The auction consisted of 90 lots, and Post received a total of 3,685 bids. Due to the high volume of bids, buyers are still in the process of paying for and picking up their purchases.
170	Inspection of Embassy N'Djamena, Chad	ISP-I-20-02	11/7/2019	Embassy N'Djamena should bring its Contracting Officer's Representative program into compliance with Department standards.	Embassy N'Djamena	The Contracting Officer's Representative training has been completed. Post is no longer using monthly purchase orders to procure any recurring services. Copies of the purchase orders that are now used in place of the monthly purchase orders were provided to the OIG. The Bureau of African Affairs will request OIG close this recommendation.
171	Inspection of Embassy N'Djamena, Chad	ISP-I-20-02	11/7/2019	Embassy N'Djamena should require its local Information Technology Configuration Control Board to review the American Center commercial cloud application in accordance with Department standards.	Embassy N'Djamena	Post requires the local Information Technology Configuration Control Board to review this application and work with the Department to bring the application into compliance.
172	Inspection of Embassy Nouakchott, Mauritania	ISP-I-20-04	11/20/2019	Embassy Nouakchott, in coordination with the Bureaus of Diplomatic Security, Information Resource Management, and Overseas Buildings Operations, should bring the American Center in the new embassy compound into compliance with Department standards.	Embassy Nouakchott	Post is still unable to schedule the site survey due to COVID travel restrictions to upgrade the wi-fi which is necessary to expand coverage to the American Center. The site survey has not yet been scheduled by the technicians and is unlikely before the second half of 2021 due to travel restrictions associated with COVID-19.
173	Inspection of Embassy Nouakchott, Mauritania	ISP-I-20-04	11/20/2019	Embassy Nouakchott should comply with Department standards for the use of public diplomacy funds.	Embassy Nouakchott	Post is working on a workload analysis of the time that Public Diplomacy Local Staff spend on non-PD work, a decision on a shared funded position, and documenting what measures are in place to ensure that public diplomacy funds are used only for authorized PD purposes.

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174	Inspection of Embassy Nouakchott, Mauritania	ISP-I-20-04	11/20/2019	Embassy Nouakchott should bring its event data recorder program into compliance with Department standards.	Embassy Nouakchott	Post is working with agency partners to develop a schedule to ensure the remaining vehicles without DriveCam will have it installed. Post developed a plan and will have it implemented in 2021.
175	Inspection of Embassy Nouakchott, Mauritania	ISP-I-20-04	11/20/2019	Embassy Nouakchott should close out procurement files in accordance with Department standards.	Embassy Nouakchott	Post acknowledges this is an ongoing issue, as electronic filing requires more bandwidth than is available at Post. The General Services Office is working with the computer techs to ensure compliance.
176	Inspection of Embassy Kolonia, Federated States of Micronesia	ISP-I-19-05	2/4/2019	Embassy Kolonia, in coordination with Embassy Manila, should review $707,000 in unliquidated obligations and deobligate any funds that are no longer needed.	Embassy Kolonia	Embassy Kolonia has worked with Embassy Manila to resolve the unliquidated obligations. Due to poor record keeping in country, it is difficult to track payments, but Post has reviewed each ULO and has dropped ULO rate to under $600,000 and continues to deobligate as soon as possible.
177	Inspection of Embassy Kolonia, Federated States of Micronesia	ISP-I-19-05	2/4/2019	Embassy Kolonia should complete all overdue employee performance reports in accordance with Department standards.	Embassy Kolonia	Embassy Kolonia has completed employee evaluations per OIG's recommendation. The Bureau of African Affairs will request the OIG close this recommendation.
178	Inspection of Embassy Koror, Republic of Palau	ISP-I-19-06	2/4/2019	Embassy Koror should take actions to prepare for a consular crisis according to Department guidance.	Embassy Koror	Embassy Koror continues to update and review its Emergency Action Plan (EAP). In light of the COVID-19 virus, Post is updating its consular crisis decision points per Department Guidance and updating information weekly to Consular Affairs. Given that Post is regularly and continuously updating its EAP as required by the FAM, the Bureau of African Affairs will request the OIG close this recommendation.
179	Inspection of Embassy Koror, Republic of Palau	ISP-I-19-06	2/4/2019	Embassy Koror, in coordination with Embassy Manila, should update and conduct annual information technology contingency plan testing of the unclassified and classified networks in accordance with Department standards.	Embassy Koror	Embassy Koror, in coordination with Embassy Manila, has updated its IT contingency plan and has conducted annual information technology contingency plan testing of the unclassified and classified networks. The

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						Bureau of African Affairs will request the OIG close this recommendation.
180	Inspection of Emergency Preparedness at Consulate General Hamilton, Bermuda	ISP-I-17-26	5/23/2017	Consulate General Hamilton, in coordination with the Regional Information Management Center in Ft. Lauderdale, should repair or replace the high-frequency radio at its alternate command center.	Consulate General Hamilton	In January 2021, the Regional Information Management Center (RIMC) in Ft. Lauderdale provided post with details on the requirements of a ground plate that can be attached to the existing high-frequency (HF) radio at the Alternate Command Center (ACC) to improve the signal strength. Post is in the process of procuring the required ground plate. RIMC advised that they hope to travel to post in February 2021 or March 2021 to complete the installation, COVID conditions permitting.
181	Inspection of Embassy Helsinki, Finland	ISP-I-20-08	12/31/2019	Embassy Helsinki should dispose of excess expendable property in accordance with Department standards and put up to $1.3 million to better use.	Embassy Helsinki	The total of all pending and completed disposals is $1.43 million, above the required $1.3 million in the original OIG recommendation. Post does not intend to continue disposing of additional expendable property. $4685.15 in damaged/unusable expendable supply was disposed in June 2020. Other expendable supplies are in usable condition and will continue to be used and distributed upon request. The Bureau of European and Eurasian Affairs will request the OIG close this recommendation.
182	Inspection of Embassy Ljubljana, Slovenia	ISP-I-19-25	7/31/2019	Embassy Ljubljana, in coordination with the Bureau of Overseas Buildings Operations, should install a central fire alarm system at its Marine Security Guard residence to meet Department fire safety standards.	Embassy Ljubljana	In November 2020 the Bureau of Overseas Building Operations (OBO) approved the design, and post received $35,000 in funding. In coming months, post will solicit quotes to make the award and oversee the installation. The installation is estimated to take two weeks. Due to COVID-19, post has requested an extension from OIG of an additional six months to complete this recommendation.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
183	Inspection of Embassy Tirana, Albania	ISP-I-19-26	8/7/2019	Embassy Tirana, in coordination with the Bureau of Overseas Buildings Operations and the Bureau of Consular Affairs, should provide a wheelchair-accessible interview window for consular clients as required by Department standards.	Embassy Tirana	The Bureau of Overseas Buildings Operations (OBO) reactivated Embassy Tirana's request for a wheelchair-accessible interview window as a stand-alone project. Project funding is currently under review and may be available during the second half of FY2021 or during the Compound Security Upgrade project, commencing in May 2021.
184	Inspection of Embassy Tirana, Albania	ISP-I-19-26	8/7/2019	Embassy Tirana, in coordination with the Bureau of Overseas Buildings Operations, should perform seismic evaluations of embassy residential properties in accordance with Department standards.	Embassy Tirana	On July 18, 2019, post submitted the names of two engineers and one engineering firm it proposed to conduct seismic analyses of residential properties to the Bureau of Overseas Buildings Operations (OBO). OBO responded on July 30, 2019 informing Post that the engineers and the engineering firm were not qualified to do the structural engineering work required. Post has advertised twice for qualified engineers, stating the specific experience and qualifications needed. To date, no qualified bids have been received. Nearby posts have availability of a qualified engineer. However, due to the COVID-19 pandemic and quarantine travel restrictions, post is unable to coordinate their services at this time. OBO-sponsored engineers were dispatched following the November 2019 earthquake to evaluate damage and building conditions and found all buildings safe for occupancy. When COVID conditions allow, the Management team will coordinate a visit with an OBO-approved engineering firm from a neighboring post to conduct seismic analysis of all residential properties.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
185	Inspection of Embassy Tirana, Albania	ISP-I-19-26	8/7/2019	Embassy Tirana should work with landlords to mitigate vulnerabilities with community swimming pools at its leased residential compounds.	Embassy Tirana	The Embassy does not lease full commercially owned housing compounds in Tirana. The government-owned housing compound (Rilindja Ridge) meets all requirements for fencing and safety requirements. Leased housing units are without pools on premises. Leased apartments or residences in commercially owned properties may have access to public pools. However, none have private pools accessible from the residence. Embassy Tirana has communicated with all residents of the two developments to ensure they are aware of the safety concerns. Post now has a standardized memo regarding pool safety from POSHO to give to future residents of these properties.
186	Inspection of Embassy Tirana, Albania	ISP-I-19-26	8/7/2019	Embassy Tirana should dismantle and remove its shipping containers and portable structures in accordance with Department requirements.	Embassy Tirana	Post prioritized the containers nearest the chancery for deconstruction and disposal first, followed by temporary containers at Rilindja Ridge warehousing areas. All unauthorized containers have been removed. Containers being received and processed from shipments are returned to the shipping entity or disposed of immediately after unloading. The final auction was completed on December 2, 2020. Embassy Tirana will send OIG documentation showing that all containers have been removed and receipt of sales from the auctions and will request the OIG close this recommendation.
187	Audit of the Department of State Vetting Process for Syrian Non-Lethal Assistance	AUD-MERO-17-01	11/10/2016	OIG recommends that the Bureau of Near Eastern Affairs distribute the consolidated vetting guidance from Recommendation 1 to implementing partners to make them aware of Department vetting requirements.	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs has confirmed from A/OPE that the consolidated vetting guidance is in the final stages of development. A/OPE intends to distribute it to all bureaus on or about June 2021. Once the consolidated

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						vetting guidance is issued, NEA will distribute it to its implementing partners.
188	Audit of the Department of State Vetting Process for Syrian Non-Lethal Assistance	AUD-MERO-17-01	11/10/2016	OIG recommends that the Bureau of Near Eastern Affairs develop and implement internal controls to verify that its implementing partners are submitting information on required individuals for vetting in accordance with the consolidated vetting guidance from Recommendation 1.	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs will develop and implement internal controls to verify that its implementing partners are submitting information on required individuals for vetting in accordance with the consolidated vetting guidance from Recommendation 1 of the same report once the consolidated vetting guidance is issued.
189	Audit of the Department of State Vetting Process for Syrian Non-Lethal Assistance	AUD-MERO-17-01	11/10/2016	OIG recommends that the Bureau of Near Eastern Affairs include in future award agreements the vetting requirements for Syria programs.	Bureau of Near Eastern Affairs	The Bureau of Near Easter Affairs will revise its award agreement template to include the new vetting requirements once the consolidated vetting guidance is issued.
190	Audit of the Oversight of Fuel Acquisition and Related Services Supporting Department of State Operations in Iraq	AUD-MERO-17-16	12/12/2016	OIG recommends that the Bureau of Near Eastern Affairs conduct a study to determine the appropriate numbers of trained, technically experienced, and certified oversight personnel required to oversee the Baghdad Life Support Services and Operations and Maintenance Support Services contracts, to include specific consideration of 2-year assignments, required Federal Acquisition Certification for Contracting Officer's Representatives certification levels, and appointment of additional assistant contracting officer's representatives.	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs is reviewing the study conducted to ensure the technical and certification requirements for oversight personnel are sufficiently robust. The report will be provided to the OIG to close out the recommendation.

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191	Audit of the Oversight of Fuel Acquisition and Related Services Supporting Department of State Operations in Iraq	AUD-MERO-17-16	12/12/2016	OIG recommends that the Bureau of Near Eastern Affairs use the results of the study from Recommendation 5 to nominate appropriate personnel so contracting officers with the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, can assign qualified oversight personnel for those contracts and task orders.	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs is committed to nominating and hiring contracting officers with appropriate technical and other required qualifications.
192	Aspects of the Invoice Review Process Used by the Bureau of Near Eastern Affairs to Support Contingency Operations in Iraq Need Improvement	AUD-MERO-17-33	3/3/2017	OIG recommends that the Bureau of Near Eastern Affairs, in coordination with the Bureau of Administration, provide sufficient resources to the Contract Management Office-Frankfurt to ensure all unallowable costs are identified and recovered in a timely manner. This review should begin with those invoices provisionally approved beginning in September 2014 and already identified as awaiting post-payment review and then going back to identify and review those provisionally approved invoices submitted before September 2014 that did not receive full review.	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs has received supporting documentation regarding outstanding invoices. Collection has been suspended while that documentation is under review.
193	Aspects of the Invoice Review Process Used by the Bureau of Near Eastern Affairs to Support Contingency Operations in Iraq Need Improvement	AUD-MERO-17-33	3/3/2017	OIG recommends that the Bureau of Near Eastern Affairs, develop reliable, objective, clear, and measurable performance metrics and include these metrics in all current and future Bureau of Near Eastern Affairs Iraq contracts.	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs has prepared a quality assurance surveillance plan with reliable, objective, clear, and measurable performance metrics for contracts and is committed to including the metrics in future contracts but cannot offer concrete documentation until the new solicitations are finalized.

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194	Audit of Cost Controls Within the Baghdad Life Support Services Contract Food Services Task Order SAQMMA14F0721	AUD-MERO-18-55	8/30/2018	OIG recommends that the Bureau of Near Eastern Affairs incorporate requirements for food services cost controls and a contractor cost control plan into the new worldwide support services contract and develop an acquisition plan that addresses their implementation and comprehensive oversight.	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs (NEA) will reissue the request when both pandemic and security conditions in Iraq, as identified by NEA and Post, have improved sufficiently to allow operations to return to normal and to permit an orderly transition between service providers and any necessary changeover of personnel at Department of State sites in Iraq. Once the new task order is finalized, NEA will share it with OIG in order to close out this recommendation.
195	Audit of the Bureau of Near Eastern Affairs Selection and Management of Contract Oversight Personnel in Iraq	AUD-MERO-19-10	11/29/2018	OIG recommends that the Bureau of Near Eastern Affairs (a) analyze all contracts for which it assigns Contracting Officer's Representatives and Government Technical Monitors and determine the appropriate level of Federal Acquisition Certification for Contracting Officer's Representatives, the technical expertise, and other qualifications required; (b) document the analysis and determinations; (c) and provide the determinations to the Contracting Officers assigned to those contracts.	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs is working to ensure that relevant technical and certification requirements for oversight personnel are provided to Contracting Officers.
196	Audit of the Bureau of Near Eastern Affairs Selection and Management of Contract Oversight Personnel in Iraq	AUD-MERO-19-10	11/29/2018	OIG recommends that the Bureau of Near Eastern Affairs compare Contracting Officer's Representative and Government Technical Monitor nominee qualifications to the analysis conducted for the Bureau's contracts as noted in Recommendation 1 and only nominate those with the necessary technical expertise and level of Federal Acquisition Certification for Contracting Officer's Representatives to oversee the contract and hold contractors accountable for quality and cost performance in accordance with contract terms.	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs is reviewing the study conducted to ensure the technical and certification requirements for oversight personnel are sufficiently robust. The report will be provided to the OIG to close out the recommendation.

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197	Audit of the Bureau of Near Eastern Affairs Selection and Management of Contract Oversight Personnel in Iraq	AUD-MERO-19-10	11/29/2018	OIG recommends that the Bureau of Near Eastern Affairs discontinue the practice of nominating Contracting Officer's Representatives and Government Technical Monitors who do not meet Level III Federal Acquisition Certification for Contracting Officer's Representatives and technical expertise requirements for its contracts or obtain a temporary waiver from the Bureau of Administration, Office of the Procurement Executive, as required by Procurement Information Bulletin No. 2012-15.	Bureau of Near Eastern Affairs	All Contracting Officer's Representatives now possess Level III Federal Acquisition Certification for Contracting Officer's Representatives. The standardized nomination template requires the inclusion of information pertaining to the certification level and technical expertise of all nominees. A/OPE reviews the information and only approves those it deems acceptable.
198	Lessons Learned from Office of Inspector General Audits Concerning the Review and Payment of Contractor Invoices Supporting Overseas Contingency Operations	AUD-MERO-19-19	4/1/2019	OIG recommends that the Bureau of Near Eastern Affairs update its Contracting Officer's Representative and invoice review training modules to include information specific to the new Diplomatic Platform Support Services contract as it relates to task orders in support of U.S. Mission Iraq.	Bureau of Near Eastern Affairs	The new Diplomatic Platform Support Services request for proposal will be reissued when both pandemic and security conditions in Iraq, as determined by the Bureau of Near Eastern Affairs and Post, allow post operations to permit a transition between service providers and a changeover of personnel at Department of State sites in Iraq.
199	Audit of the Department of State Implementation of Policies Intended To Counter Violent Extremism	AUD-MERO-19-27	6/26/2019	OIG recommends that the Bureau of Near Eastern Affairs develop and implement standard operating procedures to align its regional strategy objectives, sub-objectives, and performance indicators for countering violent extremism with Department of State and Bureau of Counterterrorism and Countering Violent Extremism strategies, goals, and objectives.	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs (NEA) is working closely with the Offices of Counterterrorism (CT), Public Diplomacy and Public Affairs, Foreign Assistance, and other regional bureaus to finalize the key definition of countering violent extremism and operationalize it with the relevant processes. Once the information memo outlining CT's implementation guidance has been fully cleared and accepted by the Secretary or his designee, NEA will finalize its standard guidance and operating procedures, anticipated by

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						June 2021. Once this guidance is finalized and distributed, NEA will consider this recommendation closed.
200	Inspection of Embassy Tel Aviv, Israel	ISP-I-17-20	5/5/2017	Embassy Tel Aviv should update all outdated locally employed staff position descriptions.	Embassy Tel Aviv	Embassy Jerusalem (formerly Embassy Tel Aviv) has updated 100 of the 156 outdated locally employed staff positions. The Embassy is in the process of updating an additional 39 positions. The Department will update the remaining 17 Public Affairs Office positions after the Department has implemented the Public Diplomacy staffing initiative in 2022.
201	Audit of the Department of State Implementation of Policies Intended To Counter Violent Extremism	AUD-MERO-19-27	6/26/2019	OIG recommends that the Bureau of South and Central Asian Affairs develop and implement standard operating procedures to align its regional strategy objectives, sub-objectives, and performance indicators for countering violent extremism with Department of State and Bureau of Counterterrorism and Countering Violent Extremism strategies, goals, and objectives.	Bureau of South and Central Asian Affairs	The Bureau of South and Central Asian Affairs (SCA) concurs with the mentioned recommendation and will develop standard operating procedures (SOPs). However, doing so is contingent upon implementation of Recommendations 4, 6, 7, and 8, which would inform SCA's standard operating procedures, regional strategy objectives, sub- objectives, and performance indicators. The Bureau of Counterterrorism by the Bureau of Counterterrorism, Office of Countering Violent Extremism (CT/CVE) developed a shared definition of countering violent extremism (CVE) and is working to distribute implementation guidance. When provided with this final definition and implementation guidance, SCA will develop SOPs based on this with input from the Office of the Under Secretary of Public Diplomacy's Office of Programming, Planning and Resources (R/PPR) and will realign SCA's strategic objectives, sub-objectives and performance indicators for CVE. The mentioned OIG report incorrectly aligns foreign assistance

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						and public diplomacy funding for CVE. SCA will work with (R/PPR) to adhere to funding guidance on public diplomacy funding which is allocated by R/PPR to SCA and implemented through SCA's public affairs sections. SCA also intends to collaborate with other regional bureaus and the Bureau of Conflict Stabilization Operations on the development of SOPs, sub objectives, and performance indicators. Per 18 FAM 301.2: "Bureaus and Missions develop their own strategies using the JSP and other relevant high-level policies and strategies, such as the National Security Strategy, to inform their plans. Regional Bureaus at State and USAID develop a Joint Regional Strategy (JRS) and State Functional Bureaus develop a Functional Bureau Strategy (FBS) **with input from stakeholders throughout the Department**, and from interagency partners where appropriate."
202	Audit of the Execution of Security-Related Construction Projects at U.S. Embassy Kabul, Afghanistan	AUD-MERO-19-40	9/20/2019	OIG recommends that Embassy Kabul take steps to ensure that a qualified Project Manager with relevant construction expertise is assigned to oversee the day-to-day management of each physical security project initiated at post to confirm that the project meets relevant construction standards, building codes, and physical security requirements.	Embassy Kabul	Due to the COVID-19 pandemic, post is limited in its ability to execute physical security projects. Nevertheless, the Embassy continues plans to integrate Department physical security standards and construction codes into future upgrades. Such projects require close consultation with the Bureaus of Overseas Buildings Operations (OBO) and Diplomatic Security (DS), and often require a lengthy permit process to ensure that all applicable standards and codes are incorporated. OBO's Office of Construction Management has established

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						a Project Director field position will be at post effective January 14, 2021, to augment on-site project management efforts of all major construction projects, including Compound Security Upgrades.
203	Audit of the Execution of Security-Related Construction Projects at U.S. Embassy Kabul, Afghanistan	AUD-MERO-19-40	9/20/2019	OIG recommends that Embassy Kabul, in coordination with the Bureau of Diplomatic Security, (1) identify the circumstances in which it should request advice and support from the Bureau of Overseas Buildings Operations-Diplomatic Security Requirements Working Group in connection with challenges affecting post-initiated physical security projects and (2) establish and implement a process to request such advice and support.	Embassy Kabul	Post is in regular contact with the Bureau of Overseas Buildings Operations (OBO)—Diplomatic Security (DS) working group which is used as the primary venue to request feedback and support, such as clarifying initiatives and priorities. For example, in a December 2020 meeting, post communicated several security and facility concerns relating to integration of tenant operations and dearth of safe and secure housing. In addition, a joint DS—OBO survey team visited post in November 2020 to survey the neighboring NATO-occupied Resolute Support (RS) site.
204	Audit of the Execution of Security-Related Construction Projects at U.S. Embassy Kabul, Afghanistan	AUD-MERO-19-40	9/20/2019	OIG recommends that Embassy Kabul, in coordination with the Bureaus of Overseas Buildings Operations and Diplomatic Security, develop a technical working group charged with ensuring that all post-initiated physical security projects have adequate construction oversight, including ensuring that projects are properly planned, designed, and meet relevant construction standards, building codes, and physical security requirements.	Embassy Kabul	Post re-established the biweekly technical working group to address physical security concerns relating to the disposition of the neighboring NATO-occupied Resolute Support (RS) site, dearth of safe and secure housing, challenges of incorporating the tenant into the 6.17 site, and future development of the East Embassy compound. Post continues to work with the Bureaus of Overseas Buildings Operations (OBO) and Diplomatic Security (DS) to proceed with these initiatives, noting that all post projects require permitting that ensures standards and codes are met.

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205	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	Embassy New Delhi should reconcile transactions in the Suspense Deposit Abroad account in accordance with Department guidelines and put funds of $65,772 to better use.	Embassy New Delhi	The $61,799 was cleared/reconciled. The remaining $3,973 was previously paid by the Embassy to the Government of India for customs duties associated with the in-country destruction of two armored vehicles ($2,810 and $1,163, respectively). Post is seeking recovery of $2,810 because the corresponding vehicle was destroyed outside of India. The $1,163 in customs duties associated with the other vehicle will not be refunded, as the vehicle will be destroyed in-country. Post expects that the Suspense Deposit Abroad account will be reconciled in accordance with Department guidelines once the $2,810 refund is received, which may take 90 days to process.
206	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	Embassy New Delhi, in coordination with the Bureau of Overseas Buildings Operations, should implement an action plan to resolve the mission's safety, health, and environmental management deficiencies and bring the program into full compliance with Department standards.	Embassy New Delhi	COVID-19 continues to delay the Safety, Health, and Environmental Management (SHEM) inspectors' visit. The SHEM inspectors are currently scheduled to visit Post on April 19-27, 2021. The Mission expects to be able to submit a plan to bring its SHEM deficiencies into compliance with Department standards after that time.
207	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	Embassy New Delhi should submit design plans to the Bureau of Overseas Buildings Operations for the unapproved modifications to property numbers X9990, X9991, X9992, X9993, X9999, and X29001 in Kolkata, and R1007 and R1012 in Mumbai.	Embassy New Delhi	Consulate Mumbai's design plans for R1007 and R1012 were provided and the modifications to properties R1007 and R1012 have been returned to the original design plan. Consulate Kolkata's contracted architectural and engineering firm, ACME Consultants, began work on August 18, 2020. The firm performed interior assessments of all units and completed some exterior assessments. The contractor is in the final stages of completing the report on the feasibility study of structures X9990, X9991, X9992, X9993, X9999, and X29001. On

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						December 21, 2021, the contractor submitted the final feasibility study with as-built drawings to the COR in New Delhi Facilities Section. The plans were upload on ProjNet on December 28, 2021, for the Bureaus of Overseas Buildings Operations (OBO) assessment and potential approval. Estimated OBO completion of this task is in early 2021.
208	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	Embassy New Delhi should bring its method and procedures for procuring fuel from the American Community Support Association into compliance with the Federal Acquisition Regulation.	Embassy New Delhi	The Office of the Procurement Executive approved and forwarded the fuel exemption/waiver to the Defense Logistics Agency (DLA) on July 10, 2020. On September 11, DLA requested specific fuel storage and distribution information from Post to execute the exemption/waiver. Post is in communication with DLA and expects to submit the requested documentation by December 4. Post's submission has been slightly delayed, and we now expect to submit the documentation to DLA in late January 2021.
209	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	Embassy New Delhi should implement a detailed plan that includes a cost-benefit analysis to consolidate its two print and graphics operations.	Embassy New Delhi	The Public Affairs Section (PAS) and Information Resource Management (IRM) Print & Graphics shops were consolidated prior to 2018. The consolidated model resulted in $30,000 in reduced salary costs and allows Post to eliminate future redundant investments in equipment and supplies, including the purchase of only one set of machines for printing, binding, and graphics production. Post expects additional savings to accrue over time, as two more employees will face mandatory retirement in 2022 and 2023, respectively, and Post does not anticipate filling the positions. The Bureau of South and Central Asian Affairs will request OIG

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						close this recommendation.
210	Inspection of Embassy Bogota, Colombia	ISP-I-19-14	4/17/2019	Embassy Bogota should comply with Department standards for the use of public diplomacy funds.	Embassy Bogota	Embassy Bogota's Information Resource Management (IRM) Section has purchased and received the equipment needed to take over many of the audio-visual services in question from the Public Affairs Section (PAS) and is seeking a vendor to provide the remaining services. Training and handover, by PAS, have been delayed due to a lack of opportunity, as large-group events have been suspended due to COVID. Nevertheless, the remaining tasks are slated for completion in the third quarter of FY2021.
211	Inspection of Embassy Bogota, Colombia	ISP-I-19-14	4/17/2019	Embassy Bogota should clear overdue travel advances in accordance with Department guidelines and put funds up to $1,451,820 to better use.	Embassy Bogota	Embassy Bogota continues working with the Bureau of the Comptroller and General Financial Services (CGFS) write off 32 advances from closed appropriations that are 12 years old and are still reflected in our accounts. These advances are still pending to be written-off by CGFS.
212	Inspection of Embassy Bogota, Colombia	ISP-I-19-14	4/17/2019	Embassy Bogota, in coordination with the Bureau of Overseas Buildings Operations, should implement an action plan to resolve the mission's fire protection deficiencies and bring the program into full compliance with Department standards.	Embassy Bogota	A single deficiency--a non-compliant exit within the Embassy building--remains and is pending funding from the Bureau of Overseas Buildings Operations (OBO). Embassy Bogota continues to work with OBO to remedy this item.
213	Inspection of Embassy Bogota, Colombia	ISP-I-19-14	4/17/2019	Embassy Bogota should remove the KACTUS database from the dedicated internet network and conduct a risk assessment based on the National Institute of Standards and Technology's Risk Management Framework.	Embassy Bogota	Embassy Bogota is working with the Bureau of Information Resource Management (IRM) to relocate KACTUS to an approved Enterprise Server Operations Center (ESOC) cloud environment and then complete the training, testing, and documentation required for authorization. Post anticipates relocating the KACTUS application to the IRM ESOC cloud environment in CY 2021, Quarter 2 to begin testing and

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						assessment.
214	Inspection of Embassy Bogota, Colombia	ISP-I-19-14	4/17/2019	Embassy Bogota, in coordination with the Bureau of Western Hemisphere Affairs, should relocate the telecommunications demarcation point outside the limited access communications rooms.	Embassy Bogota	Embassy Bogota has made 90% progress toward satisfying this recommendation despite COVID-related delays. The internet service provider (ISP) is installing the necessary fiber infrastructure to a new external demarcation facility and will then install the new optic fiber switches. All ISP equipment will be separated and relocated from Department communications equipment by February 2021.
215	Inspection of Embassy Bogota, Colombia	ISP-I-19-14	4/17/2019	Embassy Bogota should inventory its databases and submit appropriate security documentation for databases containing personally identifiable information to the Bureau of Information Resource Management for an authorization decision.	Embassy Bogota	Embassy Bogota has inventoried its databases and worked with the Bureau of Information Resource Management (IRM) to confirm that SharePoint-based databases do not require separate assessment and authorization as they are covered by the authorization granted to the Department's Office 365 environment. Nevertheless, Embassy IRM staff will complete the privacy impact assessments requested by CY 2021, Quarter 2.
216	Inspection of Embassy Bogota, Colombia	ISP-I-19-14	4/17/2019	Embassy Bogota should conduct initial and annual refresher information technology contingency training for employees with information technology contingency planning responsibilities.	Embassy Bogota	Embassy Bogota has developed the information technology contingency training plan (ITCP). In November 2020, personnel conducted refresher training and two tabletop ITCP exercises. The results of these exercises have been documented in the Embassy's annual training plan and will be provided to the OIG in our required February submission.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
217	Inspection of Embassy Bogota, Colombia	ISP-I-19-14	4/17/2019	Embassy Bogota should test the information technology contingency plans for unclassified and classified networks in accordance with Department standards.	Embassy Bogota	Embassy Bogota revised its ITCP test plan for the unclassified and classified networks and conducted testing within the Embassy and the Alternate Command Center in November 2020. The results of these exercises have been documented in the annual test plan and will be provided to the OIG in our required February submission.
218	Inspection of Embassy Georgetown, Guyana	ISP-I-18-19	5/16/2018	Embassy Georgetown should vacate the U.S. Government-owned warehouse and notify the Bureau of Overseas Buildings Operations so it can be sold.	Embassy Georgetown	Post is awaiting completion of the Bureau of Overseas Operations (OBO)-constructed successor warehouse. The OBO Project Director projects an April finish.
219	Inspection of Embassy Guatemala City, Guatemala	ISP-I-18-16	5/16/2018	Embassy Guatemala City, in coordination with the Bureau of Human Resources, should complete updates to the local compensation plan.	Embassy Guatemala City	Three sections of the Local Compensation Plan are pending authorization or review by the Office of Overseas Employment (GTM/OE). Post will implement the revisions upon receipt of authorization by GTM/OE.
220	Inspection of Embassy Guatemala City, Guatemala	ISP-I-18-16	5/16/2018	Embassy Guatemala City, in coordination with the Bureau of Overseas Buildings Operations, should perform seismic evaluations of its residential properties in accordance with Department standards.	Embassy Guatemala City	Post has obligated all funding provided for seismic evaluations, awarding a total of 14 structural screenings of buildings and houses, and sending completed reports to the Bureau of Overseas Building Operations (OBO) Construction and Structural Engineering Office for review. Post will work with OBO to request additional funds to complete the 18 remaining structural studies.
221	Inspection of Embassy Guatemala City, Guatemala	ISP-I-18-16	5/16/2018	Embassy Guatemala City should inventory, secure, monitor, and register its dedicated internet networks with the Bureau of Information Resource Management.	Embassy Guatemala City	Embassy Guatemala City provided documentation to OIG indicating the nature of the system is not a dedicated internet network but is in fact a closed data processing system.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
222	Inspection of Embassy Guatemala City, Guatemala	ISP-I-18-16	5/16/2018	Embassy Guatemala City should conduct an assessment of the Bureau of International Narcotics and Law Enforcement Affairs' wireless dedicated internet network based on the National Institute of Standards and Technology Risk Management Framework and implement appropriate controls to protect the information stored on the network.	Embassy Guatemala City	Post notes the Bureau of International Narcotics and Law Enforcement does not have a wireless network in Guatemala at this time. Future wireless endeavors will be based on the Department's enterprise Wi-Fi architecture. The Bureau of Western Hemisphere Affairs (WHA) will request OIG close this recommendation.
223	Inspection of Embassy Guatemala City, Guatemala	ISP-I-18-16	5/16/2018	Embassy Guatemala City should manage its classified and unclassified Active Directory groups in accordance with Department standards.	Embassy Guatemala City	Embassy Guatemala City acknowledged OIG's response that the recommendation was under OIG review, and that no further action was required from the embassy until further notice.
224	Inspection of Embassy Guatemala City, Guatemala	ISP-I-18-16	5/16/2018	Embassy Guatemala City should conduct annual tests of the information technology contingency plans for unclassified and classified networks in accordance with Department standards.	Embassy Guatemala City	Embassy Guatemala City acknowledged OIG's response that the recommendation was under OIG review, and that no further action was required from the embassy until further notice.
225	Inspection of Embassy Guatemala City, Guatemala	ISP-I-18-16	5/16/2018	Embassy Guatemala City should implement a plan for conducting initial and annual refresher information technology contingency training for employees with information technology contingency planning responsibilities.	Embassy Guatemala City	Embassy Guatemala City has completed its training plan. The Embassy will request OIG close this recommendation.
226	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that Embassy Lima, Peru, develop and implement a documented nationalization plan with clear goals and attainable objectives for the aviation program.	Embassy Lima	Embassy Lima and the Ministry of the Interior (MOI) are in active dialogue on the nationalization of the helicopters. The Bureau of International Narcotics and Law Enforcement (INL) is currently waiting for MOI's formal response to a detailed draft proposal provided in November 2019. Negotiations have been slowed by multiple turnovers in MOI leadership over the last year.
227	Inspection of Embassy Nassau, The Bahamas	ISP-I-19-19	8/1/2019	Embassy Nassau should establish a comprehensive corrective action plan and certify all residences for occupancy in accordance with Department standards.	Embassy Nassau	Bahamian government-imposed restrictions to curb the spread of COVID have limited the ability of the Facilities team to stay on schedule for the

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						completion of this recommendation. Post has so far completed 71 of 91 occupational health and safety inspections. The target date for completion is now May 31, 2021.
228	Inspection of Embassy Nassau, The Bahamas	ISP-I-19-19	8/1/2019	Embassy Nassau, in coordination with the Bureau of Overseas Buildings Operations, should establish a plan for the future use of the Chief of Mission residence and the Deputy Chief of Mission residence.	Embassy Nassau	The design phase of the Chief of Mission residence (CMR) renovation project is under contract and onsite work will begin in early 2021. The Bureau of Overseas Buildings Operations (OBO) conducted a market survey to assist Post with identifying a new Deputy Chief of Mission residence (DCMR) to lease. Post is conducting due diligence on properties identified in the survey. Regarding the former DCMR property, OBO is in the process of procuring a broker to assist with the sale of the property.
229	Inspection of Embassy Nassau, The Bahamas	ISP-I-19-19	8/1/2019	Embassy Nassau should review its unliquidated obligations in accordance with Department guidelines and put up to $2.3 million to better use.	Embassy Nassau	Post is committed to resolving unliquidated obligations from prior years and continues to work on this recommendation and will provide an update to the Office of the Inspector General in February 2021.
230	Inspection of Embassy Nassau, The Bahamas	ISP-I-19-19	8/1/2019	Embassy Nassau, in coordination with the Regional Information Management Center Ft. Lauderdale and the Bureau of Western Hemisphere Affairs, should remediate the embassy's computer network infrastructure to improve network performance.	Embassy Nassau	All vendor equipment except for one device in the limited access area (LAA) currently reside in the newly designated demarcation cabinet. Vendor equipment and cabling in the LAA will be moved by the regional information management center (RIMC) when local health conditions permit.
231	Inspection of Embassy Paramaribo, Suriname	ISP-I-19-20	7/24/2019	Embassy Paramaribo should comply with Department security standards for wireless local area networks.	Embassy Paramaribo	This recommendation concerns a piece of equipment Post is missing and which Post ordered last year. Post is tracking the piece of equipment and is waiting on more information for when it can be sent via pouch. The expected competition time is before March 1, 2021.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
232	Inspection of Embassy Port-au-Prince, Haiti	ISP-I-19-18	6/17/2019	Embassy Port-au-Prince should conduct project and program evaluations in accordance with Department guidance.	Embassy Port au Prince	A scheduled joint project review with the Director General (DG) of the Haitian National Police (HNP) was delayed due to the replacement of the DG and key HNP senior staff in November. The rescheduled joint review with the new DG and HNP senior staff will take place in early 2021, to provide required partner input for the project and program evaluations. The Section's GTM for the Shields Point contract, which provides subject matter experts to the HNP (and is the largest component of the International Narcotics and Law Enforcement program), is conducting a contract review, which will contribute to the project and program evaluations.
233	Inspection of Embassy Port-au-Prince, Haiti	ISP-I-19-18	6/17/2019	Embassy Port-au-Prince should bring the public diplomacy grants program into compliance with Department standards.	Embassy Port au Prince	Headway has been made resolving these open items, but there are still a few outstanding items. Post is compiling a full status summary of existing grants and will continue to work to resolve all outstanding items and put into place controls to address the "no action" comments made by the OIG inspectors. This will be completed by March 31, 2021.
234	Inspection of Embassy Port-au-Prince, Haiti	ISP-I-19-18	6/17/2019	Embassy Port-au-Prince should close out procurement files in accordance with Department standards.	Embassy Port au Prince	While the General Services Office (GSO) and Finance continue to work on clearing E-files, COVID and staffing shortages have had a drastic impact on operations. It should be noted, however, that Post did not add to the E-filing backlog and resolved several dozen difficult outstanding files in the closeout queue. With the recent arrival of three full-time GSOs, post was able to close the remaining approximate 200 files while ensuring that none of the other approximate 7,000 files in process added to the backlog. In addition, GSO is

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						coordinating with the Financial Management office to continue reducing post's invoicing backlog by at least ten percent each month.
235	Inspection of Embassy Port-au-Prince, Haiti	ISP-I-19-18	6/17/2019	Embassy Port-au-Prince, in coordination with the Bureau of Overseas Buildings Operations, should perform seismic evaluations of its residential properties in accordance with Department standards.	Embassy Port au Prince	The Bureau of Overseas Buildings Operations (OBO) Structural Engineer and Post finalized the properties requiring seismic surveys, and OBO is moving forward with planning to send their structural consultant to Port-au-Prince to conduct the seismic survey. To date, the timeframe for conducting the survey has not yet been determined due to COVID restrictions and the political situation in Haiti.
236	Inspection of Embassy Port-au-Prince, Haiti	ISP-I-19-18	6/17/2019	Embassy Port-au-Prince should clear overdue travel advances in accordance with Department guidelines and put up to $146,557 to better use.	Embassy Port au Prince	As of January 2021, there are 10 items with open advances in the travel system. Post is working with the Office of the Comptroller and Global Financial Services (CGFS) to clear these items by Spring 2021.
237	Inspection of Embassy Santo Domingo, Dominican Republic	ISP-I-19-17	7/1/2019	Embassy Santo Domingo should review its unliquidated obligations in accordance with Department guidance and put up to $1.3 million to better use.	Embassy Santo Domingo	The current ULO balance is $226,489 from the original amount of $1.3M identified during inspection. Post is currently coordinating ratifications with the Office of the Procurement Executive.
238	Inspection of Embassy Santo Domingo, Dominican Republic	ISP-I-19-17	7/1/2019	Embassy Santo Domingo should clear overdue travel advances in accordance with Department guidelines and put up to $55,020 to better use.	Embassy Santo Domingo	$53,715 (about 98% of the overdue travel advances) of the original $55,020 has been repaid by employees. $1,175 (about 2%) was written off with approval of the Chief of Mission.
239	Inspection of Embassy Santo Domingo, Dominican Republic	ISP-I-19-17	7/1/2019	Embassy Santo Domingo should close out procurement files in accordance with Department standards.	Embassy Santo Domingo	A new Contracting Officer (CO) took over the Procurement Portfolio effective June 8, 2020, and has begun working with the individuals (and their respective supervisors) to ensure they complete the 40-hour COR course and complete the other steps necessary to become a certified COR. As part of this training, CORs will

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						learn how to close out contracts properly.
240	Inspection of Embassy Santo Domingo, Dominican Republic	ISP-I-19-17	7/1/2019	Embassy Santo Domingo should comply with Department standards for its contracting officer's representatives.	Embassy Santo Domingo	A new Contracting Officer took over the Procurement portfolio in Santo Domingo effective June 2020 and worked with the individuals (and their respective supervisors) to ensure they complete the 40-hour COR course and complete the other steps necessary to become a certified COR. At this point all CORs at post are now FAC-COR certified.
241	Inspection of Embassy Santo Domingo, Dominican Republic	ISP-I-19-17	7/1/2019	Embassy Santo Domingo should verify and document the monitoring and evaluation of its contracts in accordance with Department guidance.	Embassy Santo Domingo	The processing of close out actions for historic eFiling has been delayed because of staffing gaps in the Procurement Section and workplace limitations due to COVID-19—Ariba is not fully functional on the GO Browser. (Embassy Santo Domingo has been operating in Phase 0 since mid-March with mandatory telework.) A new Contracting Officer (CO) took over the Procurement Portfolio effective June 8, 2020 and is not approving PRs unless the eFiling has been completed.
242	Inspection of Embassy Santo Domingo, Dominican Republic	ISP-I-19-17	7/1/2019	Embassy Santo Domingo should comply with all Department Overseas Motor Vehicle Safety Management Program requirements.	Embassy Santo Domingo	A new Contracting Officer took over the Procurement portfolio in Santo Domingo effective June 2020 and worked with the individuals (and their respective supervisors) to ensure they complete the 40-hour COR course and complete the other steps necessary to become a certified COR. At this point all CORs at post are now FAC-COR certified.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
243	Inspection of the U.S. Mission to the Organization of American States	ISP-I-19-37	9/24/2019	The U.S. Mission to the Organization of American States, in coordination with the Bureaus of Western Hemisphere Affairs and Human Resources, should conduct an organizational assessment of the mission's staffing structure and implement appropriate recommendations.	Office of the U.S. Permanent Representative to the Organization of American States	On 9/17/2020 a record cable was submitted to OIG with the completed assessment document for the Office of the U.S. Permanent Representative to the Organization of American States (USOAS). The recommendations in the assessment are currently implemented or in the process.
244	Inspection of the U.S. Mission to the Organization of American States	ISP-I-19-37	9/24/2019	The U.S. Mission to the Organization of American States should implement a records management program in accordance with Department standards.	Office of the U.S. Permanent Representative to the Organization of American States	The mission is working to establish the bureau's new "Electronic Records Formatting Guidance" which was recently provided.
245	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	The Office of Policy, Planning, and Resources for Public Diplomacy and Public Affairs, in coordination with Embassy New Delhi and the Bureau of South and Central Asian Affairs, should update all public diplomacy position descriptions by implementing the Public Diplomacy Locally Employed Staff Initiative at Mission India in FY 2019.	Office of Policy, Planning, and Resources for Public Diplomacy and Public Affairs	This process is underway and on track. All position descriptions should be updated by March 2021 and classified by the end of fiscal year 2021.
246	Management Assistance Report: Use of Personal Social Media Accounts to Conduct Official Business	ESP-19-02	2/4/2019	The Office of Policy, Planning and Resources, in coordination with the Bureau of Public Affairs and the Bureau of International Information Programs, should clarify the distinction in the Foreign Affairs Manual between personal and official social media postings and give examples of each type.	Office of Policy, Planning, and Resources for Public Diplomacy and Public Affairs	This item was resolved with the publication of the Personal and Official Use Social Media Handbook published on August 19, 2019. It is located on the Global Public Affairs Bureau's internal Social Media Hub.
247	Management Assistance Report: Use of Personal Social Media Accounts to Conduct Official Business	ESP-19-02	2/4/2019	The Office of Policy, Planning and Resources should issue periodic, regular notices to Department employees to remind them of the Department's policies regarding the use of personal social media accounts.	Office of Policy, Planning, and Resources for Public Diplomacy and Public Affairs	This item was resolved with the Office of Policy, Planning, and Resources committing to send an annual reminder telegram to all personnel. The last cable was sent on October 23, 2020.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
248	Management Assistance Report: Use of Personal Social Media Accounts to Conduct Official Business	ESP-19-02	2/4/2019	The Office of Policy, Planning and Resources, in coordination with the Bureau of Public Affairs and the Bureau of International Information Programs, should review the personal Twitter accounts of ambassadors and other senior Department officials and take appropriate action to remedy any violations of Department policy.	Office of Policy, Planning, and Resources for Public Diplomacy and Public Affairs	A Standard Operating Procedure for the Social Media Accounts Working Group has been developed and is being finalized with an expected publication date of February 2021. The publication of the standard operating procedure will close this recommendation.
249	(U) Audit of Department of State Directorate of Defense Trade Controls Export Licensing Processes	AUD-SI-19-07	2/5/2019	OIG recommends that the Office of Defense Trade Controls Licensing update its license application review standard operating procedures to provide uniform guidance to Licensing Officers for their review of export license applications.	Office of Defense Trade Controls Licensing	DDTC Licensing has reviewed its existing standard operating procedures, updated obsolete information, and provided uniform guidance to Licensing Officers for their review of export license applications.
250	(U) Audit of Department of State Directorate of Defense Trade Controls Export Licensing Processes	AUD-SI-19-07	2/5/2019	OIG recommends that, once its license application review standard operating procedures have been updated (Recommendation 1), the Office of Defense Trade Controls Licensing (a) train all Licensing Officers in the new procedures and (b) develop and implement an annual refresher training program for its Licensing Officers on the procedures.	Office of Defense Trade Controls Licensing	DDTC Licensing developed a refresher training program to discuss licensing trends and best practices and will continue training Licensing Officers on an annual basis or as new policies change and standard operating procedures are revised.
251	(U) Audit of Department of State Directorate of Defense Trade Controls Export Licensing Processes	AUD-SI-19-07	2/5/2019	OIG recommends that the Office of Defense Trade Controls Licensing develop and implement a process to annually review and update its license application review standard operating procedures.	Office of Defense Trade Controls Licensing	DDTC Licensing implemented a process to review and update its license application review standard operating procedures on an annual basis. The last review was completed on April 15, 2020.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
252	(U) Audit of Department of State Directorate of Defense Trade Controls Export Licensing Processes	AUD-SI-19-07	2/5/2019	OIG recommends that the Office of Defense Trade Controls Licensing (a) determine the capacity of its Licensing Officers to meet the license application workload, (b) establish the appropriate Licensing Officer staffing level needed to meet that workload, and (c) develop and implement an action plan to attain the established Licensing Officer staffing level and related resources needed to be successful.	Office of Defense Trade Controls Licensing	DDTC Licensing continues to examine the capacity of its Licensing Officers to ensure their ability to meet the license application workload. Licensing recently hired two employees who completed the Department's Pathways Program. Additionally, Licensing has sought and obtained additional contractor support for its operations, complementing the work of our General Schedule and U.S. military detailee colleagues.
253	(U) Audit of Department of State Directorate of Defense Trade Controls Export Licensing Processes	AUD-SI-19-07	2/5/2019	OIG recommends that the Office of Defense Trade Controls Licensing develop and implement a "second signature" process for licenses in all Divisions to segregate duties and reduce the risk of errors.	Office of Defense Trade Controls Licensing	In September 2018, DDTC Licensing implemented a standing process of second level review (SLR) for license applications. The SLR process mandates that a second individual, in addition to the processing Licensing Officer, review all license applications, with some highly supervised, limited exceptions. In executing the SLR, the reviewer follows a defined checklist to ensure a timely review while decreasing the likelihood of overlooking problematic issues.
254	(U) Audit of Department of State Directorate of Defense Trade Controls Export Licensing Processes	AUD-SI-19-07	2/5/2019	OIG recommends that the Office of Defense Trade Controls Licensing develop and implement a process to assign Licensing Officers license applications that correspond with their levels of authority.	Office of Defense Trade Controls Licensing	DDTC Licensing has developed and implemented a process to assign Licensing Officers license applications that correspond with their levels of authority. Supervisors assign cases according to those levels of authority.
255	(U) Audit of Department of State Directorate of Defense Trade Controls Export Licensing Processes	AUD-SI-19-07	2/5/2019	OIG recommends that the Office of Defense Trade Controls Licensing establish a central repository to document Licensing Officers' signature authority.	Office of Defense Trade Controls Licensing	DDTC Licensing has established a central repository to document Licensing Officers' signature authority. The central repository is maintained by the Director of Licensing, rather than at the Division Chief level, to ensure consistency in recordkeeping practices.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
256	(U) Audit of Department of State Directorate of Defense Trade Controls Export Licensing Processes	AUD-SI-19-07	2/5/2019	OIG recommends that the Office of Defense Trade Controls Licensing develop and implement controls for license applications that are referred to other Department bureaus and offices, as required.	Office of Defense Trade Controls Licensing	DDTC Licensing has developed and implemented controls for license applications that are referred to other Department bureaus and offices. Licensing reviewed the existing guidance and coordinated with the interagency on what licenses require staffing. The guidance is maintained on a shared drive so that all DDTC Licensing personnel have ready access.
257	(U) Audit of Department of State Directorate of Defense Trade Controls Export Licensing Processes	AUD-SI-19-07	2/5/2019	OIG recommends that the Office of Defense Trade Controls Licensing develop and implement a process to document, keep current, and communicate referral guidance to all Licensing Officers.	Office of Defense Trade Controls Licensing	DDTC Licensing has developed and implemented a comprehensive process to document, keep current, and communicate referral guidance to all Licensing Officers. Licensing Officers receive an automated notification when the staffing guidance is updated. The guidance is also maintained on a shared drive so that all personnel have ready access.
258	(U) Audit of Department of State Directorate of Defense Trade Controls Export Licensing Processes	AUD-SI-19-07	2/5/2019	OIG recommends that the Office of Defense Trade Controls Policy (a) establish and maintain a database of all current Blue Lantern Officers, their expected arrival and departure dates from assigned posts, and the date when they received Blue Lantern Program training and (b) develop and implement a process to notify posts when a Blue Lantern Officer will be departing in order to begin the reassignment process.	Office of Defense Trade Controls Policy	The Office of Defense Trade Controls Policy (DTCP) works with each post to maintain the currency of its database of Blue Lantern Officers (BLO). It is through this database that DTCP will track the training of new BLOs overseas once a distance learning course is developed and made available by the Foreign Service Institute. While DTCP does not have a role in the BLO assignments process, it will use the projected leave dates recorded in this database to track the arrival of new BLOs on-station, to ensure training materials are properly distributed and made accessible to those individuals.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
259	(U) Audit of Department of State Directorate of Defense Trade Controls Export Licensing Processes	AUD-SI-19-07	2/5/2019	OIG recommends that the Office of Defense Trade Controls Policy develop and implement a training program for new Blue Lantern Officers.	Office of Defense Trade Controls Policy	The Office of Defense Trade Controls Policy (DTCP) is working with the Department's Foreign Service Institute to develop a distance learning program to be administered to all Blue Lantern Officers (BLOs). Once the course is made available, BLOs will be highly encouraged to take the course prior to conducting their first Blue Lantern visit, and in every year thereafter. Publication of the course is projected for summer 2021.

Appendix 3:
U.S. Agency for International Development
Status of Open Government Accountability Office (GAO) Recommendations

Fiscal Year 2022

#	GAO Report Title	GAO Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
1	INTERNATIONAL FOOD ASSISTANCE: USAID Has Controls for Implementation and Support Costs but Should Strengthen Financial Oversight	GAO-17-224-3	4/10/2017	Recommendation: To enhance USAID's financial oversight of implementing partners' spending to implement and support Title II development and emergency projects, the USAID Administrator should take steps to ensure that it collects complete and consistent monitoring data from implementing partners for Title II development and emergency projects on the use of 202(e) funding for cash transfers, food vouchers, and local and regional procurement as well as data on the use of Title II funding for internal transportation, storage, and handling (ITSH) costs, in accordance with established requirements.	BHA	Recommendation sent to GAO for closure on 12/22/20.
2	HUMAN TRAFFICKING: State and USAID Should Improve Their Monitoring of International Counter-trafficking Projects	GAO-19-77-1	12/4/2018	The Administrator of USAID should establish additional controls to improve the consistency and completeness of performance information that USAID uses to monitor counter-trafficking in persons projects. (Recommendation 5)	DDI	On February 9, 2021, GAO requests additional supporting documentation to substantiate recommendation closure.
3	Information Technology: Agencies Need to Fully Implement Key Workforce Planning Activities, 102588	GAO-20-129-1	10/30/2019	The Administrator of the U.S. Agency for International Development should ensure that the agency fully implements each of the seven key IT workforce planning activities it did not fully implement. (Recommendation 18)	HCTM	Recommendation sent to GAO for closure on 1/8/21.
4	FEDERAL RESEARCH: Additional Actions Needed to Improve Public Access to Research Results,	GAO-20-81-1	11/21/2019	The U.S. Agency for International Development Administrator should complete development of data management plan requirements for extramural researchers. (Recommendation 11)	HCTM	Recommendation sent to GAO for closure on 1/22/21.

#	GAO Report Title	GAO Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
5	FEDERAL RESEARCH: Additional Actions Needed to Improve Public Access to Research Results,	GAO-20-81-2	11/21/2019	The U.S. Agency for International Development Administrator should complete development of and provide training for agency officials or others involved in reviewing the merits of researchers' data management plans. (Recommendation 13)	HCTM	Recommendation sent to GAO for closure on 1/22/21.

Appendix 3:
U.S. Agency for International Development
Status of Open Office of Inspector General (OIG) Recommendations

Fiscal Year 2022

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
1	Financial Audit of Fund Accountability Statement of American University of Afghanistan, Support of the American University of Afghanistan Project, Cooperative Agreement AID-306-A-13-00004, July 01, 2017 to June 30, 2018	8-306-19-113-R-1	9/25/2019	USAID/Afghanistan determine the allowability of $223,571 in questioned costs ($36,000 ineligible, $187,571 unsupported) on page 45 to 49 of the audit report and recover any amount that is unallowable.	BUREAU FOR ASIA	American University of Afghanistan Project, Cooperative's appeal was resolved for collection of questioned cost. A bill of collection for $73,542 was issued to American University of Afghanistan Project, Cooperative, currently awaiting payment. Estimated closure is March 31, 2021.
2	Financial Audit of Fund Accountability Statement of American University of Afghanistan, Support of the American University of Afghanistan Project, Cooperative Agreement AID-306-A-13-00004, July 01, 2017 to June 30, 2018	8-306-19-113-R-2	9/25/2019	USAID/Afghanistan verify that the American University of Afghanistan corrects the one instances of material weakness in internal control detailed on pages 46 & 47 of the audit report.	BUREAU FOR ASIA	Contracting Officer is reviewing documents provided by the American University of Afghanistan to substantiate closure of the recommendation. Estimated closure is March 31, 2021.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
3	Financial Audit of Fund Accountability Statement of American University of Afghanistan (AUAF), Support of the American University of Afghanistan Project, Cooperative Agreement AID-306-A-13-00004, July 01, 2017 to June 30, 2018	8-306-19-113-R-3	9/25/2019	USAID/Afghanistan verify that AUAF corrects the five instances of material noncompliance detailed on pages 45-47 and 50-54 of the audit report.	BUREAU FOR ASIA	Contracting Officer is reviewing documents provided by the American University of Afghanistan to substantiate closure of the recommendation. Estimated closure is March 31, 2021.
4	Financial Audit of USAID Resources Managed by Brams d.o.o. for the USAID Alliance for Agribusiness Development, Grant Agreement AID-168-G-15-00001, May 18, 2015, to December 31, 2015	8-168-20-012-R-1	10/24/2019	USAID/Bosnia and Herzegovina's agreement officer determine the allowability of $272, 885 in questioned costs ($241 ineligible and $272,644 unsupported) on pages 10 and 14 of the audit reports and recover any amount that is unallowable.	BUREAU FOR EUROPE AND EURASIA	USAID/Bosnia and Herzegovina signed an Installment Payment Agreement with Brams specifying the sustained amount of $202,000 and repayment period of 36 months; the first installment starting December 1, 2020. The final action target date is December 1, 2023.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
5	Audit of USAID Resources Managed by African Development Solutions in Kenya Under Multiple Agreements, January 1 to December 31, 2014	4-000-17-132-R-2	7/26/2017	DCHA/FFP determine the allowability of the $101,902 in unsupported questioned costs identified on page I-21 of Gelman, Rosenburg & Freedman's audit report and the difference between the indirect cost rate charges and the approved rate of 14.41 percent for agreement AID-FFP-G-14-00001 on pages I-6 to I-7 and recover from the African Development Solutions the amounts determined to be unallowable.	BUREAU FOR HUMANITARIAN ASSISTANCE	A bill of collection for $101,902 was issued to African Development Solutions, currently awaiting payment. Estimated closure is March 31,2021.
6	Audit of USAID Resources Managed by African Development Solutions in Kenya Under Multiple Agreements, January 1 to December 31, 2014	4-000-17-132-R-5	7/26/2017	DCHA/FFP determine whether outstanding advances to African Development Solutions for agreement AID-FFP-G-14-00001 are excessive, and if so, request a refund for funds in excess of immediate disbursing needs in accordance with ADS 636.3.3.2.	BUREAU FOR HUMANITARIAN ASSISTANCE	The audit recommendation resolution is contingent upon approval of the Negotiated Indirect Cost Rate Agreement. Final Action Target Date is March 29, 2021.
7	Audit of USAID Resources Managed by African Development Solutions in Kenya Under Multiple Agreements, January 1 to December 31, 2014	4-000-17-132-R-7	7/26/2017	DCHA/FFP follow up on unexpended funds African Development Solutions owed to USAID from agreement AID-FFP-G-11-00068 that ended before December 31, 2013, as shown on page I-12 of Gelman, Rosenburg & Freedman's audit report and determine any actions necessary in accordance with the standard provisions for non-U.S., nongovernmental organizations, paragraph RAA1.h.	BUREAU FOR HUMANITARIAN ASSISTANCE	The audit recommendation resolution is contingent upon approval of the Negotiated Indirect Cost Rate Agreement. Final Action Target Date is March 29, 2021.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
8	Lessons from USAID's Ebola Response Highlight the Need for a Public Health Emergency Policy Framework	9-000-18-001-P-2	1/24/2018	The Assistant to the Administrator for Policy, Planning and Learning work with other U.S. agencies to clearly identify, and regularly test, roles and capabilities, and responsibilities for use in a future international public health emergency. This should include policy related to the Office of U.S. Foreign Disaster Assistance's use of the Mission Tasking Matrix with the Department of Defense. And agreements should specify operational details; clearly define roles and responsibilities; and ensure a common understanding of standardized language.	BUREAU FOR HUMANITARIAN ASSISTANCE	Recommendation closure request was sent to OIG on March 31, 2020. Currently awaiting OIG closure confirmation.
9	Audit of USAID's Financial Statements for Fiscal Years 2019 and 2018	0-000-20-006-C-5	11/19/2019	USAID's Chief Financial Officer provide training on the accrual calculation process for the obligation officers/program managers (i.e., COR/AOR).	BUREAU FOR MANAGEMENT	Recommendation closure request was sent to OIG on February 19, 2021. Currently awaiting OIG closure confirmation.
10	Audit of USAID's Financial Statements for Fiscal Years 2019 and 2018	0-000-20-006-C-12	11/19/2019	USAID's Chief Financial Officer require that ISSOs formally approve access to the System via the System Access Signature Form.	BUREAU FOR MANAGEMENT	Recommendation closure request was sent to OIG on February 17, 2021. Currently awaiting OIG closure confirmation.
11	Audit of USAID's Financial Statements for Fiscal Years 2019 and 2018	0-000-20-006-C-17	11/19/2019	USAID's Chief Financial Officer ensure that System administrators are notified promptly when accounts are not needed due to contractor or employee termination, and that such accounts are	BUREAU FOR MANAGEMENT	Recommendation closure request was sent to OIG on July 1, 2020. Currently

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
				promptly disabled.		awaiting OIG closure confirmation.
12	Audit of USAID's Financial Statements for Fiscal Years 2019 and 2018	0-000-20-006-C-19	11/19/2019	USAID's Chief Financial Officer update the System roles documents to include all roles and identifying all segregation of duty conflicts.	BUREAU FOR MANAGEMENT	Recommendation closure request was sent to OIG on July 2, 2020. Currently awaiting OIG closure confirmation.
13	Audit of USAID's Financial Statements for Fiscal Years 2019 and 2018	0-000-20-006-C-9	11/19/2019	USAID's Chief Financial Officer update password settings for the databases supporting the System to ensure they are compliant with the Agency's requirements.	BUREAU FOR MANAGEMENT	Recommendation closure request was sent to OIG on January 10, 2020. Currently awaiting OIG closure confirmation.
14	Audit Report on Audit of Incurred Costs at Plantados until Freedom and Democracy in Cuba for the Three-Year Period Ending June 30, 2008	0-000-09-011-N-1	5/18/2009	USAID Cuba Program Agreement Officer determine the allowability of the $97,824 in questioned unsupported direct costs incurred and reimbursed and collect any disallowed amounts from Plantados until Freedom and Democracy in Cuba.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
15	Audit Report on Audit of Incurred Costs at Plantados until Freedom and Democracy in Cuba for the Three-Year Period Ending June 30, 2008	0-000-09-011-N-2	5/18/2009	USAID Cuba Program Agreement Officer determine the allowability of $45,429 in questioned ineligible direct costs and collect any disallowed amounts from Plantados until Freedom and Democracy in Cuba.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
16	Agency Contracted Closeout Audit of USAID Resources Managed by the Zambia Agricultural Commodities Agency Under Cooperative Agreement No. 690-A-00-04-00342-04 for the Period January 1, 2005, to June 30, 2007	4-611-10-003-N-1	7/23/2010	USAID/Zambia determine the allowability of $173,785 in questioned costs ($18,890 ineligible, $154,895 unsupported) detailed on page 7 of Pricewaterhouse Coopers' audit report and recover from the Zambia Agricultural Commodities Agency any amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
17	Financial Audit of Technologist Inc. Costs Incurred/Billed under USAID Task Order No. 306-O-00-04-00539-00, for Afghanistan Industrial Estate Development Program for the Period from May 24, 2004, Through December 31, 2007	F-306-11-001-D-1	8/23/2011	USAID/Afghanistan determine the allowability and recover, as appropriate, questioned costs of $6,563,050.00 ($6,294,472.00 as ineligible cost and $268,578.00 as unsupported cost) detailed on pages 4 through 20 of the report.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						and procedures
18	Audit of USAID Resources Managed by Light and Courage Centre Trust Under Agreement No. GHH-A-00-07-00017 for the 27 Months Ended September 30, 2010	4-936-12-051-R-1	2/27/2012	M/OAA/CAS/CAM determine the allowability of the $138,877 in questioned costs ($85,065 ineligible and $53,812 unsupported) identified on pages 25 and 56 of Grant Thornton's audit report and recover from Light and Courage Centre Trust the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
19	Report on Agreed-Upon Forensic Procedures Performed on Procurement Costs Incurred by Rafi Peer Theatre Workshop to Implement Pakistan Children Television Project, USAID/Pakistan Agreement No. 391-A-00-10-01161-00, for the Period From May 07, 2010, to May 31, 2012	G-391-12-001-O-1	7/31/2012	USAID/Pakistan determine the allowability and recover, as appropriate, unsupported questioned costs of $2,030,417 identified in the report on pages 12 and 13, and further detailed in Findings on pages 16 through 43 of the agreed-upon procedures report.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
20	Closeout Audit of the Project "Operation of the Anti-Human Trafficking Halfway Houses, and Mobilizing and Building Capacity of Multi-Stakeholders in Philippine Southern Backdoors and Other Identified Trafficking Hotpots (PORT Project)," USAID/Philippines' Cooperative Agreement No. 492-A-00-05-00024-00, Managed by the Visayan Forum Foundation, Inc. (VFFI), for the Period From September 30, 2005, to December 31, 2011	5-492-13-028-R-1	7/18/2013	USAID/Philippines determine the allowability and recover, as appropriate, questioned costs of $1,412,114 ($271,581 ineligible and $1,140,533 unsupported) detailed on pages 2-3 of this memorandum.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
21	Closeout Audit of the Project "Operation of the Anti-Human Trafficking Halfway Houses, and Mobilizing and Building Capacity of Multi-Stakeholders in Philippine Southern Backdoors and Other Identified Trafficking Hotpots (PORT Project)," USAID/Philippines' Cooperative Agreement No. 492-A-00-05-00024-00, Managed by the Visayan Forum Foundation, Inc. (VFFI), for the Period From September 30, 2005, to December 31, 2011	5-492-13-028-R-2	7/18/2013	USAID/Philippines determine the allowability and recover, as appropriate, questioned cost-sharing contributions of $671,298 (unsupported) and a shortfall in cost-sharing contributions of $38,468 (ineligible) detailed in Finding No. 2 on pages 82 and 84 of the report.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
22	Report on Financial Closeout Audit of USAID Resources Managed by the Rural Justice Center Field Office in Haiti Under Grant Agreement No. S21-G-00-09-00028-00 From June 9, 2009, to August 9, 2010	3-521-13-001-N-1	9/16/2013	USAID/Haiti agreement officer determine the reasonableness of $148,754 in questioned costs incurred for consultants and collect any disallowed amounts from the Rural Justice Center.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						Directives System's policies and procedures
23	Report on Financial Closeout Audit of USAID Resources Managed by the Rural Justice Center Field Office in Haiti Under Grant Agreement No. S21-G-00-09-00028-00 From June 9, 2009, to August 9, 2010	3-521-13-001-N-2	9/16/2013	USAID/Haiti agreement officer determine the allowability of $161,315 in questioned unsupported costs and collect any disallowed amounts from the Rural Justice Center.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
24	Report on Financial Closeout Audit of USAID Resources Managed by the Rural Justice Center Field Office in Haiti Under Grant Agreement No. S21-G-00-09-00028-00 From June 9, 2009, to August 9, 2010	3-521-13-001-N-3	9/16/2013	USAID/Haiti agreement officer determine the allowability of $40,000 in questioned costs incurred for legal services and collect any disallowed amounts from the Rural Justice Center.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
25	Report on Financial Closeout Audit of USAID Resources Managed by the Rural Justice Center Field Office in Haiti Under Grant Agreement No. S21-G-00-09-00028-00 From June 9, 2009, to August 9, 2010	3-521-13-001-N-4	9/16/2013	USAID/Haiti agreement officer determine the allowability of $23,007 in ineligible questioned costs and collect any disallowed amounts from the Rural Justice Center.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
26	Report on Financial Closeout Audit of USAID Resources Managed by the Rural Justice Center Field Office in Haiti Under Grant Agreement No. S21-G-00-09-00028-00 From June 9, 2009, to August 9, 2010	3-521-13-001-N-5	9/16/2013	USAID/Haiti agreement officer determine the allowability of $10,978 in ineligible questioned costs and collect any disallowed amounts from the Rural Justice Center.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
27	Report on Financial Closeout Audit of USAID Resources Managed by the Rural Justice Center Field Office in Haiti Under Grant Agreement No. S21-G-00-09-00028-00 From June 9, 2009, to August 9, 2010	3-521-13-001-N-6	9/16/2013	USAID/Haiti agreement officer determine the allowability of the $93,555 in questioned actual cost over budget and collect any disallowed amounts from the Rural Justice Center.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						and procedures
28	Report on Financial Closeout Audit of USAID Resources Managed by the Rural Justice Center Field Office in Haiti Under Grant Agreement No. S21-G-00-09-00028-00 From June 9, 2009, to August 9, 2010	3-521-13-001-N-7	9/16/2013	USAID/Haiti agreement officer determine the allowability of the $16,291 in questioned costs incurred for grant funds received in excess of costs reported and collect any disallowed amounts from the Rural Justice Center.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
29	Southern Africa Enterprise Development Fund, OMB Circular A-133 Audit Report for Fiscal Year Ended September 30, 2012	3-000-14-001-E-1	12/4/2013	USAID/Southern Africa determine the allowability of $1,109,459 in questioned costs for excess compensation and collect, as appropriate, any disallowed amounts from the Southern Africa Enterprise Development Fund.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
30	Southern Africa Enterprise Development Fund, OMB Circular A-133 Audit Report for Fiscal Year Ended September 30, 2012	3-000-14-001-E-3	12/4/2013	USAID/Southern Africa's mission director determine the reasonableness of $1.3 million in management fees SAEDF paid ICP and collect any amount determined to be unreasonable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
31	Agency Contracted Audit of USAID Resources Managed by siyaJabula siyaKhula Under Cooperative Agreement No. AID-674-A-12-00025 for the Year Ended December 31, 2013	4-674-15-001-N-1	10/28/2014	USAID/South Africa determine the allowability of the $153,656 in questioned costs ($9,524 ineligible and $144,132 unsupported) identified on page 17 of Henk Prinsloo & Partners' audit report and recover from the siyaJabula siyaKhula the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
32	Closeout Audit of USAID Resources Managed by Hope Worldwide Nigeria (HWWN) Under the assistance and Care for Children Orphaned and at Risk (ACCORD) Project (Agreement Number AID-620-A-00-08-00111) for the Period March 13, 2008, to October 11, 2013	7-620-15-001-N-1	9/23/2015	USAID/Nigeria determine the allowability of $5,672,236 in unsupported questioned costs (page 6 of the audit report) and recover from Hope Worldwide Nigeria the amount determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						and procedures
33	Closeout Audit of USAID Resources Managed by Hope Worldwide Nigeria (HWWN) Under the assistance and Care for Children Orphaned and at Risk (ACCORD) Project (Agreement Number AID-620-A-00-08-00111) for the Period March 13, 2008, to October 11, 2013	7-620-15-001-N-2	9/23/2015	USAID/Nigeria determine the allowability of $399,198 in ineligible questioned costs (page 6 of the audit report) and recover from Hope Worldwide Nigeria the amount determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
34	Closeout Audit of USAID Resources Managed by Hope Worldwide Nigeria (HWWN) Under the assistance and Care for Children Orphaned and at Risk (ACCORD) Project (Agreement Number AID-620-A-00-08-00111) for the Period March 13, 2008, to October 11, 2013	7-620-15-001-N-6	9/23/2015	USAID/Nigeria verify that Hope Worldwide Nigeria liquidate the advances identified on page 4 of the report and recover from Hope Worldwide Nigeria the amount determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
35	Agency Contracted Audit of USAID Resources Managed by Agribusiness in Sustainable Natural African Plant Product (ASNAPP) Under Cooperative Agreement No. AID-611-A-12-00003 for the Period February 8, 2012, Through September 30, 2013	4-611-16-003-N-1	1/26/2016	USAID/Zambia determine the allowability of the $702,685 in questioned costs ($6,731 ineligible and $695,954 unsupported) identified on page 17 of Deloitte & Touche's audit report and recover from the Agribusiness in Sustainable Natural African Plant Product the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
36	Closeout Audit of USAID Resources Managed by the Church Alliance for Orphans Under Cooperative Agreement No. 674-A-00-11-00013-00 for the Year Ended February 28, 2014	4-674-16-071-R-1	5/3/2016	USAID/Southern Africa determine the allowability of the $20,057 in ineligible questioned costs comprised of $5,597 in ineligible costs identified on page 27 of PricewaterhouseCoopers' audit report and the $14,460 unexplained difference between the prior year ending fund balance and the current year opening balance included on page 29 and recover from the Church Alliance for Orphans the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
37	Agency Contracted Closeout Audit of USAID Resources Managed by Nkhoma Synod Relief and Development Under Cooperative Agreement Number 674-A-00-11-00029-00, Safe Water, Sanitation, and Hygiene Project, for the Period July 1, 2012, Through February 21, 2014	4-612-16-012-N-1	5/24/2016	USAID/Malawi determine the allowability of the $462,757 in questioned costs ($47,717 ineligible and $415,040 unsupported) identified on pages 11 to 15 of PricewaterhouseCoopers' audit report and recover from the Nkhoma Synod Relief and Development the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						and procedures
38	Africare, OMB Circular A-133 Audit Report for Fiscal Year Ended June 30, 2015	3-000-16-052-T-1	7/7/2016	USAID's Office of Acquisition and Assistance, Cost, Audit and Support determine the allowability of $1,262,423 in unsupported questioned costs and collect as appropriate any disallowed amounts from Africare.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
39	Closeout Financial Audit of the USAID/Pakistan's Agribusiness Project Managed by the Agribusiness Support Fund, Agreement AID-391-A-12-00001, July 1, 2014, to February 9, 2016	G-391-17-023-R-1	5/11/2017	USAID/Pakistan determine the allowability of ineligible questioned cost of $192,423 discussed on page 2 of the memorandum and identified on pages 13 and 14 of the audit report and detailed on pages 15-17 and recover from the recipient any amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
40	Audit of USAID Resources Managed by Women and Law in Southern Africa Educational Trust in Zambia Under Agreement 611-A-13-00002, for the Fiscal Year Ended December 31, 2015	4-611-17-124-R-1	7/18/2017	USAID/Zambia determine the allowability of the $69,856 in ineligible questioned costs identified on page 17 of KPMG's audit report and recover from Women and Law in Southern Africa Educational Trust the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
41	Audit of USAID Resources Managed by Regional AIDs Training Network in East Africa Under Cooperative Agreement AID-623-A-14-00007, From October 27, 2014, to March 31, 2016	4-623-17-118-R-1	7/18/2017	USAID/Kenya and East Africa determine the allowability of the $115,137 questioned costs ($26,711 in ineligible and $88,426 in unsupported questioned costs) identified on pages 18 and 43 of Grant Thornton's audit report and recover from the Regional AIDs Training Network the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
42	Audit of USAID Resources Managed by African Development Solutions in Kenya Under Multiple Agreements, January 1 to December 31, 2014	4-000-17-132-R-1	7/26/2017	M/OAA/CAS/CAM determine the allowability of the $3,054,996 in questioned costs ($2,856,719 ineligible and $198,277 unsupported) identified on pages I-21 and II-1 of Gelman, Rosenburg & Freedman's audit report and the difference between the indirect cost rate charges and the approved rate of 14.41 percent for agreements AID-OFDA-G-13-00165, AID-OFDA-G-13-00145, and AID-623-A-12-00026 on pages I-6 to I-7 and recover from the African Development Solutions the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
43	Audit of USAID Resources Managed by Agribusiness in Sustainable Natural African Plant Product in Zambia Under Cooperative Agreement AID-611-A-12-00003, October 1, 2013, to September 30, 2014	4-611-17-164-R-1	9/20/2017	USAID/Zambia determine the allowability of the $8,522 in questioned costs ($3,275 ineligible and $5,247 unsupported) identified on pages 14 to 15 of Deloitte & Touche's audit report and recover from Agribusiness in Sustainable Natural African Plant Product the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
44	Audit of USAID Resources Managed by Agribusiness in Sustainable Natural African Plant Product in Zambia Under Cooperative Agreement AID-611-A-12-00003, October 1, 2014, to September 30, 2015	4-611-17-165-R-1	9/20/2017	USAID/Zambia determine the allowability of the $156,500 in questioned costs ($1,697 ineligible and $154,803 unsupported) identified on pages 14 and 17 of Deloitte & Touche's audit report and recover from Agribusiness in Sustainable Natural African Plant Product the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						System's policies and procedures
45	Closeout Audit of USAID Resources Managed by Agribusiness in Sustainable Natural African Plant Product in Zambia Under Cooperative Agreement AID-611-A-12-00003, October 1, 2015, to February 5, 2016	4-611-17-166-R-1	9/20/2017	USAID/Zambia determine the allowability of the $463,327 in unsupported questioned costs identified on pages 14 to 15 of Deloitte & Touche's audit report and recover from Agribusiness in Sustainable Natural African Plant Product the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
46	Audit of Incurred Costs for AMEX International, Inc. for the Fiscal Years Ended December 31, 2008 Through 2010	3-000-18-003-I-1	10/30/2017	USAID's Office of Acquisition and Assistance, Cost, Audit and Support determine the allowability of $3,169,020 in unsupported direct questioned costs and collect any disallowed amounts from AMEX International, Inc. (see pages 2, and 11 through 15 of the report).	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
47	Closeout Audit of Costs Incurred by Chemonics To Pursue a Peaceful Transition to a Democratic and Stable Syria, Under Award AID-OAA-TO-13-00003, January 7, 2013, to October 6, 2016	3-000-18-008-N-4	2/20/2018	We recommend that USAID's Office of Acquisition and Assistance, Cost Audit and Support Division determine the allowability of the $5,588,215 in questioned costs identified as unsupported and recover from Chemonics any amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
48	Audit of USAID Resources Managed by Women and Law in Southern Africa Research and Educational Trust in Zambia Under Agreement AID-611-A-13-00002, January 1, 2016, to December 31, 2016	4-611-18-080-R-1	4/16/2018	USAID/Zambia determine the allowability of $51,870 in questioned costs ($46,706 ineligible, $5,164 unsupported) on pages 13 and 15 of the audit report.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
49	Closeout Financial Audit of USAID Resources Managed by [REDACTED] Under Multiple Agreements, October 1, 2012, to June 26, 2018	4-613-19-004-N-1	12/18/2018	USAID/Zimbabwe determine the allowability of $648,515 in questioned costs ($277,171 ineligible, $371,344 unsupported) identified on page 15 to 18 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						and procedures
50	Closeout Financial Audit of USAID Resources Managed by [REDACTED] in Zimbabwe Under Agreement AID-613-A-12-00009, January 1, 2013, to June 26, 2018	4-613-19-006-N-1	12/19/2018	USAID/Zimbabwe determine the allowability of $358,240 in ineligible questioned costs on pages 11, 13, and 15 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
51	Closeout Financial Audit of USAID Resources Managed by [REDACTED] in Zimbabwe Under Agreement AID-613-A-12-00009, January 1, 2013, to June 26, 2018	4-613-19-006-N-4	12/19/2018	USAID/Zimbabwe determine the allowability of $224,200 in ineligible questioned costs pertaining to accrued leave and termination benefits and take appropriate actions regarding any amount that is unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
52	Financial Closeout Audit of USAID Resources Managed by Tanzania Council for Social Development in Tanzania Under Grant Agreement AID-621-G-14-00005, July 1, 2015, to December 9, 2017	4-621-19-044-R-1	2/12/2019	USAID/Tanzania determine the allowability of $243,662 in questioned costs ($7,415 ineligible and $236,247 unsupported) on pages 11, 14, and 17 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
53	Fund Accountability Statement Closeout Audit of Business Startup Center Bitola, Business Without Borders Project in Macedonia, Cooperative Agreement AID-165-A-00-11-00104, December 28, 2010, to November 7, 2014	8-165-19-008-N-1	3/24/2019	USAID/North Macedonia determine the allowability and collect as appropriate, $211,456 ($4,323 ineligible, and $207,133 unsupported), unliquidated advances, and any excess cash, as detailed in pages 23-28 and the transmittal letter of BDO's report.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
54	Fund Accountability Statement Closeout Audit of Business Startup Center Bitola, Business Without Borders Project in Macedonia, Cooperative Agreement AID-165-A-00-11-00104, December 28, 2010, to November 7, 2014	8-165-19-008-N-2	3/24/2019	USAID/North Macedonia's determine the allowability and collect as appropriate, the shortfall amount of $30,516, as detailed in page 11 of BDO's report.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						and procedures
55	Financial Audit of the Costs Incurred by Echo West Under Multiple Task Orders in Pakistan, February 27, 2015, to March 2, 2016	5-391-19-001-N-1	4/26/2019	Determine the allowability of $518,700 in questioned costs ($27,047 ineligible and $491,653 unsupported) identified on page 25, and further detailed on pages 26-27 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
56	Financial Audit of USAID Resources Managed by Christian Aid in Multiple Countries Under Multiple Awards, April 1, 2017, to March 31, 2018	4-615-19-121-R-3	8/7/2019	OAA/CAS/CAM determine the allowability of $193 in unsupported questioned costs on pages 19 and 21 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
57	Financial Audit of USAID Resources Managed by African Development Solutions in Multiple Countries Under Multiple Awards, January 1, 2015, to December 31, 2016	4-000-19-129-R-1	9/26/2019	M/OAA/CAS/CAM determine the allowability of $2,516,933 in ineligible questioned costs on page 19 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
58	Financial Closeout Audit of USAID Resources Managed by African Development Solutions in Kenya Under Agreement AID-623-A-12-00026, January 1, 2016, to February 28, 2017	4-623-19-016-N-1	9/27/2019	USAID/Kenya and East Africa determine the allowability of $1,089,453 in ineligible questioned costs on page 17 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures
59	Audit of USAID's Financial Statements for Fiscal Years 2019 and 2018	0-000-20-006-C-6	11/19/2019	USAID's Chief Financial Officer require secondary reviews and approval of entries and adjustment vouchers, including accrual entries into the accounting system.	BUREAU FOR MANAGEMENT	USAID's Chief Financial Officer is in the process of performing a secondary review and approval of entries and adjustment vouchers, including accrual entries into the accounting

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						system. Upon completion closure of this recommendation will be submitted.
60	USAID Generally Implemented an Effective Information Security Program for Fiscal Year 2018 in Support of FISMA	A-000-19-005-C-1	11/21/2018	USAID's chief information officer update the Agency's Vulnerability Management Standard Operating Procedure to (1) define the timeframe for applying system patches and (2) document and implement a process to validate that system patches are applied according to the timeframe specified in the procedure.	BUREAU FOR MANAGEMENT	As a result of the OIG's recommendation closure review, additional supporting documentation was requested to substantiate that vulnerabilities were remediated by the required timeframe or follow Agency procedures when the vulnerability could not be remediated by the defined timeframe. Final Action Target Date May 1, 2021.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
61	USAID Generally Implemented an Effective Information Security Program for Fiscal Year 2019 in Support of FISMA	A-000-20-005-C-2	2/7/2020	USAID's chief information officer should update its hardware inventory policies to reflect the current operating environment.	BUREAU FOR MANAGEMENT	USAID is completing corrective action to document and implement an inventory validation process to accurately and completely document information technology investments, the information technology systems inventory, and the Federal Information Security Modernization Act reportable systems inventory. Final Action Target October 30, 2021.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
62	Additional Actions Are Needed To Improve USAID's Democracy, Human Rights, and Governance Programs	8-000-20-001-P-2	11/26/2019	Center of Excellence on Democracy, Human Rights, and Governance In coordination with the Office of Acquisition and Assistance, conduct a review to determine whether opportunities exist to work with a broader range of implementers providing political party assistance. This review should consider funding trends, insights, and suggestions from the Agency's democracy, human rights, and governance experts and Federal acquisition and assistance best practices. Based on this review, design and implement any actions needed to ensure integrity in USAID's democracy, human rights, and governance programs.	BUREAU FOR DEVELOPMENT, DEMOCRACY, AND INNOVATION	Center of Excellence on Democracy, Human Rights, and Governance is currently reviewing supporting documentation in preparation for recommendation closure. Final Action Target March 31, 2021.
63	Additional Actions Are Needed To Improve USAID's Democracy, Human Rights, and Governance Programs	8-000-20-001-P-3	11/26/2019	Center of Excellence on Democracy, Human Rights, and Governance In coordination with the Bureau for Policy, Planning, and Learning, conduct an implementation assessment or technical content review of the Agency's Political Party Assistance Policy, in compliance with ADS chapter 200 requirements. This assessment or review should consider the length of policy waivers and determining eligibility of political parties that seek to undermine U.S. national security priorities to receive USAID assistance. Based on the assessment or review, revise the policy to ensure waivers and political parties would not compromise the integrity of USAID's democracy, human rights, and governance programs.	BUREAU FOR DEVELOPMENT, DEMOCRACY, AND INNOVATION	Center of Excellence on Democracy, Human Rights, and Governance is currently reviewing supporting documentation in preparation for closure. Final Action Target March 31, 2021.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
64	Additional Actions Are Needed To Improve USAID's Democracy, Human Rights, and Governance Programs	8-000-20-001-P-4	11/26/2019	Center of Excellence on Democracy, Human Rights, and Governance Develop and implement an action plan to review the products and services that the Center of Excellence on Democracy, Human Rights, and Governance provides internal and external stakeholders and make any necessary adjustments to align them to current programmatic areas of focus.	BUREAU FOR DEVELOPMENT, DEMOCRACY, AND INNOVATION	Center of Excellence on Democracy, Human Rights, and Governance is currently reviewing supporting documentation in preparation for recommendation closure. Final Action Target March 31, 2021.
65	Additional Actions Are Needed To Improve USAID's Democracy, Human Rights, and Governance Programs	8-000-20-001-P-6	11/26/2019	Center of Excellence on Democracy, Human Rights, and Governance Develop and issue interagency coordination guidance for USAID staff to promote and strengthen the use of democracy, human rights, and governance work groups in the field as a best practice.	BUREAU FOR DEVELOPMENT, DEMOCRACY, AND INNOVATION	Center of Excellence on Democracy, Human Rights, and Governance is in the process of developing an interagency coordination guidance for USAID staff to promote and strengthen the use of democracy, human rights, and governance work groups in the field as a best practice. Estimated closure is June 30, 2021.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
66	Report on Audit of Cost Incurred by DPW Training & Associates, LLC. Under Contract Numbers RLA-C-00-10-00007-00 and AID-OAA-C-10-00125 for the Period January 1, 2011, Through September 30, 2014	3-000-16-006-N-1	3/11/2016	Report on Audit of Cost Incurred by DPW Training & Associates, LLC. Under Contract Numbers RLA-C-00-10-00007-00 and AID-OAA-C-10-00125 for the Period January 1, 2011, Through September 30, 2014	OFFICE OF THE GENERAL COUNSEL-APPEALS	The recommendation is in litigation with the Civilian Board of Contract Appeals.
67	Financial Audit of Costs Incurred In Afghanistan by Advanced Engineering Associates International, Inc. (AEAI) Under Sheberghan Gas Generation Activity (SGGA), Task Order No. AID-306-TO-12-00002, for the Period From October 1, 2013, Through December 31, 2015	F-306-17-001-N-1	10/2/2016	Financial Audit of Costs Incurred In Afghanistan by Advanced Engineering Associates International, Inc. (AEAI) Under Sheberghan Gas Generation Activity (SGGA), Task Order No. AID-306-TO-12-00002, for the Period From October 1, 2013, Through December 31, 2015	OFFICE OF THE GENERAL COUNSEL-APPEALS	The recommendation is in litigation with the Civilian Board of Contract Appeals.
68	Audit of USAID Resources Managed by Tolon District Assembly in Ghana Under Agreement 641-AA-14-IL#004, September 3, 2014, to December 31, 2016	4-641-18-098-R-1	8/23/2018	USAID/Ghana determine the allowability of $183,398 in questioned costs ($5,917 ineligible and $177,481 unsupported) on pages 14 and 16 of the audit report and recover any amount that is unallowable.	BUREAU FOR AFRICA	A bill of collection for $5,917 was issued to University of Engineering and Technology, Peshawar, currently awaiting payment. Estimated closure is 3/31/2021.
69	Audit of USAID Resources Managed by Tolon District Assembly in Ghana Under Agreement 641-AA-14-IL#004, September 3, 2014, to December 31, 2016	4-641-18-098-R-3	8/23/2018	USAID/Ghana verify that Tolon District Assembly corrects the one instance of material noncompliance detailed on page 25 of the audit report.	BUREAU FOR AFRICA	The Mission is currently requesting and reviewing Tolon District Assembly's supporting documentation to confirm that corrective actions have been taken

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						on the one material noncompliance. Final Action Target Date March 31, 2021
70	More Guidance and Tracking Would Bolster USAID's Health Systems Strengthening Efforts	4-936-20-001-P-1	10/21/2019	USAID Bureau for Global Health develop and disseminate guidelines to help each mission determine an appropriate balance between health systems strengthening activities and direct health interventions.	BUREAU FOR GLOBAL HEALTH	Bureau for Global Health is currently developing and disseminating guidelines to assist missions in determining an appropriate balance between health systems, strengthening activities, and direct health interventions. Final Action Target Date March 31, 2021
71	More Guidance and Tracking Would Bolster USAID's Health Systems Strengthening Efforts	4-936-20-001-P-3	10/21/2019	USAID Bureau for Global Health identify and disseminate a set of indicators for missions to track HSS progress, such as implementation, achievement, and improvement at the country level.	BUREAU FOR GLOBAL HEALTH	Bureau for Global Health is currently identifying and disseminating a set of indicators for missions to track HSS progress, such as implementation, achievement, and improvement at the country level. Final Action Target Date June 30, 2021.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
72	Financial Closeout Audit of USAID Resources Managed by Ministry of Health in Liberia Under Multiple Awards, March 1, 2010, to October 21, 2011	4-669-20-002-N-1	12/9/2019	USAID/Liberia determine the allowability of $2,321,354 in unsupported questioned costs on page 4 of the audit report and recover any amount that is unallowable.	BUREAU FOR AFRICA	A bill of collection for $2,321,354 was issued to Ministry of Health in Liberia, currently awaiting payment. Estimated closure is June 30, 2021.
73	Financial Closeout Audit of USAID Resources Managed by Ministry of Health in Liberia Under Multiple Awards, March 1, 2010, to October 21, 2011	4-669-20-002-N-2	12/9/2019	USAID/Liberia determine the allowability of $232,427 in costs based upon General Auditing Commission's disclaimer of opinion and recover from Ministry of health Liberia any amounts determined to be unallowable.	BUREAU FOR AFRICA	A bill of collection for $232,427 was issued to Ministry of Health in Liberia, currently awaiting payment. Estimated closure is June 30, 2021.
74	CARE USA, A-133 Audit Report for Fiscal Year Ended June 30, 2008	0-000-10-012-T-1	3/17/2010	M/OAA/CAS determine the allowability of $851,292 in questioned costs and collect, as appropriate, any disallowed amounts from CARE USA.	BUREAU FOR MANAGEMENT	M/OAA/CAS will be issuing a bill of collection for $94,708
75	CARE USA, OMB Circular A-133 Audit Report for Fiscal Year Ended June 30, 2009	0-000-11-019-T-1	12/9/2010	USAID's Office of Acquisition and Assistance, Cost, Audit and Support, determine the allowability of $325,275 in questioned costs and collect, as appropriate, any disallowed amounts from CARE USA.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/MPBP Compliance Division for Grantee/Contract appeal under ADS 303.
76	CARE USA, OMB Circular A-133 Audit Report for Fiscal Year Ended June 30, 2010	0-000-11-122-T-1	9/16/2011	USAID's Office of Acquisition and Assistance, Cost, Audit, and Support, determine the allowability of $43,682 in questioned costs and collect, as appropriate, any disallowed amounts from CARE USA.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/MPBP Compliance Division for Grantee/Contract appeal under ADS 303.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
77	Agency-Contracted Audit of Locally Incurred Costs in USAID Resources Managed by African Development Solutions in Kenya Under Agreement AID-623-A-12-00026, August 14, 2012, to December 31, 2015	4-623-18-001-N-1	11/28/2017	USAID/Kenya and East Africa determine the allowability of the $17,468,497 in questioned costs ($15,730,500 ineligible and $1,737,997 unsupported) identified on pages 10, 11, 20, and 21 of Ernst & Young's audit report and recover from the African Development Solutions the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/MPBP Compliance Division for Grantee/Contract appeal under ADS 303.
78	Agency-Contracted Audit of Locally Incurred Costs in USAID Resources Managed by African Development Solutions in Kenya Under Agreement AID-623-A-12-00026, August 14, 2012, to December 31, 2015	4-623-18-001-N-4	11/28/2017	USAID/Kenya and East Africa determine the allowability of $4,437,040 in unsupported questioned costs based upon Ernst & Young's disclaimer of opinion and recover from the African Development Solutions any amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/MPBP Compliance Division for Grantee/Contract appeal under ADS 303.
79	Examination of Costs Claimed for Kimetrica, LLC for the Fiscal Years Ended December 31, 2014 and 2015	3-000-20-013-I-1	2/11/2020	USAID's Office of Acquisition and Assistance Cost, Audit and Support Division determine the allowability of $157,375 in USAID'S unsupported direct questioned costs and recover any amount that is unallowable detailed on pages 5 and 12 through16 of the audit report.	BUREAU FOR MANAGEMENT	A bill of collection for $15,749 was issued to Kimetrica, LLC, currently awaiting payment. Estimated closure is August 15, 2021.
80	Examination of Costs Claimed for Kimetrica, LLC for the Fiscal Years Ended December 31, 2014 and 2015	3-000-20-013-I-2	2/11/2020	USAID's Office of Acquisition and Assistance Cost, Audit and Support Division verify that Kimetrica, LLC corrects the material weakness detailed on pages 12 through 14 of the audit report.	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						August 15, 2021.
81	Examination of Costs Claimed for Kimetrica, LLC for the Fiscal Years Ended December 31, 2014 and 2015	3-000-20-013-I-3	2/11/2020	USAID's Office of Acquisition and Assistance Cost, Audit and Support Division verify that Kimetrica, LLC corrects the material instance of noncompliance detailed on pages 12 through14 of the audit report.	BUREAU FOR MANAGEMENT	OAA is currently requesting and reviewing Kimetrica, LLC's supporting documentation to confirm that corrective actions have been taken on the material instance in noncompliance. Final Action Target Date August 15, 2021.
82	Single Audit of Plan International, Inc. for the Fiscal Year Ended June 30, 2017	3-000-20-007-T-1	2/12/2020	USAID's Office of Acquisition and Assistance Cost, Audit and Support Division verify that Plan International, Inc. has corrected the material weakness in internal control over financial reporting detailed on page 40 of the audit report.	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date March,2021.
83	Performance Audit Over the Adequacy and Cost Accounting Standards Compliance of the Disclosure Statement, Revisions 10-13, for Abt Associates Inc., Government Segment	3-000-18-030-I-1	5/2/2018	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division verify that Abt Associates Inc., Government Segment corrects Finding # 1 on pages 7 through 11 of the audit report.	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						confirm that corrective actions have been taken. Final Action Target Date March 31, 2021.
84	Examination of Costs Claimed by Nathan Associates, Inc. for the Fiscal Years Ended December 31, 2008 Through 2010	3-000-18-033-I-1	5/18/2018	USAID's Office of Acquisition and Assistance Cost Audit and Support Division determine the allowability of $1,322,702 in USAID unsupported direct questioned costs and recover any amount that is unallowable detailed on pages 1 and 16 through 22 of the audit report.	BUREAU FOR MANAGEMENT	A bill of collection for $1,322,702 was issued to Nathan Associates Inc. Estimated closure is Sept 30, 2021.
85	Examination of Costs Claimed by Nathan Associates, Inc. for the Fiscal Years Ended December 31, 2008 Through 2010	3-000-18-033-I-2	5/18/2018	USAID's Office of Acquisition and Assistance Cost, Audit and Support Division verify that Nathan Associates, Inc. corrects Findings #1 through # 3 detailed on pages 16 through 24 of the audit report.	BUREAU FOR MANAGEMENT	Bureau for Management/OAA is currently requesting and reviewing Nathan Associates, Inc.'s supporting documentation to confirm that corrective actions have been taken. Final Action Target Date September 30, 2021.
86	Performance Audit Over the Adequacy of Dalberg-Global Development Advisors Accounting System	3-000-19-009-I-1	3/19/2019	USAID's Office of Acquisition and Assistance Cost, Audit, and Support Division verify that Dalberg-Global Development Advisors corrects Findings #1 and #2 detailed on pages 7 through 9 of the audit report.	BUREAU FOR MANAGEMENT	Bureau for Management/OAA is currently requesting and reviewing Dalberg-Global Development's supporting documentation to

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						confirm that corrective actions have been taken. Final Action Target Date June 30, 2021.
87	Independent Audit of Synergy Strategies Group Advisors, LLC's Proposed Amounts on Unsettled Flexibly Priced Contracts for Fiscal Years 2013 Through 2016	3-000-19-005-D-1	6/17/2019	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division verify that Synergy Strategies Group Advisors, LLC corrects the six instances of material noncompliance detailed on page 3 of the audit report.	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date June 15, 2021.
88	Performance Audit of Camp Dresser & McKee International, Inc.'s Accounting System's Adequacy	3-000-19-024-I-1	6/19/2019	USAID's Office of Acquisition and Assistance Cost, Audit and Support Division verify that Camp Dresser & McKee International, Inc. corrects Findings #1 and #2 detailed on pages 5 and 6 and pages 11 through 17 of the report.	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date December 31, 2021.
89	Performance Audit of Camp Dresser & McKee Constructors, Inc.'s Accounting System Administration	3-000-19-027-I-1	6/21/2019	Verify that Camp Dresser & McKee Constructors, Inc. corrects Findings #1 and #2, on pages 13 to 20 of the audit report.	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						corrective actions have been taken. Final Action Target Date December 31, 2021.
90	Closeout Audit of War Child Canada Under USAID Agreement Number AID-OFDA-G-13-00039 for the Fiscal Year Ended December 31, 2015	3-000-19-010-R-3	7/3/2019	OAA/CAS/CAM verify that War Child Canada corrects the five instances of material noncompliance detailed on pages III-1 through III-4 of the audit report.	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date June 30, 2021.
91	Independent Accountant's Report of International Relief and Development Incurred Cost Audit for the Years Ending December 31, 2009, 2011, 2012, 2013, and 2014	3-000-19-031-I-1	7/10/2019	USAID's Office of Acquisition and Assistance Cost, Audit and Support Division determine the allowability of $4,407,986 in direct questioned costs ($49,945 ineligible, $4,358,041 unsupported) on pages 2, and 12 through 30 of the report, and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	Any actions taken on this audit resolution must go through General Counsel until further notice. OAA is currently requesting and reviewing Right to Care's supporting documentation to confirm that corrective actions have been taken on the seven instances of material noncompliance. Final Action

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						Target Date April 30, 2021.
92	Performance Audit Over the Adequacy of Mendez England & Associates' Accounting System Administration	3-000-19-040-I-1	8/13/2019	OAA/CAS/CAM verify that Mendez England & Associates corrects Finding #1 detailed on pages 7 through 9 of the audit report.	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date December 31, 2021.
93	Financial Audit of USAID Resources Managed by African Development Solutions in Multiple Countries Under Multiple Awards, January 1, 2015, to December 31, 2016	4-000-19-129-R-3	9/26/2019	M/OAA/CAS/CAM verify that African Development Solutions corrects the four instances of material noncompliance detailed on pages 35 to 40 of the audit report.	BUREAU FOR MANAGEMENT	OAA is currently requesting and reviewing Right to Care's supporting documentation to confirm that corrective actions have been taken on the seven instances of material noncompliance. Final Action Target Date April 1, 2021.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
94	Single Audit of the Catholic Relief Services - United States Conference of Catholic Bishops and Affiliates for the Fiscal Year Ended September 30, 2018	3-000-20-001-T-2	10/21/2019	USAID's Office of Acquisition and Assistance Cost, Audit and Support Division verify that Catholic Relief Services corrects the material instance of noncompliance detailed on page 21 of the audit report.	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date June 30, 2021.
95	Financial Audit of USAID Resources Managed by Right to Care NPC in Multiple Countries Under Multiple Agreements, for the Year Ended September 30, 2018	4-674-20-007-R-3	10/29/2019	USAID/M/OAA/CAS/CAM determine the allowability of $272,520 in questioned costs ($50 ineligible and $272,470 unsupported) on pages 15 and 17 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	A bill of collection for $32,695 was issued to Right to Care. Estimated date of completion April 22, 2021.
96	Financial Audit of USAID Resources Managed by Right to Care NPC in Multiple Countries Under Multiple Agreements, for the Year Ended September 30, 2018	4-674-20-007-R-4	10/29/2019	USAID/M/OAA/CAS/CAM determine verify that Right to Care NPC corrects the seven instances of material noncompliance detailed on pages 40 to 44, 47, and 48 of the audit report.	BUREAU FOR MANAGEMENT	Bureau for Management/OAA is currently requesting and reviewing Right to Care's supporting documentation to confirm that corrective actions have been taken on the seven instances of material noncompliance. Final Action Target Date April 22, 2021.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
97	Single Audit of Population Services International, for the Fiscal Year Ended December 31, 2017	3-000-20-004-T-1	11/12/2019	USAID's Office of Acquisition and Assistance Cost, Audit and Support Division verify that Population Services International corrects the material weakness in internal control over compliance detailed on pages 54-57 of the audit report.	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date May 11, 2021.
98	Single Audit of Population Services International, for the Fiscal Year Ended December 31, 2017	3-000-20-004-T-2	11/12/2019	USAID's Office of Acquisition and Assistance Cost, Audit and Support Division verify that Population Services International corrects the instance of material noncompliance detailed on pages 54-57 of the audit report.	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date May 11, 2021.
99	Performance Audit Report on the Adequacy of Integra Government Services International, LLC's Accounting System Administration	3-000-20-003-I-1	11/26/2019	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division verify that Integra Government Services International, LLC corrects Finding No. 1, detailed on pages 7 through 9 of the audit report.	BUREAU FOR MANAGEMENT	Bureau for Management/OAA is currently requesting and reviewing Integra Government Services International, LLC's supporting documentation to confirm that corrective actions have been taken. Final Action

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						Target Date June 11, 2021.
100	Independent Audit Report on Checchi and Company Consulting, Inc.'s Proposed Amounts on Unsettled Flexibly Priced Contracts for Fiscal Years 2014 - 2017	3-000-20-007-D-1	12/11/2019	USAID's Office of Acquisition and Assistance Cost, Audit and Support Division verify that Checchi and Company Consulting, Inc. corrects the six instances of material noncompliance detailed on pages 4 and 5 of the audit report.	BUREAU FOR MANAGEMENT	Bureau for Management/OAA is currently requesting and reviewing Checchi and Company Consulting, Inc.'s supporting documentation to confirm that corrective actions have been taken. Final Action Target Date May 21, 2021.
101	Independent Audit Report of Proposed Amounts on Unsettled Flexibly Priced Contracts for John Snow, Inc. for Fiscal Year 2015	3-000-20-008-D-1	1/14/2020	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division determine the allowability of $26,294 in ineligible direct questioned costs detailed on pages 15 through17 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	A bill of collection for $26,294 has been issued to John Snow, Inc. Estimated date of completion July 31, 2021
102	Independent Audit Report of Proposed Amounts on Unsettled Flexibly Priced Contracts for John Snow, Inc. for Fiscal Year 2015	3-000-20-008-D-2	1/14/2020	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division verify that John Snow, Inc. corrects the two instances of material noncompliance detailed on page 3 of the audit report.	BUREAU FOR MANAGEMENT	Bureau for Management/OAA is currently requesting and reviewing Checchi and

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						Company Consulting, Inc.'s supporting documentation to confirm that corrective actions have been taken. Final Action Target Date July 31, 2021.
103	USAID Complied in Fiscal Year 2019 With the Digital Accountability and Transparency Act of 2014	0-000-20-001-C-2	11/8/2019	CFO implement procedures to ensure Agency award documentation is readily available to support the DATA Act audit.	BUREAU FOR MANAGEMENT	Recommendation closure request was sent to OIG on November 18, 2020. Currently awaiting OIG closure confirmation.
104	Financial Audit of Cultivating New Frontiers in Agriculture's Management of the Agricultural Market Development Project in Pakistan, Contract AID-391-C-15-00003, February 23, 2015, to June 30, 2018	5-391-20-002-N-1	12/18/2019	USAID/Pakistan determine the allowability of $59,134 in questioned costs ($58,471 ineligible and $663 unsupported) identified in the report on cost representation statement on page 8 and detailed on pages 3 and 9 of the audit report and recover any amount that is unallowable.	BUREAU FOR ASIA	The Mission is currently reviewing supporting documentation to substantiate recommendation closure. Final Action Target April 15, 2021.
105	Financial Audit of Cultivating New Frontiers in Agriculture's Management of the Agricultural Market Development Project in Pakistan, Contract AID-391-C-15-00003, February 23, 2015, to June 30, 2018	5-391-20-002-N-2	12/18/2019	USAID/Pakistan verify that Cultivating New Frontiers in Agriculture corrects the two material weaknesses in internal control identified in the report on internal control and detailed on pages 14 and 18 of the audit report.	BUREAU FOR ASIA	The Mission is currently reviewing supporting documentation to substantiate recommendation closure. Final Action Target April 15, 2021.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
106	Financial Audit of Cultivating New Frontiers in Agriculture's Management of the Agricultural Market Development Project in Pakistan, Contract AID-391-C-15-00003, February 23, 2015, to June 30, 2018	5-391-20-002-N-3	12/18/2019	USAID/Pakistan verify that Cultivating New Frontiers in Agriculture corrects the ten instances of material noncompliance identified in the report on compliance and detailed on pages 21-25, 28-35, and 37-39 of the audit report.	BUREAU FOR ASIA	The Mission is currently reviewing supporting documentation to substantiate recommendation closure. Final Action Target April 15, 2021.
107	Financial Audit of Indus Basin SME Investments Limited's Management of the Pakistan Private Investment Initiative Project, Cooperative Agreement AID-391-A-14-00001, January 1 to December 31, 2017	5-391-20-011-R-1	1/21/2020	USAID/Pakistan verify that Indus Basin SME Investments Limited corrects the four material instances of noncompliance detailed on pages 16 and 18-19 of the audit report.	BUREAU FOR ASIA	The Mission is currently reviewing supporting documentation to substantiate recommendation closure. Final Action Target March 31, 2021.
108	Financial Audit of University of Engineering and Technology, Peshawar Under Two USAID Awards in Pakistan, July 1, 2017, to June 30, 2018	5-391-20-012-R-1	1/29/2020	USAID/Pakistan determine the allowability of $134,803 in questioned costs ($131,656 ineligible and $3,147 unsupported) on pages 40 and 42 of the audit report and recover any amount that is unallowable.	BUREAU FOR ASIA	A bill of collection for $8,134 was issued to University of Engineering and Technology, Peshawar, currently awaiting payment. Estimated closure is April 30, 2021.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
109	Financial Audit of the Financial Markets Development Program in Pakistan Managed by The Pragma Corporation, Contract AID-391-C-15-00013, March 1, 2016, to December 31, 2017	5-391-20-004-N-1	2/24/2020	USAID/Pakistan determine the allowability of $2,988,074 in questioned costs (ineligible) identified in the report on the fund accountability statement on page 9 and fund accountability statement on page 11 and detailed on pages 17-24 of the audit report and recover any amount that is unallowable.	BUREAU FOR ASIA	A bill of collection for $1,366 was issued to Financial Markets Development Program, currently awaiting payment. Estimated closure is April 28, 2021.
110	Financial Audit of USAID Resources Managed by A.A. Associates in Pakistan Under Contract AID-391-I-11-00003, For the Year Ended June 30, 2018	5-391-20-018-R-1	3/5/2020	USAID/Pakistan determine the allowability of $872,782 in ineligible questioned costs identified on page 14 and further detailed on pages 17-28 of the audit report and recover any amount that is unallowable.	BUREAU FOR ASIA	A bill of collection for $13,643 was issued to A.A. Associates, currently awaiting payment. Estimated closure is May 12, 2021.
111	Audit of the Regional Government of San Martin's Management of Grant Agreements 527-0423 and 527-0426, January 1 to December 31, 2016	1-527-18-033-R-2	9/25/2018	USAID/Peru determine the allowability of $28,848 in questioned costs ($7,698 ineligible, $21,150 unsupported) on page 21 of the audit report and recover any amount that is unallowable.	BUREAU FOR LATIN AMERICA AND THE CARRIBEAN	A bill of collection for $1,366 was issued to Regional Government of San Martin, currently awaiting payment. Estimated closure is June 30, 2021.
112	Financial Audit of Costs Incurred in Afghanistan by the Citizen Network for Foreign Affairs Under the Afghanistan Farm Services Alliance Program, Cooperative Agreement No. 306-A-00-08-00517-00 for the Period January 1, 2010, to September 30, 2011	F-306-13-005-N-1	3/17/2013	USAID/Afghanistan determine the allowability and recover, as appropriate, questioned costs of $661,521 ($410,783 in ineligible costs and $250,738 in unsupported costs) identified in the schedule of costs incurred in Afghanistan on pages 18 through 26 of the report.	Bureau for Management	Recommendation transferred to M/CFO/Washington Financial Service, Citizen Network for Foreign Affairs is currently under a

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#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						re-payment plan agreement.
113	Closeout Audit of USAID Resources Managed by EQUIP Liberia Under the Emergency Protection in Host Communities of Nimba and Grand Gedeh Counties Program in Liberia, Cooperative Agreement No. AID-OFDA-G-12-00124, for the Period From June 1, 2012, Through May 31, 2013 - (originally issued 12/12/16; reissued 12/14/16)	4-669-17-019-R-1	12/14/2016	USAID/M/OAA/CAS/CAM determine the allowability of $28,739 in questioned costs ($16,270 ineligible and $12,469 unsupported) identified on page 9 of Akus Consult's audit report and recover from EQUIP Liberia the amounts determined to be unallowable.	Bureau for Management	Recommendation transferred to M/CFO/Washington Financial Service, EQUIP Liberia Affairs is currently under a re-payment plan agreement.
114	Financial Audit of USAID Resources Managed by African Center for Advanced Studies in Management in Multiple Countries Under Cooperative Agreement AID-685-A-16-00001, January 1 to December 31, 2017	4-685-20-026-R-2	1/14/2020	USAID/Senegal verify that African Center for Advanced Studies in Management corrects the three material weaknesses in internal control detailed on pages 36 to 41 of the audit report.	BUREAU FOR AFRICA	The Mission is currently requesting and reviewing African Center for Advanced Studies' supporting documentation to confirm that corrective actions have been taken on the three material weaknesses in internal control. Final Action Target Date April 23, 2021.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
115	Financial Audit of USAID Resources Managed by Children in Distress Network in South Africa Under Agreement AID-674-A-13-00011, April 1, 2017, to March 31, 2018	4-674-20-012-R-1	11/1/2019	USAID/Southern Africa verify that Children in Distress Network corrects the one instance of material noncompliance detailed on pages 17 and 18 of the audit report.	BUREAU FOR AFRICA	The Mission is currently requesting and reviewing the Children in Distress Network in South Africa's supporting documentation to confirm that corrective actions have been taken on the one instance of material noncompliance. Final Action Target Date March 12, 2021.
116	Financial Audit of USAID Resources Managed by Children in Distress Network in South Africa Under Agreement AID-674-A-13-00011, April 1, 2017, to March 31, 2018	4-674-20-012-R-2	11/1/2019	USAID/Southern Africa determine the amount of misappropriated funds related to the duplicate supplier bank account numbers finding number 1 on page 17 and 18 and determine the allowability of this amount and recover any amount that is unallowable.	BUREAU FOR AFRICA	The Mission is currently requesting and reviewing the Children in Distress Network in South Africa's supporting documentation regarding the misappropriated funds related to the duplicate supplier bank account numbers and determine the allowability of this amount and recover any

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						amount that is unallowable. Final Action Target Date March 12, 2021.
117	Financial Audit of USAID Resources Managed by mothers2mothers South Africa NPC in Multiple Countries Under Multiple Agreements, January 1 to December 31, 2017	4-674-20-021-R-1	12/20/2019	USAID/Southern Africa verify that mothers2mothers corrects the two instances of material noncompliance detailed on pages 46 and 50 of the audit report.	BUREAU FOR AFRICA	The Mission is currently requesting and reviewing the Children in Distress Network in South Africa's supporting documentation to confirm that corrective actions have been taken on the two instances of material noncompliance. Final Action Target Date February 28, 2021.
118	Financial Audit of USAID Resources Managed by Society for Family Health Namibia Under Cooperative Agreement AID-673-A-17-00001, January 1 to December 31, 2018	4-673-20-023-R-1	1/7/2020	USAID/Southern Africa determine the allowability of $112,374 in questioned costs ($108,160 ineligible, $4,214 unsupported) on pages 17, 19 and 20 of the audit report and recover any amount that is unallowable.	BUREAU FOR AFRICA	A bill of collection for $110,575 was issued to Society for Family Health Namibia, currently awaiting payment.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						Estimated closure is June 30, 2021.
119	Financial Audit of USAID Resources Managed by T-MARC in Tanzania Under Agreement AID-621-A-17-00001, January 1 to December 31, 2018	4-621-20-017-R-2	11/6/2019	USAID/Tanzania verify that T-MARC corrects the one instance of material noncompliance detailed on pages 31to 32 of the audit report.	BUREAU FOR AFRICA	The Mission is currently reviewing supporting documentation to substantiate recommendation closure. Final Action Target March 31, 2021.
120	Fund Accountability Statement Audit of Moona A Space for Change, The CO-LAB Project in West Bank and Gaza, Cooperative Agreement AID-294-A-16-00004, September 15, 2016, to December 31, 2017	8-294-19-032-N-1	6/26/2019	USAID/West Bank and Gaza determine the allowability, and collect as appropriate, $76,924 of unsupported questioned costs, as detailed on pages 17-22 and 27 of the Ernst & Young Middle East report.	BUREAU FOR MIDDLE EAST	The Mission is currently reviewing supporting documentation to substantiate recommendation closure. Final Action Target March 31, 2021.
121	Closeout Audit of the Cost Representation Statement of Trigon Associates, LLC, Infrastructure Needs Program - Phase II in West Bank and Gaza, Sub Delivery Order 1, April 1, 2014 to September 30, 2015	8-294-20-033-R-1	1/15/2020	USAID/West Bank and Gaza determine the allowability and collect as appropriate, $69,898 in questioned ineligible costs as detailed on pages 29 and 30 of El Wafa Company's audit report.	BUREAU FOR MIDDLE EAST	A bill of collection for $69,898 was issued to Trigon Associates, LLC, currently awaiting payment. Estimated closure is April 1, 2021.
122	Financial Closeout Audit of USAID Resources Managed by Biocarbon Partners Limited in Zambia Under Cooperative Agreement AID-611-A-14-00001, January 1, 2018, to January 31,	4-611-20-004-N-1	1/30/2020	USAID/Zambia determine the allowability of $1,192,504 in questioned costs ($14,127 ineligible, $1,178,377 unsupported) on pages 13 and 16 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/MPBP Compliance Division for Grantee/Contract

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
	2019					appeal under ADS 303.
123	Financial Closeout Audit of USAID Resources Managed by Biocarbon Partners Limited in Zambia Under Cooperative Agreement AID-611-A-14-00001, January 1, 2018, to January 31, 2019	4-611-20-004-N-2	1/30/2020	USAID/Zambia determine the allowability of $1,063,630 in questioned cost sharing costs contributions ($40,346 ineligible, $1,023,284 unsupported) on page 69 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/MPBP Compliance Division for Grantee/Contract appeal under ADS 303.