

## Select Reg 216 document type ▾

**Overview:** [Provide a 1-2 sentence description of the purpose of the document (no more than 300 characters). If the document is an IEE amendment, explain why the amendment is needed (e.g., extend period of performance, addition/change in activities or funding amount, etc.).]

### ORGANIZATIONAL DATA

Activity Name:	
Geographic Location(s):	[Country/Region]
Funding Operating Unit(s):	[Funding OU]
Other Involved Operating Unit(s):	[e.g., Bureaus managing the field mechanism in DC; other OUs contributing funds]
Funding Account(s):	[e.g., DA, ESF, IDA]
Life of Project Funding (ceiling):	
Activity Start/End Date	[Period of performance (of award)]
If Amended, New End Date:	[Amended POP]
Solicitation/Contract/Award Number(s) :	[If available]
Mechanism Type:	Select from dropdown ▾
Implementing Partner(s):	[If available]

### DOCUMENT TRACKING

Bureau Tracking ID:	[e.g., ECD tracking # - to be added by upon BEO clearance]
If an Amendment, Tracking ID of Preceding Compliance Document(s):	[List previous amendment and original IEE ECD tracking # (ideally w/ECD hyperlinks - not internal Google drive links)]
Other Related Compliance Documents:	[If applicable - e.g., Programmatic IEEs, PERSUAP, WQAP, gender analysis, etc.]
Document Expiration Date:	[If different from the activity end date ]
Sector Type(s):	Select from dropdown ▾ Select from dropdown ▾ Select from dropdown ▾ Select from dropdown ▾

### ENVIRONMENTAL COMPLIANCE REVIEW DATA

Environmental Determination(s):	Select from dropdown ▾ Select from dropdown ▾ Select from dropdown ▾ Select from dropdown ▾
Additional Analyses or Reporting Required:	Select from dropdown ▾ Select from dropdown ▾ Select from dropdown ▾ Select from dropdown ▾
Activity will involve construction, as defined by <a href="#">ADS 201</a> and <a href="#">303</a> :	Select from dropdown ▾
Potential Impact to Threatened or Endangered Species or Critical Habitat per 216.5:	Select from dropdown ▾
Applicability of Safeguards for Activities Supporting <a href="#">Parks and Protected Areas</a> :	Select from dropdown ▾
Climate Risks Identified (#) in Annex 1:	Low: Select ▾ Moderate: Select ▾ High: Select ▾
Climate Risks Addressed (#) in Annex 1:	Low: Select ▾ Moderate: Select ▾ High: Select ▾

**PURPOSE OF THIS DOCUMENT**

In accordance with Title 22, Code of Federal Regulations, Part 216 ([22 CFR 216](#)), this document provides review of reasonably foreseeable effects of a proposed action on the environment. Its function is to document the factual basis as to whether an Environmental Assessment will be required. This document sets out conditions necessary to eliminate or mitigate significant adverse impacts. As needed, this document will be amended to provide further analysis when new activities are added or additional information is available that warrants further review.

This document also captures (in Annex 1) the results of the Climate Risk Management process, in accordance with USAID policy ([ADS 201mal](#)).

**APPROVAL OF [ACTIVITY NAME] IEE**

**Approval:** \_\_\_\_\_  
 [NAME], Mission Director or Bureau/Independent Office Equivalent Date

**Clearance:** \_\_\_\_\_  
 [NAME], AOR/COR or Project Design Team Lead Date

\_\_\_\_\_  
 [NAME], Mission Environmental Officer [*as appropriate*] Date

\_\_\_\_\_  
 [NAME], Regional Environmental Advisor [*as appropriate*] Date

**Concurrence:** \_\_\_\_\_  
 [NAME], \_\_\_\_\_ Bureau Environmental Officer Date

**Other Clearance/ Concurrence:** \_\_\_\_\_  
 [*add as required by OU or Mission Order*] Date

**Distribution:** Award File; Environmental Compliance Database

## 1. ACTIVITY DESCRIPTION

[Provide a brief description of the activity explaining its purpose. Provide a short narrative of each activity as known at the time of drafting. Describe components and sub-activities in enough detail to determine if they are eligible for a categorical exclusion or if direct or indirect impacts are reasonably foreseeable. Activities must be described in a way that is meaningful to environmental analysis. When activity names or specifics are unknown, focus on intervention types with illustrative actions. Also consider describing elements the team has already integrated into the design that avoid or eliminate environmental impacts.]

**TABLE 1: ILLUSTRATIVE ACTIVITIES OR INTERVENTIONS**

<b>1 – [Title of Activity or Intervention (sub-activity) (e.g., Training of healthcare facility staff)]</b>
1.1
rates of youth referred to HIV services.]
1.2
1.3
<b>2 – [Title]</b>
2.1
2.2
2.3
[Add rows as needed]

## 2. BASELINE ENVIRONMENTAL INFORMATION

[For activities that will have minimal physical impact on the environment and/or those that fall under a categorical exclusion, this section can be brief.]

The baseline is used to assess existing environmental conditions and characteristics of the area of influence affected by a proposed activity. The baseline situation is that which would exist in the absence of proposed actions; and baseline data collection is relevant to the temporal and spatial area of the activity. In particular, information in this section is used to build the rest of the IEE, including analysis of potential risk, environmental determinations, and mitigation measures.

Preparers should provide known information about the area of influence and provide hyperlinks to any relevant studies and/or resources. Consider the following illustrative question, as applicable, to guide the development of this section:

- What is the site location(s)? Include maps and photos if available.
- Are there existing environmental concerns regarding air, soil, water, wastes, etc.?
- Are there any natural and climate-induced hazards (e.g. prone to drought, flooding, seismic activity)?
- What are the nearby water sources and/or sinks?
- Will there be an impact to biodiversity in the project area, any threatened or endangered species? Will vegetation be removed?
- What is the current land use and tenure? Do Free, Prior and Informed consent requirements apply? Will indigenous or marginalized peoples be affected?
- Will activities take place in a park or protected area or designated wetlands?

- Were consultations conducted in the development of the IEE?
- What are the applicable regulations or permits (e.g., construction permits, host country ESIA requirements, laws, etc.)?

### 3. DETERMINATION OF CATEGORICAL EXCLUSIONS

A categorical exclusion is recommended for the following activities or interventions (sub-activities) that fall under the classes of actions defined in §216.2(c)(2). No further analysis is required for the activities/interventions identified in the table below.

[DELETE ROWS THAT ARE NOT APPLICABLE]

Activity or Intervention #	Recommended Determination for Categorical Exclusion
	§216.2(c)(2)(i) Education, technical assistance, or training programs except to the extent such programs include activities directly affecting the environment (such as construction of facilities, etc.)
	§216.2(c)(2)(ii) Controlled experimentation exclusively for the purpose of research and field evaluation which are confined to small areas and carefully monitored
	§216.2(c)(2)(iii) Analyses, studies, academic or research workshops and meetings
	§216.2(c)(iv) Projects in which USAID is a minor donor to a multi-donor project and there is no potential significant effects upon the environment of the United States, areas outside any nation's jurisdiction or endangered or threatened species or their critical habitat
	§216.2(c)(2)(v) Document and information transfers
	§216.2(c)(2)(vi) Contributions to international, regional or national organizations by the United States which are not for the purpose of carrying out a specifically identifiable project or projects
	§216.2(c)(2)(vii) Institution building grants to research and educational institutions in the United States such as those provided for under section 122(d) and Title XII of Chapter 2 of Part I of the FAA (22 USCA §§2151 p. (b) 2220a. (1979))
	§216.2(c)(2)(viii) Programs involving nutrition, health care or population and family planning services except to the extent designed to include activities directly affecting the environment (such as construction of facilities, water supply systems, wastewater treatment, etc.)
	§216.2(c)(2)(ix) Assistance provided under a Commodity Import Program when, prior to approval, USAID does not have knowledge of the specific commodities to be financed and when the objective in furnishing such assistance requires neither knowledge, at the time the assistance is authorized, nor control, during implementation, of the commodities or their use in the partner country
	§216.2(c)(2)(ix) Assistance provided under a Commodity Import Program when, prior to approval, USAID does not have knowledge of the specific commodities to be financed and when the objective in furnishing such assistance requires neither knowledge, at the time the assistance is authorized, nor control, during implementation, of the commodities or their use in the partner country
	§216.2(c)(2)(x) Support for intermediate credit institutions when the objective is to assist in the capitalization of the institution or part thereof and when such support does not involve reservation of the right to review and approve individual loans made by the institution

Activity or Intervention #	Recommended Determination for Categorical Exclusion
	§216.2(c)(2)(xi) Programs of maternal or child feeding conducted under Title II of Pub. L. 480
	§216.2(c)(2)(xii) Food for development programs conducted by food recipient countries under Title III of Pub. L. 480, when achieving USAID's objectives in such programs does not require knowledge of or control over the details of the specific activities conducted by the foreign country under such program
	§216.2(c)(2)(xiii) Matching, general support and institutional support grants provided to private voluntary organizations (PVOs) to assist in financing programs where USAID's objective in providing such financing does not require knowledge of or control over the details of the specific activities conducted by the PVO
	§216.2(c)(2)(xiv) Studies, projects or programs intended to develop the capability of recipient countries to engage in development planning, except to the extent designed to result in activities directly affecting the environment (such as construction of facilities, etc.)
	§216.2(c)(2)(xv) Activities that involve the application of design criteria or standards developed and approved by USAID

#### 4. ENVIRONMENTAL DETERMINATIONS, IMPACTS, AND MITIGATION MEASURES

The following section provides the mandatory analysis of impacts for all activities that are NOT Categorically Excluded per Section 3 of this IEE. Upon approval of this document, the determinations become affirmed, per Agency regulations (22 CFR 216).

##### 4.1. NEGATIVE DETERMINATION: [DELETE SECTION IF NOT APPLICABLE]

**Negative determinations** are made when activities or interventions will not have a significant effect on the environment, but for which a Determination of Categorical Exclusion does not apply. In this case, there will be no further action required.

**Negative Determinations with Conditions** are made when activities or interventions will not have a significant effect on the environment and/or when potential adverse impacts can be avoided or minimized by application of suitable mitigation measures.

The impacts, mitigation, and monitoring measures identified below are provided as a starting point to inform planning. Further review and consideration by the implementing partner will usually be required to properly assess impacts and adjust the measures. Additional guidance is available on the [USAID Environmental Procedures Hub](#), including [Sectoral Environmental and Social Best Practices](#) that should be consulted while preparing Environmental Mitigation and Monitoring Plan(s).

Activities or Interventions	Potential Impacts	<ul style="list-style-type: none"> <li>● <b>Mitigation (minimum)</b> <ul style="list-style-type: none"> <li>○ <b>Monitoring Measures</b></li> </ul> </li> </ul>
[Title or description from Table 1]	<ul style="list-style-type: none"> <li>● [Identify environmental and, if considered as part of EIA, social impacts<sup>1</sup>, that should be considered and mitigated. These</li> </ul>	<ul style="list-style-type: none"> <li>● [Identify mitigation measures necessary to avoid or minimize identified impacts. Ensure the mitigation measures are actionable and correspond to the impacts identified.]</li> </ul>

<sup>1</sup> Activity managers and AORs or CORs have the option to consider social impacts as part of social impact assessments or other analyses.

Activities or Interventions	Potential Impacts	<ul style="list-style-type: none"> <li>● <b>Mitigation (minimum)</b> <ul style="list-style-type: none"> <li>○ <b>Monitoring Measures</b></li> </ul> </li> </ul>
	may be general or site specific depending on the activity.]	
Example: Support private clinics to conduct HIV testing and provide treatment services to patients.	<ul style="list-style-type: none"> <li>● Example:</li> <li>● Supporting clinics carries environmental and other risks to workers and the local community. Diagnostics services can directly lead to generation of wastes. Improper PPE use, as well as incorrect handling, storage and management of healthcare waste can spread the disease through several mechanisms.</li> </ul>	Example: <ul style="list-style-type: none"> <li>● Implement best management practices/guidelines for the proper handling and disposal of hazardous/infectious medical wastes (bodily fluids, sharps, etc.) per USAID best practices and host country processes.</li> <li>● Provide training to workers on the safe handling and disposal of pharmaceuticals, general medical supplies and commodities, equipment, and medical waste, including use of PPE.</li> <li>● Conduct visual inspections to determine that training programs and best practices are implemented and effective.</li> </ul>
[Add rows as needed]	●	●

4.2. POSITIVE DETERMINATION: [DELETE SECTION IF NOT APPLICABLE]

These determinations are made when activities or interventions will have a significant impact on the environment. This includes reasonably foreseeable direct, indirect, and/or cumulative impacts (§216.6). This determination is generally made for actions defined in §216.2(d)(1) and other environmentally-sensitive actions (as described in ADS 204). The AOR/COR will ensure a Scoping Statement (in accordance with §216.3(a)(4)), and if required an Environmental Assessment (EA) (in accordance with §216.6), is completed and approved by the BEO before the activities or interventions in question are implemented.

Activities or Interventions	Class of Action per §216.2(d)(1), Environmentally-sensitive Action	Next Steps
[Title or description from Table 1]	[Describe the reason why a Positive Determination is recommended, e.g., river basin development §216.2(d)(1)i or large-scale construction]	[Develop a timeline for preparing a scoping statement and an EA, if necessary]
[Add rows as needed]		

4.3. DEFERRAL OF ENVIRONMENTAL ANALYSIS : [DELETE SECTION IF NOT APPLICABLE]

According to 22 CFR 216, there are two types of deferrals. The first deferral applies when there is insufficient information to conduct a meaningful environmental analysis during activity design and activity approval stage. The second applies when there is insufficient information at the time of the award; examples include co-creation activities, IDIQs/LWAs where IEEs are to be prepared for each Task Order, etc.

1. **Activity Approval Stage**—there is insufficient information at activity approval stage to conduct meaningful environmental analysis, so a deferral has been determined (per §216.3(a)(1)).
2. **Authorization of Financing Stage**—there is insufficient information to conduct meaningful environmental analysis while the award is pending, so a deferral is granted in accordance with

§216.3(a)(7). In consultation with the Office of General Counsel, the responsible Mission Director, USAID/W Office Director, or designee must ensure any irreversible commitment of resources is avoided.

***Deferred activities or interventions may not be implemented until environmental analysis has been completed and 22 CFR 216 documentation is approved.***

Activities or Interventions	Type of Deferral Activity Approval §216.3(a)(1) or Authorization of Financing §216.3(a)(7)	Deferral Requirements and Timeline
[Title or description from Table 1]	[Indicate the type of deferral (see above) and explain why the analysis cannot be completed]	[Describe the actions the OU will take to ensure the requirements of the specific deferral will be met, e.g., consultation with GC and no irreversible commitment of funds. Also, provide an estimated date by which this analysis will be completed and this IEE amended in order to resolve this deferral]
[Add rows as needed]		

## 5. MANAGEMENT AND REPORTING

### 5.1. USAID IMPLEMENTATION AND MONITORING REQUIREMENTS FOR AWARDS [TAILOR TO THE NEEDS OF THE ACTIVITY]

The environmental determinations in this IEE are contingent upon full implementation of the following pre- and post-award requirements.

Changes to scope of awards require a determination be made as to whether such change may have an environmental impact not previously assessed (per §216.3(a)(9)). Per ADS 204, it is the responsibility of the AOR/COR to keep the MEO/REA and BEO informed of any new information or changes in the activity(ies) subject to this IEE.

#### 5.1.1. During Pre-Award:

- 5.1.1.1. Pre-Award Briefings: As feasible, the design team and/or the cognizant environmental officer(s) (e.g., MEO, REA, BEO) will provide a pre-award briefing for potential offerors on environmental compliance responsibilities at bidders' conferences.
- 5.1.1.2. Awards: The AOR/COR, in coordination with the AO/CO, will ensure all awards and sub-awards include environmental compliance requirements stated in this IEE. See [ADS 204sac](#) for recommended language for use in solicitations and awards.

#### 5.1.2. During Implementation:

- 5.1.2.1. Post-Award Briefings: The AOR/COR and/or the cognizant environmental officer(s) (e.g., MEO, REA, BEO) will provide post-award briefings for the IP on environmental compliance responsibilities, including applicable environmental requirements in the country.

- 5.1.2.2. Work Plans and Budgeting: The AOR/COR will ensure that activities remain within the scope accessed in this IEE and that IPs integrate environmental compliance requirements through work plans and budgets to comply with specified requirements, including Environmental Mitigation and Monitoring Plan (EMMP) implementation and monitoring (if required by the IEE).
- 5.1.2.3. Environmental Mitigation and Monitoring Plan: The AOR/COR, in consultation with the MEO and/or REA, will ensure the IP develops, obtains approval for, and implements EMMPs to adequately address impacts. The AOR/COR must approve the EMMP and the cognizant environmental officer(s) (e.g., MEO, REA, or BEO) should provide clearance.
- 5.1.2.4. Records Management: The AOR/COR will maintain environmental compliance documents in the official activity file and upload records to the USAID Environmental Compliance Database.
- 5.1.2.5. Monitoring Oversight: The AOR/COR or designee, with the support of the cognizant environmental officer(s) (e.g., MEO, REA, or BEO), will ensure compliance with established requirements in the IEE and EMMPs is properly monitored, e.g., desktop reviews, site visits, etc.
- 5.1.2.6. Environmental Compliance Reporting: The AOR/COR, in consultation with the cognizant environmental officer(s) (e.g., MEO, REA, or BEO), will ensure the IP includes environmental compliance in regular activity reports, using indicators as appropriate; develops and submits the Environmental Mitigation and Monitoring Reports (EMMRs), describing implementation of EMMP requirements..
- 5.1.2.7. Corrective Action: When noncompliance or unforeseen impacts are identified, the AOR/COR will initiate the corrective action process, in consultation with the cognizant environmental officer(s) (e.g., MEO, REA, or BEO). The Corrective Action Plan is used to document findings, recommendations, and a timeline for making the corrections.
- 5.1.2.8. Activity Closeout: AOR/CORs must ensure that compliance with this IEE is verified during the closeout process. A summary of how mitigation and monitoring requirements were met should be included in the verification. The Record of Compliance may be used to document this requirement.

## 5.2. SPECIAL INSTRUCTIONS FOR ENVIRONMENTAL COMPLIANCE RETAIN ONLY THOSE THAT APPLY.

- 5.2.1. Programmatic IEEs (PIEE): PIEEs stipulate requirements for additional environmental examination of new or country-specific activities. The AOR/COR of any activity being implemented under a PIEE will ensure appropriate reviews are conducted, typically through a Supplemental IEE, and approved by the cognizant BEO.
- 5.2.2. Supplemental IEEs (SIEEs): A SIEE will be prepared for any new activity being planned that falls under a broader IEE, e.g., PIEE. The SIEE will provide more thorough analysis of the planned activities, additional geographic context and baseline conditions as well as specific mitigation and monitoring requirements.
- 5.2.3. Other Supplemental Analyses: The AOR/COR will ensure supplemental environmental analyses that are called for in the IEE are completed and documented



e.g., EMMPs, pesticide evaluation reports and safer use action plans (PERSUAPs), water quality assurance plans (WQAP).

- 5.2.4. Sub-award (Grant and Sub-contract) Screening: The AOR/COR will require the IP to use appropriate screening tools for ensuring activities described in the sub-award application are within the scope of the prime award IEE and that adequate environmental safeguards are part of sub-award implementation.
- 5.2.5. The AOR/COR will ensure compliance with [ADS Reference 200mbe](#) for any activities involving human subjects in research supported by USAID.
- 5.2.6. The AOR/COR will ensure compliance with [ADS Chapter 312](#) and [ADS 312mad](#) Federal Fertilizer Guidance for procurement and use of fertilizers.
- 5.2.7. The AOR/COR will ensure compliance with [ADS 211](#) for Biosafety Review Procedures for the Research, Testing, and Use of Genetically Engineered Organisms.

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**ATTACHMENTS:** Annex 1: Climate Risk Management Summary and Table

**BUREAU RESOURCE LINKS:** If desired, add URLs to Bureau specific resources and guidance

## ANNEX 1. CLIMATE RISK MANAGEMENT SUMMARY AND TABLE

### CLIMATE RISK MANAGEMENT SUMMARY

This section summarizes the methodology used and findings of the CRM Screening, in accordance with [ADS 201ma](#). The design team, in consultation with the CIL, considered the potential effect of climate risks/stressors on the sustainability of the activity in the table below (changing precipitation patterns, rising temperature, floods, droughts, fires, landslides, etc.) in addition to the impact of activities on the climate (increased greenhouse gas emissions, land use changes, etc.).

[Describe climate risks, proposed opportunities and plans for action based on CRM screening.]

### CLIMATE RISK MANAGEMENT SUMMARY TABLE

Activity or Intervention #	Climate Risks <sup>2</sup>	Risk Rating <sup>3</sup>	How Risks are Addressed <sup>4</sup>	Opportunities to Strengthen Climate Resilience or Climate Mitigation <sup>5</sup>
		- ▾		
		- ▾		
		- ▾		
		- ▾		
		- ▾		
		- ▾		
		- ▾		
		- ▾		
		- ▾		
		- ▾		
add/delete as needed		- ▾		

<sup>2</sup> List key risks related to the defined/illustrative interventions identified in the screen and additional assessment..

<sup>3</sup> Low/Moderate/ High

<sup>4</sup> Describe how risks have been addressed in activity design and/or additional steps that will be taken in implementation. If a decision has been made to accept the risk, briefly explain why.

<sup>5</sup> Describe opportunities to achieve development objectives by integrating climate resilience or mitigation measures.