



# SOCIAL IMPACT ASSESSMENT INITIAL SCREENING TOOL

July 2023

This screening tool is made possible by the support of the American People through the United States Agency for International Development (USAID). ICF prepared this tool under USAID's Environmental Compliance Support (ECOS) Contract, Contract Number GS00Q14OADU119, Order No. 7200AA18N00001, Contracting Officer's Representative and Activity Manager, Teresa Bernhard, Activity Specification Number E3-004. ECOS is implemented by ICF and its subcontractors. The contents of this tool are the sole responsibility of the authors and do not necessarily reflect the views of USAID or the United States Government.

## SOCIAL IMPACT ASSESSMENT INITIAL SCREENING TOOL

Social impacts may be considered in USAID programs. The preliminary considerations below may be used throughout the program cycle to help guide staff and Implementing Partners in determining whether standalone studies suffice, or whether a full social impact assessment is necessary to understand potential impacts, manage risks, and plan for the mitigation of adverse impacts.

**Answer the following questions and write comments for any question with a “Yes.”**

PRELIMINARY CONSIDERATIONS	Y/N	Comments
<u>Which of the following groups of people or communities could be adversely impacted by the Mission/OU’s programs?</u>		
<ul style="list-style-type: none"> <li>• Ethnic/linguistic/racial/religious/caste groups,</li> </ul>		
<ul style="list-style-type: none"> <li>• LGBTQI+ People,</li> </ul>		
<ul style="list-style-type: none"> <li>• People with disabilities,</li> </ul>		
<ul style="list-style-type: none"> <li>• Youth and/or Children,</li> </ul>		
<ul style="list-style-type: none"> <li>• Women and Girls,</li> </ul>		
<ul style="list-style-type: none"> <li>• Older People, and</li> </ul>		
<ul style="list-style-type: none"> <li>• Other people or groups who are in potentially vulnerable, marginalized and/or underrepresented conditions.</li> </ul>		
Other – Please indicate: _____		
Please list the people or communities identified above.		
<u>Which of the following people or communities could potentially lack adequate access to the Mission/OU’s programs?</u>		
<ul style="list-style-type: none"> <li>• Ethnic/linguistic/racial/religious/caste groups,</li> </ul>		
<ul style="list-style-type: none"> <li>• LGBTQI+ People,</li> </ul>		

PRELIMINARY CONSIDERATIONS	Y/N	Comments
<ul style="list-style-type: none"> <li>• People with disabilities,</li> </ul>		
<ul style="list-style-type: none"> <li>• Youth and/or Children,</li> </ul>		
<ul style="list-style-type: none"> <li>• Women and Girls,</li> </ul>		
<ul style="list-style-type: none"> <li>• Older People, and</li> </ul>		
<ul style="list-style-type: none"> <li>• Other people or groups who are in potentially vulnerable, marginalized and/or underrepresented conditions.</li> </ul>		
Other – Please indicate: _____		
Please list the people or communities identified above.		
Is there <b>systematic discrimination</b> or the <b>potential for human rights violations</b> against people or groups who are in potentially vulnerable, marginalized and/or underrepresented conditions that may hinder access in the Mission/OU’s programming? If yes, please describe.		
Do the Mission/OU’s programs <b>equally distribute livelihoods benefits and risks</b> (e.g., wages, income, socio-economic benefits including access to or use of natural resources, political processes, and health) to all parts of the community (especially groups who are in potentially vulnerable, marginalized and/or underrepresented conditions, including women and girls) in response to the implementation and operation of programming? If yes, please identify any potential problem areas.		
Do any of the Mission/OU’s programs have the potential to change people’s <b>access to natural resources</b> (e.g., water, plants, land, and animals)? If yes, please identify where this may take place.		
Do any of the Mission/OU’s programs have the potential to impact <b>access or use-rights to land or local resources</b> ? If yes, please identify where this may take place.		
Could there be a high degree of dependence on <b>access to natural resources</b> for community function(s) in the program area(s)? If yes, please identify where this may take place.		

PRELIMINARY CONSIDERATIONS	Y/N	Comments
Do any of the Mission/OU's programs have the potential or intent to change people's <b>use of natural resources or ecosystem services</b> ? If yes, please identify where this may take place.		
Do any of the Mission/OU's programs have the potential to negatively affect tangible and/or intangible <b>cultural heritage</b> resources? If yes, please describe.		
Is there a need to evaluate <b>cultural heritage risks</b> in any of the Mission/OU's programs? If yes, please describe.		
Are there <b>Indigenous Peoples</b> in or nearby any of the Mission/OU's programming sites? Are there Indigenous Peoples with historic tenure rights to the land, territory, and natural resources in any of the Mission/OU's programming sites? If yes, please describe.		
Has the Mission/OU identified and/or mapped <b>Indigenous Peoples</b> in the geographical area in, nearby, or having historic connection to the programming site(s)? If yes, please describe.		
Does <b>existing conflict or instability</b> affect any of the Mission/OU's programming? If yes, please describe.		
Do any of the Mission/OU's programs have the potential to exacerbate <b>existing conflict or instability</b> ? If yes, please describe.		
Is there a need to engage stakeholders to <b>identify underlying conditions</b> that may lead to <b>conflict or instability</b> ? If yes, please describe.		
Is there a need for one or more <b>conflict assessments</b> ? If yes, please describe.		

If you have answered “Yes” to any of these preliminary questions, please see the “[Optional Social Impact Assessment Framework](#).”