

Select Reg 216 document type ▾

Overview: [Provide a 1-2 sentence description of the purpose of the document (no more than 300 characters). If the document is a Determination of Categorical Exclusion (DCE) Amendment, explain why the amendment is needed (e.g., extend period of performance, addition/change in activities or funding amount, etc.).]

ORGANIZATIONAL DATA

Activity Name:	
Geographic Location(s):	[Country/Region]
Funding Operating Unit(s):	[Funding OU]
Other Involved Operating Unit(s):	[e.g., Bureaus managing the field mechanism in DC; other OUs contributing funds]
Funding Account(s):	[e.g., DA, ESF, IDA]
Life of Project Funding (ceiling):	
Activity Start/End Date	[Period of performance (of award)]
If Amended, New End Date:	[Amended POP]
Solicitation/Contract/Award Number(s) :	[If available]
Mechanism Type:	Select from dropdown ▾
Implementing Partner(s):	[If available]

DOCUMENT TRACKING

Bureau Tracking ID:	[e.g., ECD tracking # - to be added by upon BEO clearance]
If an Amendment, Tracking ID of Preceding Compliance Document(s):	[List previous amendment and original DCE ECD tracking # (ideally w/ECD hyperlinks - not internal Gdrive links)]
Other Related Compliance Documents:	[If applicable - e.g., Programmatic CEs, etc.]
Document Expiration Date:	[If different from the activity end date]
Sector Type(s):	Select from dropdown ▾ Select from dropdown ▾ Select from dropdown ▾ Select from dropdown ▾

ENVIRONMENTAL COMPLIANCE REVIEW DATA

Environmental Determination(s):	Select from dropdown ▾ Select from dropdown ▾
Activity will involve construction, as defined by ADS 201 and 303 :	No construction is planned or authorized under this activity
Potential Impact to Threatened or Endangered Species or Critical Habitat per 216.5:	No impact to Threatened or Endangered Species or Critical Habitats
Applicability of Safeguards for Activities Supporting Parks and Protected Areas :	Select from dropdown ▾
Climate Risks Identified (#) in Annex 1:	Low: Select ▾ Moderate: Select ▾ High: Select ▾
Climate Risks Addressed (#) in Annex 1:	Low: Select ▾ Moderate: Select ▾ High: Select ▾

PURPOSE OF THIS DOCUMENT

In accordance with Title 22, Code of Federal Regulations, Part 216 ([22 CFR 216](#)), this document is to establish that all proposed activities belong to classes of actions eligible for Determination of Categorical Exclusions (DCE), as set out in Agency regulations (22 CFR 216.2(c)), and that there are no foreseeable significant direct or indirect impacts that would preclude a CE determination. Upon approval of this document, the DCEs are affirmed for this activity.

This document also captures (in Annex 1) the results of the Climate Risk Management process, in accordance with USAID policy ([ADS 201mal](#)).

APPROVAL OF [ACTIVITY NAME] DETERMINATION OF CATEGORICAL EXCLUSION

Approval:	_____	_____
	[NAME], Mission Director or Bureau/Independent Office Equivalent	Date
Clearance:	_____	_____
	[NAME], AOR/COR or Project Design Team Lead	Date
	_____	_____
	[NAME], Mission Environmental Officer <i>[as appropriate]</i>	Date
	_____	_____
	[NAME], Regional Environmental Advisor <i>[as appropriate]</i>	Date
Concurrence:	_____	_____
	[NAME], _____ Bureau Environmental Officer	Date
Other Clearance/ Concurrence:	_____	_____
	<i>[add as required by OU or Mission Order]</i>	Date

Distribution: Award File; [Environmental Compliance Database](#)

1. ACTIVITY DESCRIPTION

[Provide a brief description of the activity explaining its purpose. Provide a short narrative of each activity as known at the time of drafting. Describe components and sub-activities in enough detail to determine if they are eligible for a DCE or, alternatively, if direct or indirect impacts are reasonably foreseeable. Activities must be described in a way that is meaningful to environmental analysis. When activity names or specifics are unknown, focus on intervention types with illustrative actions. Also consider describing elements the team has already integrated into the design that avoid or eliminate environmental impacts.]

TABLE 1: ILLUSTRATIVE ACTIVITIES OR INTERVENTIONS

1 – [Title of Activity or Intervention (sub-activity) (e.g., Training of healthcare facility staff)]
1.1 [Redacted]
economic growth through workforce development.]
1.2
1.3
2 – [Title]
2.1
2.2
2.3
[Add rows as needed]

2. DETERMINATION OF CATEGORICAL EXCLUSIONS

A DCE is recommended for the following activities or interventions (sub-activities) that fall under the classes of actions defined in §216.2(c)(2). No further analysis is required for the activities/interventions described in Table 1. In the event of modification to the scope of work or addition of new activities an amendment will usually be required.

[DELETE ROWS THAT ARE NOT APPLICABLE]

Activity or Intervention #	Recommended Determination for Categorical Exclusion
	§216.2(c)(2)(i) Education, technical assistance, or training programs except to the extent such programs include activities directly affecting the environment (such as construction of facilities, etc.)
	§216.2(c)(2)(ii) Controlled experimentation exclusively for the purpose of research and field evaluation which are confined to small areas and carefully monitored
	§216.2(c)(2)(iii) Analyses, studies, academic or research workshops and meetings
	§216.2(c)(iv) Projects in which USAID is a minor donor to a multi-donor project and there is no potential significant effects upon the environment of the United States, areas outside any nation's jurisdiction or endangered or threatened species or their critical habitat
	§216.2(c)(2)(v) Document and information transfers
	§216.2(c)(2)(vi) Contributions to international, regional or national organizations by the United States which are not for the purpose of carrying out a specifically identifiable project or projects

Activity or Intervention #	Recommended Determination for Categorical Exclusion
	§216.2(c)(2)(vii) Institution building grants to research and educational institutions in the United States such as those provided for under section 122(d) and Title XII of Chapter 2 of Part I of the FAA (22 USCA §§2151 p. (b) 2220a. (1979))
	§216.2(c)(2)(viii) Programs involving nutrition, health care or population and family planning services except to the extent designed to include activities directly affecting the environment (such as construction of facilities, water supply systems, wastewater treatment, etc.)
	§216.2(c)(2)(ix) Assistance provided under a Commodity Import Program when, prior to approval, USAID does not have knowledge of the specific commodities to be financed and when the objective in furnishing such assistance requires neither knowledge, at the time the assistance is authorized, nor control, during implementation, of the commodities or their use in the partner country
	§216.2(c)(2)(ix) Assistance provided under a Commodity Import Program when, prior to approval, USAID does not have knowledge of the specific commodities to be financed and when the objective in furnishing such assistance requires neither knowledge, at the time the assistance is authorized, nor control, during implementation, of the commodities or their use in the partner country
	§216.2(c)(2)(x) Support for intermediate credit institutions when the objective is to assist in the capitalization of the institution or part thereof and when such support does not involve reservation of the right to review and approve individual loans made by the institution
	§216.2(c)(2)(xi) Programs of maternal or child feeding conducted under Title II of Pub. L. 480
	§216.2(c)(2)(xii) Food for development programs conducted by food recipient countries under Title III of Pub. L. 480, when achieving USAID's objectives in such programs does not require knowledge of or control over the details of the specific activities conducted by the foreign country under such program
	§216.2(c)(2)(xiii) Matching, general support and institutional support grants provided to private voluntary organizations (PVOs) to assist in financing programs where USAID's objective in providing such financing does not require knowledge of or control over the details of the specific activities conducted by the PVO
	§216.2(c)(2)(xiv) Studies, projects or programs intended to develop the capability of recipient countries to engage in development planning, except to the extent designed to result in activities directly affecting the environment (such as construction of facilities, etc.)
	§216.2(c)(2)(xv) Activities that involve the application of design criteria or standards developed and approved by USAID

3. DEFERRAL OF ENVIRONMENTAL ANALYSIS : [DELETE SECTION IF NOT APPLICABLE]

According to 22 CFR 216, there are two types of deferrals. The first deferral applies when there is insufficient information to conduct a meaningful environmental analysis during activity design and activity approval stage. The second applies when there is insufficient information at the time of the award; examples include co-creation activities, 'Indefinite Delivery, Indefinite Quantity' contracts , or 'Leader-with-Associate' awards where DCEs or IEEs are to be prepared for each Task Order or Associate Award, etc.

1. **Activity Approval Stage**—there is insufficient information at activity approval stage to conduct meaningful environmental analysis, so a deferral has been determined (per §216.3(a)(1)).

2. **Authorization of Financing Stage**—there is insufficient information to conduct meaningful environmental analysis while the award is pending, so a deferral is granted in accordance with §216.3(a)(7). In consultation with the Office of General Counsel, the responsible Mission Director, USAID/W Office Director, or designee must ensure any irreversible commitment of resources is avoided.

Deferred activities or interventions may not be implemented until environmental analysis has been completed and 22 CFR 216 documentation is approved.

Activities or Interventions	Type of Deferral Activity Approval §216.3(a)(1) or Authorization of Financing §216.3(a)(7)	Deferral Requirements and Timeline
[Title or description from Table 1]	[Indicate the type of deferral (see above) and explain why the analysis cannot be completed]	[Describe the actions the OU will take to ensure the requirements of the specific deferral will be met, e.g., consultation with GC and no irreversible commitment of funds. Also, provide an estimated date by which this analysis will be completed, and this IEE amended, in order to resolve this deferral.]
[Add rows as needed]		

4. MANAGEMENT AND REPORTING

4.1. USAID IMPLEMENTATION AND MONITORING REQUIREMENTS FOR AWARDS [TAILOR TO THE NEEDS OF THE ACTIVITY]

The DCE is contingent upon full implementation of the following requirements.

Changes to scope of awards require a determination be made as to whether such change may have an environmental impact not previously assessed (per §216.3(a)(9)). Per ADS 204, it is the responsibility of the AOR/COR to keep the MEO/REA and BEO informed of any new information or changes in the activity(ies) subject to this DCE.

- 4.1.1. Provide briefings for implementing partner(s) on environmental compliance responsibilities relevant to the award (justification for DCE and limitations - such as no physical works, ensure activities remain within the scope of the DCE, monitoring to ensure activities do not result in unintended environmental impacts, etc.);
- 4.1.2. Ensure integration of DCE limitations in prime and sub-awards and grant agreements;
- 4.1.3. Ensure compliance with applicable partner country requirements;
- 4.1.4. Annual review of activities to ensure that scope is consistent with the DCE;
- 4.1.5. Records Management: The AOR/COR will maintain environmental compliance documents in the official activity file and upload records to the [USAID Environmental Compliance Database](#);
- 4.1.6. Corrective Action: When noncompliance or unforeseen impacts are identified, the AOR/COR will initiate the corrective action process, in consultation with the cognizant environmental officer(s) (e.g., MEO, REA, or BEO). The Corrective Action Plan is used to document findings, recommendations, and a timeline for making the corrections.

ATTACHMENTS: Annex 1: Climate Risk Management Summary and Table

BUREAU RESOURCE LINKS: If desired, add URLs to Bureau specific resources and guidance

ANNEX 1. CLIMATE RISK MANAGEMENT SUMMARY AND TABLE

CLIMATE RISK MANAGEMENT SUMMARY

This section summarizes the methodology used and findings of the CRM Screening, in accordance with [ADS 201ma](#). The design team, in consultation with the CIL, considered the potential effect of climate risks/stressors on the sustainability of the activity in the table below (changing precipitation patterns, rising temperature, floods, droughts, fires, landslides, etc.) in addition to the impact of activities on the climate (increased greenhouse gas emissions, land use changes, etc.).

[Describe climate risks, proposed opportunities and plans for action based on CRM screening.]

CLIMATE RISK MANAGEMENT SUMMARY TABLE

Activity or Intervention #	Climate Risks ¹	Risk Rating ²	How Risks are Addressed ³	Opportunities to Strengthen Climate Resilience or Climate Mitigation ⁴
		- ▾		
		- ▾		
		- ▾		
		- ▾		
		- ▾		
		- ▾		
		- ▾		
		- ▾		
		- ▾		
		- ▾		
add/delete as needed		- ▾		

¹ List key risks related to the defined/illustrative interventions identified in the screen and additional assessment..

² Low/Moderate/ High

³ Describe how risks have been addressed in activity design and/or additional steps that will be taken in implementation. If a decision has been made to accept the risk, briefly explain why.

⁴ Describe opportunities to achieve development objectives by integrating climate resilience or mitigation measures.