

Select Reg 216 document type ▾

Overview: [Provide a 1-2 sentence description of the purpose of the document (no more than 300 characters). Explain why the amendment is needed (e.g., extend period of performance, increase level of funding, remove activities from the scope not to be implemented, or update the CRM screening).]

ORGANIZATIONAL DATA

| | |
|-----------------------------------------|----------------------------------------------------------------------------------|
| Activity Name: | |
| Geographic Location(s): | [Country/Region] |
| Funding Operating Unit(s): | [Funding OU] |
| Other Involved Operating Unit(s): | [e.g., Bureaus managing the field mechanism in DC; other OUs contributing funds] |
| Funding Account(s): | [e.g., DA, ESF, IDA] |
| Life of Project Funding (ceiling): | |
| Activity Start/ (new) End Date | [Period of performance (of award)] |
| Solicitation/Contract/Award Number(s) : | [If available] |
| Mechanism Type: | Select from dropdown ▾ |
| Implementing Partner(s): | [If available] |

DOCUMENT TRACKING

| | |
|--------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|
| Bureau Tracking ID: | [e.g., ECD tracking # - to be added by upon BEO clearance] |
| Tracking ID of Preceding Compliance Document(s): | [List previous amendment and original DCE or IEE ECD tracking # (ideally w/ECD hyperlinks - not internal Google drive links)] |
| Other Related Compliance Documents: | [If applicable - e.g., Programmatic IEEs, PERSUAP, WQAP, gender analysis, etc.] |
| Document Expiration Date: | [If different from the activity end date] |
| Sector Type(s): | Select from dropdown ▾ Select from dropdown ▾ Select from dropdown ▾ Select from dropdown ▾ |

ENVIRONMENTAL COMPLIANCE REVIEW DATA

| | |
|-----------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|
| Environmental Determination(s): | Select from dropdown ▾ Select from dropdown ▾ Select from dropdown ▾ Select from dropdown ▾ |
| Additional Analyses or Reporting Required: | Select from dropdown ▾ Select from dropdown ▾ Select from dropdown ▾ Select from dropdown ▾ |
| Activity will involve construction, as defined by ADS 201 and 303 : | Select from dropdown ▾ |
| Potential Impact to Threatened or Endangered Species or Critical Habitat per 216.5: | Select from dropdown ▾ |
| Applicability of Safeguards for Activities Supporting Parks and Protected Areas : | Select from dropdown ▾ |
| Climate Risks Identified (#) in Annex 1: | Low: Select ▾ Moderate: Select ▾ High: Select ▾ |
| Climate Risks Addressed (#) in Annex 1: | Low: Select ▾ Moderate: Select ▾ High: Select ▾ |

PURPOSE OF THIS DOCUMENT

In accordance with Title 22, Code of Federal Regulations, Part 216 ([22 CFR 216](#)), this document updates the environmental compliance record for the subject activity. Its function is to document the factual accomplishments to date and the status of environmental compliance as required per the original 22 CFR 216 document for this activity.

This document, if relevant, may also be used to update the Climate Risk Management process, in accordance with USAID policy ([ADS 201mal](#)).

APPROVAL OF [ACTIVITY NAME] SIMPLIFIED DCE/IEE AMENDMENT

Approval: _____ Date
 [NAME], Mission Director or Bureau/Independent Office Equivalent

Clearance: _____ Date
 [NAME], AOR/COR or Project Design Team Lead

 [NAME], Mission Environmental Officer *[as appropriate]* Date

 [NAME], Regional Environmental Advisor *[as appropriate]* Date

Concurrence: _____ Date
 [NAME], _____ Bureau Environmental Officer

Other Clearance/ Concurrence: _____ Date
 [add as required by OU or Mission Order]

Distribution: Award File; [Environmental Compliance Database](#)

1. ACTIVITY DESCRIPTION

[Provide a brief description of the activity.]

2. STATUS OF COMPLIANCE AND REPORTING

The latest annual work plans and activity reports have been adequately reviewed to ensure that the scope is covered under the corresponding 22 CFR 216 documentation. If the corresponding 22 CFR 216 documentation requires the development of Environmental Mitigation and Monitoring Plans/Reports (EMMP/Rs), please indicate the status of these documents below.

| Standard EMMP/R Requirements | Status |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>The AOR/COR, in consultation with the cognizant environmental officer(s) (e.g., MEO, REA, or BEO), will ensure the implementing partner develops, obtains approval for, and implements EMMPs to adequately address impacts. The AOR/COR has approved the EMMP(s) and the cognizant environmental officer(s) provided clearance.</p> | <p>Completed?: <input type="text" value="Select from dropdown"/></p> <p>Comments: <i>(include a brief status update on the EMMPs. If applicable, include the EMMP tracking # or link)</i></p> |
| <p>The AOR/COR, in consultation with the cognizant environmental officer(s) (e.g., MEO, REA, or BEO), will ensure the implementing partner develops and submits the Environmental Mitigation and Monitoring Reports (EMMRs), describing implementation of the EMMP requirements. EMMR(s) have been approved by the AOR/COR and cleared by the cognizant environmental officer(s).</p> | <p>Completed?: <input type="text" value="Select from dropdown"/></p> <p>Comments: <i>(include a brief status update on the EMMRs. If applicable, include the EMMR tracking # or link)</i></p> |

ATTACHMENTS: Annex 1: Climate Risk Management Summary and Table

BUREAU RESOURCE LINKS: If desired, add URLs to Bureau specific resources and guidance

ANNEX 1. CLIMATE RISK MANAGEMENT SUMMARY AND TABLE

CLIMATE RISK MANAGEMENT SUMMARY

This section summarizes the methodology used and findings of the CRM Screening, in accordance with [ADS 201ma](#). The design team, in consultation with the CIL, considered the potential effect of climate risks/stressors on the sustainability of the activity in the table below (changing precipitation patterns, rising temperature, floods, droughts, fires, landslides, etc.) in addition to the impact of activities on the climate (increased greenhouse gas emissions, land use changes, etc.).

[Describe climate risks, proposed opportunities and plans for action based on CRM screening.]

CLIMATE RISK MANAGEMENT SUMMARY TABLE

| Activity or Intervention # | Climate Risks ¹ | Risk Rating ² | How Risks are Addressed ³ | Opportunities to Strengthen Climate Resilience or Climate Mitigation ⁴ |
|----------------------------|----------------------------|--------------------------|--------------------------------------|-----------------------------------------------------------------------------------|
| | | - ▾ | | |
| | | - ▾ | | |
| | | - ▾ | | |
| | | - ▾ | | |
| | | - ▾ | | |
| | | - ▾ | | |
| | | - ▾ | | |
| | | - ▾ | | |
| | | - ▾ | | |
| | | - ▾ | | |
| add/delete as needed | | - ▾ | | |

¹ List key risks related to the defined/illustrative interventions identified in the screen and additional assessment..

² Low/Moderate/ High

³ Describe how risks have been addressed in activity design and/or additional steps that will be taken in implementation. If a decision has been made to accept the risk, briefly explain why.

⁴ Describe opportunities to achieve development objectives by integrating climate resilience or mitigation measures.